

# A NOTE ON CERTAIN MAXIMAL CURVES

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ABSTRACT. We characterize certain maximal curves over finite fields whose plane models are of Hurwitz type, namely  $x^m y^a + y^n + x^b = 0$ . We also consider maximal hyperelliptic curves of maximal genus. Finally, we discuss maximal curves of type  $y^q + y = x^m$  via class field theory.

## 1. INTRODUCTION

Let  $\mathcal{C}$  be a projective, nonsingular, geometrically irreducible, algebraic curve defined over  $\mathbb{F}_{q^2}$ , the finite field of order  $q^2$ . We say that  $\mathcal{C}$  is *maximal over  $\mathbb{F}_{q^2}$*  if the number of its  $\mathbb{F}_{q^2}$ -rational points attains the Hasse-Weil upper bound; that is, whenever

$$\#\mathcal{C}(\mathbb{F}_{q^2}) = q^2 + 1 + 2gq,$$

where  $g = g(\mathcal{C})$  is the genus of  $\mathcal{C}$ . These curves are interesting mathematical objects by their own and they have been intensively studied in connection with coding theory [12], finite geometry [10], [11], supersingular curves [14], [18], and exponential sums over finite fields [17].

Ihara [23, Prop. 5.3.3] noticed that the genus  $g$  of a maximal curve over  $\mathbb{F}_{q^2}$  does satisfy the inequality

$$(1.1) \quad g \leq q(q-1)/2.$$

Rück and Stichtenoth [19] showed that, up to  $\mathbb{F}_{q^2}$ -isomorphism, there is just one maximal curve over  $\mathbb{F}_{q^2}$  of genus  $q(q-1)/2$ , namely the so-called Hermitian curve over  $\mathbb{F}_{q^2}$  which can be defined by the affine equation

$$(1.2) \quad u^{q+1} + v^{q+1} + 1 = 0.$$

**Remark 1.1.** It is commonly attributed to J.P. Serre the important fact that any curve over  $\mathbb{F}_{q^2}$  which is nontrivially  $\mathbb{F}_{q^2}$ -covered by a maximal curve over  $\mathbb{F}_{q^2}$  is also maximal over  $\mathbb{F}_{q^2}$ ; cf. [27], [16], [14, Prop. 2.3]. Thus one way to construct maximal curves is by finding subcovers of the Hermitian curve; see for example [1], [3], [7].

We do observe that not all maximal curves arise as in the above remark [9], [24]; see also [6], [4]. Further facts on maximal curves can be found in [5] and [11, Ch. 10].

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The objective of this paper is to provide with a characterization of three outstanding classes of maximal curves over  $\mathbb{F}_{q^2}$  which are usually related to very basic matters in curve theory over finite fields.

**I.** In Section 2 we deal with curves over  $\mathbb{F}_{q^2}$  of Hurwitz type, namely nonsingular models  $\mathcal{C} = \mathcal{C}_{a,b,m,n}$  over  $\mathbb{F}_{q^2}$  of equations of type  $x^m y^a + y^n + x^b = 0$  where  $a, b, m, n$  are nonnegative integers such that  $\delta := ab - bn + mn \geq 1$  is coprime with  $q$ . A very basic property here is that  $\mathcal{C}$  is  $\mathbb{F}_{q^2}$ -covered by the Fermat curve  $u^\delta + v^\delta + 1 = 0$  and thus the arithmetical condition

$$(1.3) \quad q + 1 \equiv 0 \pmod{\delta}$$

provide us with a sufficient condition for the maximality over  $\mathbb{F}_{q^2}$  of  $\mathcal{C}$ . The main result in this section is Theorem 2.9 where in fact it is shown that (1.3) characterizes the maximality of  $\mathcal{C}$  over  $\mathbb{F}_{q^2}$ , whenever  $a = 1$ ,  $n \geq 2$ ,  $\gcd(m, n - 1) = 1$  and  $b \equiv 1 \pmod{n}$ . This result generalizes the case of Hurwitz curves ( $a = b = 1$ ,  $n = m$ ) which was already investigated in [1]. See also Proposition 2.10 for a related result when  $a, m, n$  are as above but with  $b \equiv 0 \pmod{n}$ .

The approach in Section 2 follows closely [1] where the key tool is a property concerning Weierstrass semigroups at  $\mathbb{F}_{q^2}$ -rational points of maximal curves over  $\mathbb{F}_{q^2}$ , namely Lemma 2.6 below. This property also plays a key role in handling maximal curves of either Fermat type [25] or Picard type [26].

**II.** In Section 3 we investigate hyperelliptic maximal curves  $\mathcal{C}$  over  $\mathbb{F}_{q^2}$  of maximal genus with an additional hypothesis involving Weierstrass points and  $\mathbb{F}_{q^2}$ -rational points. Let  $g$  be the genus of  $\mathcal{C}$ . In this case Ihara's bound (1.2) becomes  $g \leq q/2$  (see Lemma 3.1). We characterize such curves  $\mathcal{C}$  whose genus equals  $\lfloor \frac{q}{2} \rfloor$ ; see Theorem 3.3. As in the case of Hurwitz type curves, the main tool employed here is also Weierstrass point theory. In fact the case  $q$  odd follows from a general result in [5] while the even case use the Fundamental Equivalence of divisors (2.2) on maximal curves.

We do remark that Theorem 3.3 was already fixed by Garcia and Tafazolian in [8] where the key tool is the use of Cartier operators.

**III.** About thirty years ago, Serre indicated his method for using class field theory to construct curves over finite fields with many rational points [21]. Given a finite Galois abelian extension  $\mathbf{F}|\mathbf{K}$  of function fields over  $\mathbb{F}_{q^2}$  the method depends on the structure of the conductor of such an extension as well as the number of places of degree one of  $\mathbf{K}$  that can be splitted completely in  $\mathbf{F}$ . In this way Lauter [13] characterized the Hermitian Function Field related to (1.2) (as well as the Suzuki and Ree function fields). Here we prove a similar result for the function field  $\mathbb{F}_{q^2}(x, y)$  with  $y^q + y = x^m$ ,  $m$  being a divisor

of  $q + 1$ . Indeed,  $\mathbb{F}_{q^2}(x, y)$  is the largest Galois abelian extension of  $\mathbb{F}_{q^2}(x)$  satisfying the following properties (see Theorem 4.5):

- (III.1) The conductor is  $(m + 1)\mathbf{p}$  with  $\mathbf{p}$  being the place in  $\mathbb{F}_{q^2}(x)$  corresponding to the point  $x = \infty \in \mathbb{P}^1$ ;
- (III.2) There are at least  $m(q - 1) + 1$  degree one places in  $\mathbb{F}_{q^2}(x)$  which split completely in  $\mathbb{F}_{q^2}(x, y)$ .

We do point out that all the curves considered above are  $\mathbb{F}_{q^2}$ -covered by the Hermitian curve over  $\mathbb{F}_{q^2}$ . There are no overlapping between the plane models arising in (I), (II), (III) above except the case  $m = 2$  and  $q$  odd which clearly arises in both cases (II) and (III).

**Convention.** In this paper, unless otherwise stated, by a *curve* we shall mean a projective, nonsingular, geometrically irreducible, algebraic curve. By  $\mathbb{P}^r$  we denote the  $r$ -dimensional projective space over the algebraic closure of the corresponding base field.

## 2. ON MAXIMAL CURVES DEFINED BY $x^m y^a + y^n + x^b = 0$

Throughout this section we let  $a, b, m, n$  be nonnegative integers such that

$$\delta = \delta(a, b, m, n) := ab - bn + mn \geq 1.$$

Let  $q$  be a prime power such that  $\gcd(q, \delta) = 1$  and let  $\mathbf{F}_\delta$  be the Fermat curve given by the affine equation

$$u^\delta + v^\delta + 1 = 0.$$

In particular,  $\mathbf{F}_\delta$  is a nonsingular plane curve defined over  $\mathbb{F}_{q^2}$  of degree  $\delta$ . Next we consider the morphism

$$\varphi = \varphi_{a,b,m,n} : \mathbf{F}_\delta \rightarrow \mathbb{P}^2, \quad (u, v, 1) \mapsto (x, y, 1) := (u^n v^{-a}, u^b v^{m-b}, 1)$$

which corresponds to the field extension  $\mathbb{F}_{q^2}(u, v) | \mathbb{F}_{q^2}(x, y)$ , where  $u, v, x, y$  are as above.

**Definition 2.1.** We let  $\mathcal{C} = \mathcal{C}_{a,b,m,n}$  be the nonsingular model over  $\mathbb{F}_{q^2}$  of the (possible singular) plane curve  $\varphi(\mathbf{F}_\delta) \subseteq \mathbb{P}^2$ .

We notice that the coordinates  $x$  and  $y$  of  $\varphi$  satisfy the relation

$$x^m y^a + y^n + x^b = u^{bn} v^{-ab} (u^\delta + v^\delta + 1)$$

and so a plane model for  $\mathcal{C}$  is given by

$$(2.1) \quad x^m y^a + y^n + x^b = 0.$$

**Remark 2.2.** We always assume  $a \leq m$  since the curves  $\mathcal{C}(a, b, m, n)$  and  $\mathcal{C}(m, n, a, b)$  are  $\mathbb{F}_{q^2}$ -isomorphic.

In this section we are interested in the maximality over  $\mathbb{F}_{q^2}$  of the curve  $\mathcal{C}_{a,b,m,n}$ . The following result, which is well-known for particular values of  $a, b, m, n$  (see Remark 2.5 below), is the starting point of our research.

**Proposition 2.3.** *Let  $a, b, m, n, \delta$  be as above and let  $q$  a prime power. Then the curve  $\mathcal{C} = \mathcal{C}_{a,b,m,n}$  is maximal over  $\mathbb{F}_{q^2}$  provided that the congruence (1.3) holds true.*

*Proof.* By definition  $\mathcal{C}$  is covered by the Fermat curve  $\mathbf{F}_\delta$  and consequently it is  $\mathbb{F}_{q^2}$ -covered by the Hermitian curve (1.2) as  $\delta$  divides  $q + 1$ . Then  $\mathcal{C}$  is maximal over  $\mathbb{F}_{q^2}$  by Remark 1.1.  $\square$

**Question 2.4.** *Does condition (1.3) characterize the maximality of the curve  $\mathcal{C}_{a,b,m,n}$  over  $\mathbb{F}_{q^2}$ ?*

**Remark 2.5.** Notations as above. Suppose that  $a = b$  and  $m = n$  and set  $\delta_{a,m} := \delta_{a,a,m,m} = a^2 - am + m^2 \geq 1$ . Let  $q$  be a prime power such that  $\gcd(q, \delta_{a,m}) = 1$ .

(1) The curve  $\mathcal{C}_m := \mathcal{C}_{1,1,m,m}$  with plane model  $x^m y + y^m + x = 0$  is the so-called *Hurwitz curve* over  $\mathbb{F}_{q^2}$ . Indeed this plane model is nonsingular. (The case  $m = 3$  defines the well-studied quartic Klein curve). Here (1.3) characterizes the maximality of  $\mathcal{C}_m$  over  $\mathbb{F}_{q^2}$ ; see [1, Thm. 3.1].

(2) Let  $a > 1$ . The curve  $\mathcal{C}_{a,m} = \mathcal{C}_{a,a,m,m}$  with plane model  $x^m y^a + y^m + x^a = 0$  is the so-called *generalized Hurwitz curve* over  $\mathbb{F}_{q^2}$ ; see [2] and the references therein. Here (1.3) characterizes the maximality of  $\mathcal{C}_{a,m}$  over  $\mathbb{F}_{q^2}$  whenever  $\delta_{a,m}$  is a prime number; see [1, Thm. 4.5].

To study Question 2.4 above we follow the approach in [1] and hence we begin by recalling an important result on Weierstrass semigroups at rational points on maximal curves (Lemma 2.6 below).

Let  $\mathcal{X}$  be a maximal curve over  $\mathbb{F}_{q^2}$ . Let  $\Phi : \mathcal{X} \rightarrow \mathcal{X}$  be the Frobenius morphism on  $\mathcal{X}$  relative to  $\mathbb{F}_{q^2}$ . Then for a rational point  $P \in \mathcal{X}(\mathbb{F}_{q^2})$  and an arbitrary point  $Q \in \mathcal{X}$ , the following linear equivalence of divisors holds true [5, Cor. 1.2]

$$(2.2) \quad (q + 1)P \sim qQ + \Phi(Q).$$

In particular, for any  $P, Q \in \mathcal{X}(\mathbb{F}_{q^2})$ ,  $(q + 1)Q \sim (q + 1)P$  [19, Lemma 1], so that  $q + 1$  belongs to the Weierstrass semigroup at any  $\mathbb{F}_{q^2}$ -rational point of  $\mathcal{X}$ . Therefore the following holds true.

**Lemma 2.6.** ([25, Lemma 3]) *Let  $\mathcal{X}$  be a maximal curve over  $\mathbb{F}_{q^2}$ , and let  $P$  and  $Q$  be two distinct  $\mathbb{F}_{q^2}$ -rational points. Suppose that there exists a natural number  $h$  such that  $hP \sim hQ$ . Then  $t := \gcd(h, q + 1)$  is also a non-gap at  $P$  (or at  $Q$ ).*

From now on we investigate the maximality over  $\mathbb{F}_{q^2}$  of the curve  $\mathcal{C} = \mathcal{C}_{1,b,m,n}$  with  $b \equiv 0, 1 \pmod{n}$ . Recall that  $m \geq 1$  and  $\gcd(q, \delta) = 1$  with  $\delta = b - bn + mn \geq 1$ . We further assume:

- $n \geq 2$  and  $\gcd(m, n - 1) = 1$ .

After multiplying (2.1) by  $x^{\delta-b}$  and setting  $z := x^{m-b}y$  we obtain an alternative plane model for  $\mathcal{C}$ , namely

$$(2.3) \quad x^\delta = -\frac{z^n}{z+1}.$$

Next we compute the divisors of  $z$  and  $x$ . Since  $\gcd(\delta, n - 1) = \gcd(m, n - 1) = 1$  there is just one point  $P_\infty \in \mathcal{C}$  over  $z = \infty$ . Let  $P_{-1} \in \mathcal{C}$  be the unique point over  $z = -1$ . Therefore

$$(2.4) \quad \operatorname{div}(z) = \frac{\delta}{\gcd(\delta, n)}D - \delta P_\infty \quad \text{and} \quad \operatorname{div}(x) = \frac{n}{\gcd(\delta, n)}D - P_{-1} - (n - 1)P_\infty,$$

where  $D$  is a positive divisor of degree  $\gcd(\delta, n)$  related to the zero divisor of  $x$ . In particular, the genus  $g(\mathcal{C})$  of  $\mathcal{C}$  can be easily computed via the Riemann-Hurwitz relation applied to the Galois abelian morphism  $z : \mathcal{C} \rightarrow \mathbb{P}^1$ . We have

$$(2.5) \quad 2g(\mathcal{C}) = \delta + 1 - \gcd(\delta, n) - \gcd(\delta, n - 1) = \delta - \gcd(b, n).$$

We shall need the following result on numerical semigroups.

**Lemma 2.7.** ([20, p. 6]) *Let  $i, d \geq 1$ ,  $k$  be integers such that  $\gcd(i, d) = 1$  and  $2 \leq k \leq i$ . Let  $H_S$  be the numerical semigroup generated by the set  $S := \{i + sd : s = 0, \dots, k - 1\}$ . Then the genus  $g_S = \#(\mathbb{N}_0 \setminus H_S)$  of  $H_S$  satisfies  $2g_S = (i - 1)(\alpha + d) + \beta(\alpha + 1)$ , where  $i - 1 = \alpha(k - 1) + \beta$  with  $0 \leq \beta < k - 1$ . In particular, if  $i = k$ , then*

$$2g_S = (i - 1)(d + 1).$$

We now compute the Weierstrass semigroup  $H(P_\infty)$  of  $\mathcal{C}$  at  $P_\infty$ .

**Lemma 2.8.** *Notations and assumptions as above. Let  $\Delta := \lfloor \frac{\delta}{n} \rfloor$  and  $i := \delta - \Delta(n - 1)$ . Let  $I = 0$  if  $b \equiv 1 \pmod{n}$ , and  $I = 1$  if  $b \equiv 0 \pmod{n}$ . Suppose that  $\gcd(i, n - 1) = 1$  and  $k := \Delta - I + 1 \geq 2$ .*

*Then  $H(P_\infty) = H_S$ , the semigroup generated by the set*

$$S = \{i + s(n - 1) : s = 0, \dots, k - 1\}.$$

*Proof.* Let  $s \geq 0$  be an integer. From (2.4) we have

$$(2.6) \quad \operatorname{div}\left(\frac{z}{x^s}\right) = \frac{\delta - sn}{\gcd(\delta, n)}D + sP_{-1} - (\delta - s(n - 1))P_\infty$$

so that  $\delta - s(n - 1) = i + (\Delta - s)(n - 1) \in H(P_\infty)$  if  $s \leq \Delta$ ; thus  $H(P_\infty) \supseteq H_S$ . Let  $d = n - 1$ . Notice that  $\delta \equiv b \pmod{n}$

(1) If  $b \equiv 1 \pmod{n}$ , then  $k = \Delta + 1 = i = \min(S)$ . Hence by Lemma 2.7

$$g(\mathcal{C}) = g(H(P_\infty)) \leq g_S = (i - 1)(d + 1)/2.$$

Since  $(i - 1)(d + 1) = \delta - 1$ , then  $H(P_\infty) = H_S$  by (2.5).

(2) Let  $b \equiv 0 \pmod{n}$ . Here  $k = \Delta = \min(S)$  and hence by arguing as in (1)  $g(\mathcal{C}) \leq g_S = (\delta - n)/2$ ; the result follows again from (2.5).  $\square$

Now we state a positive answer to Question 2.4 for certain maximal curves of type  $\mathcal{C}_{1,b,m,n}$ .

**Theorem 2.9.** *Let  $b, m \geq 0, n \geq 2$  be integers with  $b \equiv 1 \pmod{n}$ . Let  $\delta = b - bn + mn \geq 2$ ,  $\Delta = \lfloor \frac{\delta}{n} \rfloor \geq 1$ , and suppose that  $\gcd(\Delta + 1, n - 1) = 1$ . Let  $\mathcal{C} = \mathcal{C}_{1,b,m,n}$  be the curve in Definition 2.1 over  $\mathbb{F}_{q^2}$  where  $\gcd(q, \delta) = 1$ . Then the following statements are equivalent:*

- (1) *The Fermat curve  $\mathbf{F}_\delta : u^\delta + v^\delta + 1 = 0$  is maximal over  $\mathbb{F}_{q^2}$ ;*
- (2) *The curve  $\mathcal{C}$  is maximal over  $\mathbb{F}_{q^2}$ ;*
- (3)  *$q + 1 \equiv 0 \pmod{\delta}$ .*

*Proof.* In view of Remark 1.1 and Proposition 2.3, we just have to show that (3) is a necessary condition for the maximality of  $\mathcal{C}$  over  $\mathbb{F}_{q^2}$ . We look at the plane model (2.3). Since  $\gcd(\delta, n) = \gcd(b, n) = 1$  by hypothesis, there is just one point  $P_0 \in \mathcal{C}$  over  $z = 0$ ; moreover  $P_0$  is  $\mathbb{F}_{q^2}$ -rational. Then from (2.6) we obtain the equivalence of divisors  $\delta P_0 \sim \delta P_\infty$  and so  $t := \gcd(\delta, q + 1) \in H(P_\infty)$  by Lemma 2.6. We have  $t > 1$  by (2.5) and set  $\delta = rt$  for some integer  $r$ . Let  $i := \delta - \Delta d = \Delta + 1$  with  $d = n - 1$ . By Lemma 2.8 there exist  $A, B \in \mathbb{N}_0$  such that  $\delta = i + (i - 1)d = rAi + rBd$ . By taking module  $i$  and since  $\gcd(i, d) = 1$  we find that  $r = A = 1$  and  $B = i - 1$ . This implies  $t = \delta$  and we get (3).  $\square$

For the case  $b \equiv 0 \pmod{n}$  we obtain the following weak answer to Question 2.4.

**Proposition 2.10.** *Let  $b, m \geq 0, n \geq 2$  be integers with  $b \equiv 0 \pmod{n}$ . Let  $\delta = b - bn + mn$ ,  $\Delta = \frac{\delta}{n} \geq 2$ , and suppose that  $\gcd(\Delta, n - 1) = 1$ . Let  $\mathcal{C} = \mathcal{C}_{1,b,m,n}$  be the curve in Definition 2.1 over  $\mathbb{F}_{q^2}$  with  $\gcd(q, \delta) = 1$ . Then*

- (1) *If  $q + 1 \equiv 0 \pmod{\delta}$ , then  $\mathcal{C}$  is maximal over  $\mathbb{F}_{q^2}$ ;*
- (2) *Conversely, if  $\mathcal{C}$  is maximal over  $\mathbb{F}_{q^2}$ , then  $q + 1 \equiv 0 \pmod{\frac{\delta}{n}}$ .*

*Proof.* (1) follows from Proposition 2.3. The proof of (2) is quite similar to the proof of Theorem 2.9. With  $s = \delta/n$ , (2.6) gives the linear equivalence  $\frac{\delta}{n}P_{-1} \sim \frac{\delta}{n}P_\infty$  where  $P_{-1}$  is also  $\mathbb{F}_{q^2}$ -rational. Thus  $t := \gcd(\frac{\delta}{n}, q + 1)$  which belongs to  $H(P_\infty)$  by Lemma 2.6. We

have that  $t > 1$  by (2.5) and by Lemma 2.8  $t = \frac{\delta}{n}$  since  $H(P_\infty)$  is generated by a set  $S$  with  $\min(S) = \frac{\delta}{n}$ .  $\square$

**Example 2.11.** Notation as above. Let  $a = b = 1$ ,  $n = 2$  and  $m \geq 1$  be an integer. Let  $\mathcal{C}_m$  be the curve  $\mathcal{C}_{1,1,m+1,2}$  which is hyperelliptic by (2.4). Here  $\delta(1, 1, m + 1, 2) = 2m + 1$  and thus the genus of  $\mathcal{C}_m$  equals  $m$  by (2.5). In particular,  $\mathcal{C}_m$  is an explicit example of a hyperelliptic maximal curve over  $\mathbb{F}_{q^2}$  of genus  $m$ , where  $q \equiv -1 \pmod{2m + 1}$ . In a similar way, the curve  $\mathcal{C}_{1,2,m+2,2}$ , with  $\delta(1, 2, m + 2, 2) = 2m + 2$  is a hyperelliptic curve over  $\mathbb{F}_{q^2}$  of genus  $m$ , where  $q \equiv -1 \pmod{2m + 2}$ .

**Example 2.12.** A genus 3 hyperelliptic maximal curve over  $\mathbb{F}_{q^2}$  can only exist if  $q \geq 7$  by Lemma 3.1 and in fact the curve  $y^2 = x^7 + x$  is of this type over  $\mathbf{F}_{49}$ . Indeed, Example 2.11 provide us with such curves whenever  $q \equiv -1 \pmod{7}$  or  $q \equiv -1 \pmod{8}$ ; cf. [15] and the references therein.

### 3. ON MAXIMAL HYPERELLIPTIC CURVES OF MAXIMAL GENUS

Let  $q$  be a prime power. In this section we investigate certain maximal curves  $\mathcal{C}$  over  $\mathbb{F}_{q^2}$  of genus  $g \geq 1$  equipped with a morphism  $\pi : \mathcal{C} \rightarrow \mathbb{P}^1$  over  $\mathbb{F}_{q^2}$  of degree two; that is to say, we deal with certain maximal hyperelliptic curves over  $\mathbb{F}_{q^2}$ . To start with we rewrite Ihara's bound (1.1) in this case.

**Lemma 3.1.** *The genus of a hyperelliptic maximal curve over  $\mathbb{F}_{q^2}$  is upper bounded by  $q/2$ .*

*Proof.* Let  $g$  be the genus of the curve. By counting rational points via the morphism  $\pi : \mathcal{C} \rightarrow \mathbb{P}^1$  over  $\mathbb{F}_{q^2}$  of degree two we have

$$q^2 + 1 + 2gq = \#\mathcal{C}(\mathbb{F}_{q^2}) \leq 2(q^2 + 1),$$

and the result follows.  $\square$

**Remark 3.2.** Lemma 3.1 is sharp. To see this let us recall [23, Ex. 6.4.3] that the Hermitian curve (1.2) can also be described by the equation

$$(3.1) \quad v^{q+1} = u^q + u.$$

Then we consider two cases according to the parity of  $q$ .

(1) If  $q$  is odd, the hyperelliptic curve  $y^2 = x^q + x$  of genus  $(q - 1)/2$  is maximal over  $\mathbb{F}_{q^2}$  since it is covered by (3.1) via  $(u, v) \mapsto (x, y) := (u, v^{(q+1)/2})$ .

(2) If  $q$  is even, the hyperelliptic curve  $y^2 + y = x^{q+1}$  of genus  $q/2$  is maximal over  $\mathbb{F}_{q^2}$  since it is covered by (3.1) via  $(u, v) \mapsto (x, y) := (u^{q/2} + \dots + u, v)$ .

The main result in this section is concerning the uniqueness of hyperelliptic maximal curves over  $\mathbb{F}_{q^2}$  of maximal genus under an additional hypothesis involving Weierstrass points and  $\mathbb{F}_{q^2}$ -rational points.

**Theorem 3.3.** *Let  $\mathcal{C}$  be a hyperelliptic maximal curve over  $\mathbb{F}_{q^2}$  of genus  $g = \lfloor \frac{q}{2} \rfloor$ . Assume that there is a Weierstrass point  $P_0$  of  $\mathcal{C}$  which is also a  $\mathbb{F}_{q^2}$ -rational point.*

- (1) *If  $q$  is odd, then  $\mathcal{C}$  is given by  $y^2 = x^q + x$ ;*
- (2) *If  $q$  is even, then  $\mathcal{C}$  is given by  $y^2 + y = x^{q+1}$*

*Proof.* We know that the Weierstrass semigroup of  $\mathcal{C}$  at  $P_0$  is generated by 2 and  $2g + 1$ . Let  $x, y$  be rational functions on  $\mathcal{C}$  such that  $\text{div}_\infty(x) = 2P_0$  and  $\text{div}_\infty(y) = (2g + 1)P_0$ . By considering the Riemann-Roch space  $L(2(2g + 1)P_0)$  over  $\mathbb{F}_{q^2}$ ,  $\mathcal{C}$  is then defined by an equation over  $\mathbb{F}_{q^2}$  of type

$$(3.2) \quad y^2 + A(x)y + B(x) = 0,$$

where  $A(x), B(x)$  are polynomials in  $\mathbb{F}_{q^2}[x]$  with  $\deg(A(x)) \leq g$  and  $\deg(B(x)) = 2g + 1$ .

(1) Let  $q$  be odd and so  $2g + 1 = q$ . Here (3.2) can be rewritten as an equation of type  $y^2 = f(x)$  with  $f(x)$  being a polynomial of degree  $2g + 1$ . Now we can apply Weierstrass Point Theory as in [5]. Let  $\mathcal{D} = |(q + 1)P_0|$  be the Frobenius linear system on  $\mathcal{C}$ . Clearly its projective dimension is  $N = g + 2$  so that  $N - 1 = g + 1 = (q + 1)/2$  and the result follows directly from [5, Thm. 2.3].

(2) Let  $q$  be even and so  $2g = q$ . The following result is [5, Prop. 1.7(ii)]. For the sake of completeness we write a proof.

*Claim.* The point  $P_0$  is the unique Weierstrass point of  $\mathcal{C}$ .

*Proof of the Claim.* Let  $P$  and  $Q$  be Weierstrass points of  $\mathcal{C}$ . From  $2P \sim 2Q$  we have  $qP \sim qQ$  so that  $qP + \Phi(P) \sim qQ + \Phi(P)$  and so  $P = Q$  by (2.2) and since  $g > 0$ .

Then in (3.2) we have  $A(x) = A \in \mathbb{F}_{q^2} \setminus \{0\}$ . Moreover, since  $\mathcal{C}$  is maximal of genus  $g = q/2$ ,  $\#\mathcal{C}(\mathbb{F}_{q^2}) = 2q^2 + 1$  and thus in (3.2) we also have that  $B(x) = Bx^{q+1}$ . Now by using the map  $y \mapsto Ay$ , we see that the curve  $\mathcal{C}$  is in fact defined by  $y^2 + y = Cx^{q+1}$  with  $C = A^{-1}B$ , where in addition  $C^{q-1} = 1$  (see [28, p. 2056]). In particular,  $C \in \mathbb{F}_q$  and so there exists  $D \in \mathbb{F}_{q^2}$  such that  $C = D^{q+1}$ ; therefore by using the map  $x \mapsto D^{-1}x$  the proof follows.  $\square$

**Remark 3.4.** As was mentioned in the introduction, Theorem 3.3 was already noticed by Garcia and Tafazolian in [8] where the key tool for the proof was the use of Cartier operators.

4. ON MAXIMAL CURVES VIA CLASS FIELD THEORY

Let  $q$  be a prime power. For  $m$  a divisor of  $q + 1$  let  $\mathcal{H}_m$  be the nonsingular model over  $\mathbb{F}_{q^2}$  of the plane curve

$$(4.1) \quad y^q + y = x^m .$$

We observe that  $\mathcal{H}_{q+1}$  is the Hermitian curve (3.1) and that  $\mathcal{H}_m$  is  $\mathbb{F}_{q^2}$ -covered by this curve; thus the curve  $\mathcal{H}_m$  is also maximal over  $\mathbb{F}_{q^2}$  according to Remark 1.1. It generalizes the curve in odd characteristic investigated in Theorem 3.3; in addition, the map  $x : \mathcal{H}_m \rightarrow \mathbb{P}^1$  is a degree  $q$  Galois abelian morphism defined over  $\mathbb{F}_{q^2}$ . As a matter of fact, these properties characterize  $\mathcal{H}_m$  as follows:

**Theorem 4.1.** ([8, Thm. 5.2]) *Let  $\mathcal{C}$  be a maximal curve over  $\mathbb{F}_{q^2}$  equipped with a Galois abelian morphism defined over  $\mathbb{F}_{q^2}$  of degree  $q$ . Then there is a divisor  $m$  of  $q + 1$  such that  $\mathcal{C}$  is  $\mathbb{F}_{q^2}$ -isomorphic to  $\mathcal{H}_m$ .*

On the other hand, from (4.1) it follows that the polar divisors of the functions  $x$  and  $y$  are respectively

$$(4.2) \quad \operatorname{div}_\infty(x) = qP_\infty \quad \text{and} \quad \operatorname{div}_\infty(y) = mP_\infty ,$$

where  $P_\infty \in \mathcal{H}_m$  is the unique point in  $\mathcal{H}_m$  over  $x = \infty$ . Then another characterization of  $\mathcal{H}_m$  is available, namely:

**Theorem 4.2.** ([5, Thm. 2.3]) *Let  $\mathcal{C}$  be maximal curve over  $\mathbb{F}_{q^2}$  and  $P_\infty \in \mathcal{C}(\mathbb{F}_{q^2})$ . Suppose that there is a non-gap  $m$  at  $P_\infty$  which is a divisor of  $q + 1$ . Then  $\mathcal{C}$  is  $\mathbb{F}_{q^2}$ -isomorphic to  $\mathcal{H}_m$ .*

**Remark 4.3.** While the proof of Theorem 4.1 has been carry on via the use of Cartier operators (cf. [8]), the proof of Theorem 4.2 is based on Weierstrass Point Theory (cf. [5]).

The objective of this section is to establish a further characterization of  $\mathcal{H}_m$  by using rudiments of class field theory applied to the morphism  $x : \mathcal{H}_m \rightarrow \mathbb{P}^1 (*)$ . As a matter of fact, since we are now looking from the field theoretical point of view we investigate  $(*)$  via the corresponding degree  $q$  Galois abelian extension of function fields over  $\mathbb{F}_{q^2}$ , namely

$$(4.3) \quad \mathbb{F}_{q^2}(x, y) | \mathbb{F}_{q^2}(x) ,$$

with  $x, y$  satisfying (4.1). Let  $G$  be the Galois group of this extension. Then the elements of  $G$  are those  $\sigma$  such that  $\sigma(x) = x$  and  $\sigma(y) = y + b$  with  $b \in \mathbb{F}_{q^2}$  such that  $b^q + b = 0$ . Let  $\mathcal{P}$  and  $\mathfrak{p}$  be the places of  $\mathbb{F}_{q^2}(x, y)$  and  $\mathbb{F}_{q^2}(x)$  corresponding respectively to the points  $P_\infty$  (the common pole of  $x$  and  $y$  in (4.2)) and  $p = x(P_\infty)$ . Let  $\nu = \nu_{P_\infty}$ ,  $\mathcal{O} = \mathcal{O}_{P_\infty}$  and

$t = t_{P_\infty}$  be the valuation, the local ring and a local parameter at  $P_\infty$ , respectively. We observe that we can choose  $t = xy^{-n}$  by (4.2), where  $mn = q + 1$ .

**Lemma 4.4.** *Notations and assumptions as above.*

- (1) *The conductor of the extension (4.3) is the divisor  $\mathbf{f} = (m + 1)\mathbf{p}$ ;*
- (2) *There is at least  $(q - 1)m + 1$  places of degree one of  $\mathbb{F}_{q^2}(x)$  which split completely in  $\mathbb{F}_{q^2}(x, y)$ .*

*Proof.* (1) From (4.1) it follows that  $\mathbf{p}$  is the only place of  $\mathbb{F}_{q^2}(x)$  which ramifies in  $\mathbb{F}_{q^2}(x, y)$ ; indeed, it is totally ramified and hence the conductor  $\mathbf{f}$  is a multiple of  $\mathbf{p}$  [22, Prop. 24, p. 150]). Let  $k \geq 1$  be the integer such that  $\mathbf{f} = k\mathbf{p}$ . To compute  $k$  we use the ramification theory of abelian coverings as in [23, Sect. 3.8]. For each integer  $i \geq -1$ , the  $i$ -th ramification group of the extension  $\mathcal{P}|\mathbf{p}$  is given by

$$G_i = \{\sigma \in G : \nu(\sigma(z) - z) \geq i + 1, \forall z \in \mathcal{O}\}.$$

For  $i \geq 0$ ,  $\sigma \in G_i$  if and only if  $\nu(\sigma(t) - t) \geq i + 1$  [23, Prop. 3.8.6(c)]. Let  $\sigma(x) = x$  and  $\sigma(y) = y + b$  with  $b^q + b = 0$ ,  $b \neq 0$ . We have

$$\sigma(t) - t = x(y + b)^{-n}y^{-n}[(y^n - (y + b)^n)]$$

and hence  $\nu(\sigma(t) - t) = m + 1$ . Thus we have the following flag of ramification groups corresponding to  $\mathcal{P}|\mathbf{p}$ :

$$G_0 = G_1 = \dots = G_m \neq G_{m+1} = 1,$$

where  $\#G_i = q$  for  $i \leq m$ . Then as from (cf. [13, p. 89], [22, Ex. 2, p. 124]) we know that

$$k = \frac{1}{q}(\#G_1 + \dots + \#G_m) + 1,$$

the proof follows.

(2) Since  $\mathcal{H}_m$  is maximal over  $\mathbb{F}_{q^2}$ , the corresponding function field will have  $q^2 + 1 + 2gq$  degree one places, where  $g = (q - 1)(m - 1)/2$  is the genus of  $\mathcal{H}_m$ . Thus from (4.1) there are at least  $q + (q - 1)(m - 1) = (q - 1)m + 1$  degree one places in  $\mathbb{F}_{q^2}(x)$  which split completely in  $\mathbb{F}_{q^2}(x, y)$ .  $\square$

The main result of this section is the following theorem which was first noticed by Lauter for the Hermitian curve [13, Thm. 2]. We follow closely her arguments.

**Theorem 4.5.** *Notations as above. Let  $q$  be a prime power and  $m$  be a divisor of  $q + 1$ . Let  $\mathbf{F}$  be the largest abelian extension of  $\mathbb{F}_{q^2}(x)$  which has conductor  $\mathbf{f} = (m + 1)\mathbf{p}$ , and in which at least  $(q - 1)m + 1$  degree one places of  $\mathbb{F}_{q^2}(x)$  split completely. Then  $\mathbf{F} = \mathbb{F}_{q^2}(x, y)$  with  $x, y$  fulfilling (4.1).*

*Proof.* As we already mentioned, the case  $m = q + 1$  was fixed in [13, Thm. 2]. Let  $m < q + 1$ . We have  $\mathbf{F} \supseteq \mathbf{F}_1 := \mathbb{F}_{q^2}(x, y) \supseteq \mathbb{F}_{q^2}(x)$  and so we have to show that  $d := [\mathbf{F} : \mathbf{F}_1] = 1$ . Let us work out first some estimatives involving degree one places. By hypothesis on the conductor, the place  $\mathfrak{p}$  of  $\mathbb{F}_{q^2}(x)$  is the only one that ramifies in  $\mathbf{F}$ . Indeed, it is totally ramified. To see this property let  $\mathcal{P}'$  be a place of  $\mathbf{F}$  over  $\mathfrak{p}$ ; let  $T$  be its inertia field. Then  $T = \mathbb{F}_{q^2}(x)$  by [23, Thm. 3.8.2(d)] which implies the aforementioned property; moreover, this also shows that  $\mathbf{F}$  is a function field over  $\mathbb{F}_{q^2}$ . Let  $g$  be the genus of  $\mathbf{F}$ . Hence by the Hasse-Weil bound

$$(4.4) \quad 1 + dq((q - 1)m + 1) \leq (q + 1)^2 + q(2g - 2),$$

where  $2g - 2 = dq(-2) + \delta$  by the Riemann-Hurwitz formula with  $\delta$  being the degree of the discriminant of  $\mathbf{F}|\mathbb{F}_{q^2}(x)$ . We evaluate  $\delta$  by using the so-called conductor-discriminant formula (see e.g. [22, Ch. VI]); that is to say,

$$\delta = \sum_{\chi} f(\chi)$$

where the sum is taken over all irreducible characters  $\chi$  of the Galois group of  $\mathbf{F}|\mathbb{F}_{q^2}(x)$ ,  $f(\chi)$  being the degree of the conductor of  $\chi$ . Clearly  $f(\chi) \leq m + 1$  with  $f(1) = 0$ ; hence  $\delta \leq (dq - 1)(m + 1)$  so that

$$(4.5) \quad 2g - 2 \leq dq(-2) + (dq - 1)(m + 1).$$

From (4.4) and (4.5) we have  $d(q + 1 - m) \leq q + 1 - m$  and so  $d \leq 1$  as  $m < q + 1$ . This completes the proof of the theorem.  $\square$

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