# A basis for the graded identities of the matrix algebra of order two over a finite field of characteristic $p \neq 2$

Plamen Koshlukov\*and Sérgio S. Azevedo<sup>†</sup> IMECC, UNICAMP, Cx. P. 6065 13083-970 Campinas, SP, Brazil e-mail addresses plamen@ime.unicamp.br and sergios@ime.unicamp.br

### Abstract

Let K be a finite field of characteristic p > 2, and let  $M_2(K)$  be the matrix algebra of order two over K. We describe up to a graded isomorphism the 2-gradings of  $M_2(K)$ . It turns out there are only two nonisomorphic nontrivial such gradings. Furthermore we exhibit bases of the graded polynomial identities for each one of these two gradings. One can distinguish these two gradings by means of the graded polynomial identities they satisfy.

## Introduction

The description of the polynomial identities satisfied by an algebra is an important task and it may yield a lot of information about the algebra. One distinguishes three quite different cases depending on the base field K. The first is when K is of characteristic 0; the second when K is infinite, and the third when K is finite. The methods that work in each one of these cases are rather different. In the case char K = 0 one may consider multilinear polynomial identities since they determine all identities of a given algebra. In this case one applies the theory of representations of the symmetric group and other refinements, see, for example [3, 5, 12]. When

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 $|K| = \infty$  it is sufficient to consider multihomogeneous identities. The methods one uses in this case are based on the invariant theory [1]. Finally if K is finite field then neither of the above identities are sufficient. And in general, neither of the methods described can function properly. Instead one uses the structure theory of rings [8, 9] and combinatorics based on the properties of the finite fields.

Let  $M_2(K)$  be the matrix algebra of order two over the field K. Its identities have been extensively studied, see for example [12] for the case char K = 0, [6] for  $|K| = \infty$ , and [10] for finite fields. The graded polynomial identities play an important role in the study of PI algebras, see for example [5]. We fix the non-trivial grading  $\Omega$  on  $M_2(K)$ 

$$\Omega_0 = \left\{ \left( \begin{array}{cc} a & 0 \\ 0 & d \end{array} \right) \mid a, d \in K \right\}, \qquad \Omega_1 = \left\{ \left( \begin{array}{cc} 0 & b \\ c & 0 \end{array} \right) \mid b, c \in K \right\}.$$

When the characteristic of the field K equals zero, char K = 0, O. M. Di Vincenzo [2] showed that the graded identities of  $\Omega$  follow from two identities namely from  $y_1y_2 = y_2y_1$  and  $z_1z_2z_3 = z_3z_2z_1$  for  $y_i$  being even and  $z_i$  odd variables. When K is infinite, the authors [7] proved that the result of O. M. Di Vincenzo holds. In this paper, we prove that if K is a finite field with q elements and char  $K = p \neq 2$  that is K = GF(q) and  $q = p^n$ , then the graded identities of  $\Omega$  are consequences of the identities  $y_1^q = y_1$  and

$$(y_1 + z_1 - (y_1 + z_1)^q)(y_2 + z_2 - (y_2 + z_2)^{q^2})(1 - [y_1 + z_1, y_2 + z_2]^{q-1}) = 0$$

where  $[x_1, x_2] = x_1 x_2 - x_2 x_1$  is the commutator of  $z_1$  and  $z_2$ .

Let  $0 \neq \alpha \in K$ , and define a nontrivial grading  $\Omega^{\alpha}$  on  $M_2(K)$ :

$$\Omega_0^{\alpha} = \left\{ \left( \begin{array}{c} a & d \\ \alpha d & a \end{array} \right) \mid a, d \in K \right\}, \qquad \Omega_1^{\alpha} = \left\{ \left( \begin{array}{c} b & c \\ -\alpha c & -b \end{array} \right) \mid b, c \in K \right\}.$$

We describe, up to a graded isomorphism, the non-trivial gradings for  $M_2(K)$ . Namely, one grading is  $\Omega^{\alpha}$  where  $\alpha$  is a perfect square in K. This grading is isomorphic to  $\Omega$ . The other is  $\Omega^{\alpha}$  where  $\alpha$  is not a perfect square in K. In the latter case the basis for the graded identities consists of the following three identities  $y_1^{q^2} = y_1$ ,  $z_1^{2q-1} = z_1$  and

$$(y_1 + z_1 - (y_1 + z_1)^q)(y_2 + z_2 - (y_2 + z_2)^{q^2})(1 - [y_1 + z_1, y_2 + z_2]^{q-1}) = 0.$$

Our method is similar to the one used in [10] to prove that the ordinary polynomial identities of  $M_2(K)$ , where K is finite field with q elements, follow from the identities

$$(x_1 - x_1^q)(x_2 - x_2^{q^2})(1 - [x_1, x_2]^{q-1}) = 0,$$
  

$$(x_1 - x_1^q) \cdot (x_2 - x_2^q) - ((x_1 - x_1^q) \cdot (x_2 - x_2^q))^q = 0.$$

Here we denote  $x_1 \cdot x_2 = x_1 x_2 + x_2 x_1$ . We also use ideas and methods from [8] and [9].

### 1 Gradings for the matrix algebra of order two

A graded algebra A is an associative algebra that can be expressed as the direct sum of two subspaces  $A_0$  and  $A_1$  such that  $A_iA_j \subseteq A_{i+j}$  where the sum i+j is taken modulo 2. One defines naturally graded subalgebras, ideals, homomorphisms, isomorphisms etc.

Let  $X = \{x_1, x_2, \ldots\}$ ,  $Y = \{y_1, y_2, \ldots\}$  and  $Z = \{z_1, z_2, \ldots\}$  be three sets of symbols such that  $Y \cup Z = X$  and  $Y \cap Z = \emptyset$ . Denote by  $K\langle X \rangle$  the free associative algebra that is freely generated over K by the set X. Let f be a monomial in the algebra  $K\langle X \rangle$ . We say that f is even if it contains an even number of entries from Z, i.e., if its degree with respect to the symbols in Z is even. Otherwise f is called odd. The span of all even (odd) monomials is denoted by  $K\langle X \rangle_0$  (respectively  $K\langle X \rangle_1$ ). Therefore  $K\langle X \rangle = K\langle X \rangle_0 \oplus K\langle X \rangle_1$  becomes a graded algebra. If  $A = A_0 \oplus A_1$  is a graded algebra and  $f(y_1, \ldots, y_m, z_1, \ldots, z_n) \in K\langle X \rangle$  then f is a graded identity for A if  $f(a_1, \ldots, a_m, b_1, \ldots, b_n) = 0$  for all  $a_1, \ldots, a_m \in A_0$  and  $b_1, \ldots, b_n \in A_1$ . A graded ideal  $I = I_0 \oplus I_1$  of A is called  $T_2$ -ideal of A if it is closed under all graded endomorphisms of A. In other words if  $\phi: A \to A$  is a graded homomorphism then  $\phi(I) \subseteq I$ . The set  $T_2(A)$  of all graded identities of A is a  $T_2$ -ideal of  $K\langle X \rangle$ . If  $g \in K\langle X \rangle$  we say that g is  $T_2$ -consequence of f (or that g follows from f as graded identity) if g belongs to the  $T_2$ -ideal generated in  $K\langle X \rangle$  by f.

Let K be a finite field with q elements and characteristic  $p \neq 2$ , K = GF(q) and  $q = p^n$ . For convenience we shall identify the field K with the centre of the matrix algebra  $M_2(K)$ 

**Lemma 1** Let  $A = A_0 \oplus A_1$  be a grading for  $M_2(K)$ . Then:

(i) There exists an invertible element  $u_A$  in  $M_2(K)$  such that  $u_A^2 \neq 0 \in K$  and  $A_0 = \{a \in A \mid au_A = u_A a\}$  and  $A_1 = \{a \in A \mid au_A = -u_A a\};$ 

(*ii*)  $u_A^q = u_A$  or  $u_A^q = -u_A$ ;

(iii) If  $B = B_0 \oplus B_1$  is a grading of  $M_2(K)$  and there exists an invertible matrix P in  $M_2(K)$  such that  $P^{-1}u_AP = u_B$  then the map  $\phi: A \to B$  defined by  $\phi(x) = P^{-1}xP$  is a graded isomorphism.

*Proof:* As  $M_2(K)$  is a central simple (ungraded) algebra and A is a central simple graded algebra, by Lemma 6 of [13], we know that there exists  $u_A \in A$  such that  $0 \neq u_A^2 \in K$  and  $A_0 = \{a \in A \mid au_A = u_Aa\}, A_1 = \{a \in A \mid au_A = -u_Aa\}.$ 

Furthermore,  $u_A^q = u_A$  or  $u_A^q = -u_A$ ; for  $u_A^q = (u_A^2)^{(q-1)/2} u_A = (\alpha I)^{(q-1)/2} u_A = \pm u_A$ where I stands for the identity matrix.

The third assertion follows easily from the fact that  $B_0 = \{b \in B \mid b\phi(u_A) = \phi(u_A)b\}$ , and  $B_1 = \{b \in B \mid b\phi(u_A) = -\phi(u_A)b\}$ . Then observe that if  $a \in A_0$  then  $\phi(a)\phi(u_A) = \phi(au_A) = \phi(u_Aa) = \phi(u_A)\phi(a)$  hence  $\phi(a) \in B_0$ . Similarly, if  $a \in A_1$  then  $\phi(a)\phi(u_A) = \phi(au_A) = -\phi(u_Aa) = -\phi(u_A)\phi(a)$ , therefore  $\phi(a) \in B_1$ .

For example, for the gradings  $\Omega$  and  $\Omega^{\alpha}$  one can choose the elements

$$u_{\Omega} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
 and  $u_{\Omega^{\alpha}} = \begin{pmatrix} 0 & 1 \\ \alpha & 0 \end{pmatrix}$ ,

respectively. For the trivial grading T, the element  $u_T$  can be chosen as the identity matrix.

Now we are ready to show that there exist only two non-trivial gradings for  $M_2(K)$ . More precisely, when  $A = A_0 \oplus A_1$  is a grading for  $M_2(K)$ , if  $u_A^q = u_A$ , then A is isomorphic to  $\Omega^{\alpha}$  for any perfect square  $0 \neq \alpha \in K$ , else A is isomorphic to  $\Omega^{\alpha}$  for any perfect square  $0 \neq \alpha \in K$ , else A is isomorphic to  $\Omega^{\alpha}$  for any not square  $\alpha \in K$ . Besides, when  $\alpha \neq 0$  is a square in K then the gradings  $\Omega$  and  $\Omega^{\alpha}$  are isomorphic. We shall prove these facts in the next lemmas.

**Lemma 2** Every non-trivial grading  $A = A_0 \oplus A_1$  of  $M_2(K)$  such that  $u_A^q = u_A$  is isomorphic to  $\Omega$ .

 $\begin{array}{l} Proof: \text{ If } u_A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ then } u_A^2 = \begin{pmatrix} a^2 + bc & b(a+d) \\ c(a+d) & d^2 + bc \end{pmatrix}. \text{ Therefore, since} \\ u_A^2 \in K, \text{ we have } b = c = 0 \text{ or } a = -d. \text{ In the first case } A_0 = M_2(K) \text{ and} \\ A_1 = 0, \text{ which is a contradiction. So } a = -d. \text{ The characteristic polynomial of } u_A \text{ is } \\ f(x) = x^2 - (a^2 + bc) \text{ whose roots are } \pm \lambda \text{ where } \lambda = \sqrt{a^2 + bc}. \text{ But } u_A^2 \neq 0 \text{ implies} \\ a^2 + bc \neq 0. \text{ Hence there exists an invertible matrix } P \in M_2(GF(q^2)) \text{ such that} \\ P^{-1}u_AP = \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}^q \text{ .Thus} \\ \begin{pmatrix} \lambda^q & 0 \\ 0 & (-\lambda)^q \end{pmatrix} = \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}^q = (P^{-1}u_AP)^q = P^{-1}u_A^qP = P^{-1}u_AP = \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}. \end{array}$ 

Therefore  $\lambda \in GF(q)$ ,  $P \in M_2(K)$  and the map  $\phi: A \to \Omega$  defined by  $\phi(x) = P^{-1}xP$  is a graded isomorphism.

**Remark 3** If  $\alpha \neq 0$  is a square in K then  $u_{\Omega^{\alpha}}^q = u_{\Omega^{\alpha}}$ . For instance when  $\alpha = 1$  we have the grading  $\Omega^1$  where  $u_{\Omega^1} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Its eigenvalues are -1 and 1. Therefore there exists an invertible matrix  $P \in M_2(GF(q))$  such that

$$P^{-1}\left(\begin{array}{cc}0&1\\1&0\end{array}\right)P=\left(\begin{array}{cc}1&0\\0&-1\end{array}\right).$$

Hence

$$P = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \quad P^{-1} = \begin{pmatrix} 1/2 & 1/2 \\ 1/2 & -1/2 \end{pmatrix}.$$

The graded isomorphism  $\phi: \Omega^1 \to \Omega$  such that  $\phi(x) = P^{-1}xP$  is the following:

$$\phi\left(\left(\begin{array}{cc}a&b\\c&d\end{array}\right)\right) = \frac{1}{2}\left(\begin{array}{cc}a+b+c+d&a-b+c-d\\a+b-c-d&a-b-c+d\end{array}\right)$$

**Corollary 4** Every grading of  $M_2(K)$  satisfying the identity  $y_1^q = y_1$  is isomorphic to  $\Omega$ .

**Lemma 5** Every non-trivial grading  $A = A_0 \oplus A_1$  of  $M_2(K)$  such that  $u_A^q = -u_A$  is isomorphic to  $\Omega^{\alpha}$ , for any  $\alpha \in K$  that is not perfect square.

Proof: If  $u_A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , then  $u_A^2 = \begin{pmatrix} a^2 + bc & b(a+d) \\ c(a+d) & d^2 + bc \end{pmatrix}$ . Therefore, b = c = 0 or a = -d. If b = c = 0 we obtain the trivial grading, so a = -d. The characteristic polynomial of  $u_A$  is  $f(x) = x^2 - (a^2 + bc)$  whose roots are  $\pm \lambda$  where  $\lambda = \sqrt{a^2 + bc}$ . Since  $u \neq 0$  we get that  $a^2 + bc \neq 0$ . Furthermore  $\lambda \notin K$ , for  $u_A^q \neq u_A$ ; i.e.  $a^2 + bc$  is not a square in K. As  $\alpha$  and  $a^2 + bc$  are not squares in K then  $\alpha(a^2 + bc)$  is a square in K. Choose  $\beta \in K$  such that  $\beta^2 = \alpha(a^2 + bc)$ , and consider the matrix  $u'_A = \frac{\alpha}{\beta} \begin{pmatrix} a & b \\ c & -a \end{pmatrix}$ . Its characteristic polynomial is  $f(x) = x^2 - \alpha$  whose roots are  $\sqrt{\alpha}$  and  $-\sqrt{\alpha}$ , and there exists an invertible  $P \in M_2(K(\sqrt{\alpha}))$  such that

$$P^{-1}u'_A P = \left(\begin{array}{cc} \sqrt{\alpha} & 0\\ 0 & -\sqrt{\alpha} \end{array}\right).$$

The characteristic polynomial of  $u_{\Omega^{\alpha}}$  is  $f(x) = x^2 - \alpha$  as well, and for some invertible  $Q \in M_2(K(\sqrt{\alpha}))$  we have

$$Q^{-1}u_{\Omega^{\alpha}}Q = \left(\begin{array}{cc} \sqrt{\alpha} & 0\\ 0 & -\sqrt{\alpha} \end{array}\right).$$

Thus  $(PQ^{-1})^{-1}u'_A PQ^{-1} = u_{\Omega^{\alpha}}$  and the map  $\phi: A \to \Omega^{\alpha}, \phi(x) = P^{-1}xP$ , is a graded isomorphism.

**Remark 6** If  $\alpha$  is not a square in K then  $u_{\Omega^{\alpha}}^q = -u_{\Omega^{\alpha}}$ . Thus for  $K = \mathbb{Z}_3$  and  $\alpha = -1$ , we obtain the grading

$$\Omega_0^{-1} = \left\{ \begin{pmatrix} a & d \\ -d & a \end{pmatrix} \mid a, d \in K \right\}, \quad \Omega_1^{-1} = \left\{ \begin{pmatrix} b & c \\ c & -b \end{pmatrix} \mid b, c \in K \right\}$$

where  $u_{\Omega^{-1}} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ , and  $u_{\Omega^{-1}}^3 = -u_{\Omega^{-1}}$ , because -1 is not a square in K.

## **2** The graded identities of $\Omega$

The next theorem supplies a basis for the graded identities of  $\Omega$ .

**Theorem 7** The graded identities for  $\Omega$  follow from the identities

$$f_1(y_1) = y_1^q - y_1,$$
  

$$f_2(y_1, y_2, z_1, z_2) = (X_1 - X_1^q)(X_2 - X_2^{q^2})(1 - [X_1, X_2]^{q-1})$$

where  $X_1 = y_1 + z_1$ ,  $X_2 = y_2 + z_2$ .

The proof of this theorem is modelled on the paper of Maltsev and Kuzmin [10] for ungraded identities.

A variety of graded algebras  $\mathfrak{V}$  is the class of all graded algebras satisfying a given collection of graded polynomial identities. Clearly  $\mathfrak{V}$  is closed under the operations of taking graded subalgebras, graded homomorphic images, and direct products. The variety  $\mathfrak{V}$  is generated by a class  $\mathfrak{A}$  of graded algebras, if every graded algebra in  $\mathfrak{V}$  can be obtained from algebras in  $\mathfrak{A}$  by a finite number of applications of these operations. We write  $\mathfrak{V} = Var\mathfrak{A}$  and if  $\mathfrak{A}$  contains only one graded algebra A we use the notation  $\mathfrak{V} = VarA$ .

**Lemma 8** If A is a finite graded K-algebra, then there exists a class  $\mathfrak{A}$  of subdirectly irreducible finite graded K-algebras such that  $VarA = Var\mathfrak{A}$ .

Proof: For  $0 \neq a \in A$ , let  $I_a$  be a graded ideal of A maximal with respect to the exclusion of a. (According to Zorn's Lemma such  $I_a$  does exist.) The projections  $\pi_a: A \to A/I_a$  are graded epimorphisms and  $\bigcap_{\phi \neq a \in A} \ker \pi_a = \bigcap_{a \in A} I_a = 0$ . Hence A is a subdirect product of the algebras  $A/I_a$ . As  $a + I_a$  is not zero and belongs to all nonzero ideal of  $A/I_a$ , then  $A/I_a$  is subdirectly irreducible. Let  $\mathfrak{A}$  be the class of the graded algebras  $A/I_a$ .

Suppose that  $a \in A$ ; then obviously  $T_2(A) \subseteq T_2(A/I_a)$ .

If  $f(y_1, \ldots, y_m, z_1, \ldots, z_n) \in T_2(A/I_a)$ , then  $f(a_1, \ldots, a_m, b_1, \ldots, b_n) \in \ker \pi_a$  for all  $a_1, \ldots, a_m \in A_0$  and  $b_1, \ldots, b_n \in A_1$ , and  $a \in A$ . Hence  $f \in \bigcap_{a \in \Omega} T_2(A/I_a)$ .

The next lemma is analogous to the result 2.2 of [8].

**Lemma 9** Every variety of graded algebras is generated by its finitely generated algebras.

The exponent of a variety of graded algebras  $\mathfrak{V}$  is the greatest lower bound of the set of all positive integers r such that ra = 0 for every element a belonging to every algebra of  $\mathfrak{V}$ . The index of  $\mathfrak{V}$  is the least upper bound of the set of all nilpotent indices of its nilpotent algebras, see [8], [9] for details. The next lemma is analogous to [9], Corollary 2.9. **Lemma 10** A variety of graded algebras having finite index and exponent is locally finite.

**Theorem 11** A variety  $\mathfrak{V}$  of graded algebras having finite index and exponent is generated by a class of subdirectly irreducible finite graded algebras.

*Proof:* According to the previous two lemmas,  $\mathfrak{V}$  is generated by a class of finite graded algebras. Hence  $\mathfrak{V}$  is generated by a class of subdirectly irreducible finite graded algebras.

We denote by  $\mathfrak{V}$  the variety of 2-graded algebras defined by the identities  $f_1 = 0$ and  $f_2 = 0$ .

Lemma 12  $Var\Omega \subseteq \mathfrak{V}$ .

*Proof:* Since  $a^q = a$  for every  $a \in K$  then  $f_1$  is a graded identity of  $\Omega$ . By [10],  $f_2$  is an identity of  $M_2(K)$  and hence of  $\Omega$ .

Lemma 13  $\mathfrak{V} \subseteq Var\Omega$ .

*Proof:* Let  $N = N_0 \oplus N_1$  be a nilpotent algebra in  $\mathfrak{V}$ . Then  $N_0$  is also a nilpotent algebra of  $\mathfrak{V}$ . The nilpotency index s of  $N_0$  is 2; for if s > 2, we can take elements  $a_1, \ldots, a_{s-1} \in N_0$  such that  $a_1 \ldots a_{s-1} \neq 0$ . By  $f_1$ , we know that

$$0 = (a_1 \dots a_{s-1})^q - a_1 \dots a_{s-1} = a_1 \dots a_{s-1},$$

which is a contradiction. Thus if  $a \in N_0$  then  $a = a^q = 0$ . Therefore  $N_0 = 0$  and  $N = N_1$ . Moreover, as  $N_1 N_1 \subseteq N_0 = 0$ , we have that  $N^2 = 0$ .

The variety  $\mathfrak{V}$  has finite index and exponent. By Theorem 11,  $\mathfrak{V}$  is generated by a class of subdirectly irreducible finite graded algebras. To prove the lemma, it suffices to show that each of these algebras belongs to  $Var\Omega$ . We shall prove even more: each of them is isomorphically embedded in  $\Omega$ . Till the end of the proof, we assume that A is a finite subdirectly irreducible algebra in  $\mathfrak{V}$ .

If A is nilpotent then  $A_0 = 0$ ,  $A_1 = A$ ,  $A^2 = 0$  and  $\dim_K A = 1$ ; for if  $a_1, a_2 \in A$ were linearly independent, the subspaces spanned by  $a_1$  and  $a_2$  would have been ideals with intersection zero. Thus the map  $\phi: A \to \Omega$  defined by  $\phi(\alpha g) = \alpha e_{12}$  is a graded monomorphism, where g is a generator of A.

Suppose A is a simple ungraded algebra i.e.,  $A = M_k(GF(p^t))$  and  $p^t \ge q$ . If  $k \ge 3$  then  $f_2(a_0, b_0, a_1, b_1) = e_{13} \ne 0$  where  $a_0, b_0 \in A_0$  and  $a_1, b_1 \in A_1$  are such that  $e_{12} = a_0 + a_1$  and  $e_{23} = b_0 + b_1$ .

Hence  $k \leq 2$ . Let k = 2. If  $a_0, b_0 \in A_0$  and  $a_1, b_1 \in A_1$  are such that  $\alpha e_{11} = a_0 + a_1$  and  $e_{12} = b_0 + b_1$ , then  $f_2(a_0, b_0, a_1, b_1) = (\alpha^q - \alpha)e_{12} = 0$ . Hence  $\alpha - \alpha^q = 0, q \geq p^t$ , and  $A = M_2(GF(q))$ . By Corollary 4,  $\Omega$  and A are isomorphic.

Now let us consider k = 1, i.e.,  $A = GF(p^t)$ . If  $0 \neq a \in A_1$  then

$$a^{q^{2}} = (a^{2})^{(q+1)(q-1)/2}a = (a^{2}a^{2})^{(q-1)/2}a = (a^{2})^{q-1}a = a^{2q}a^{-2}a = a^{2}a^{-2}a = a.$$

If  $a_0 \in A_0$  and  $a_1 \in A_1$ , then  $(a_0 + a_1)^{q^2} = a_0^{q^2} + a_1^{q^2} = a_0 + a_1$ . Therefore  $\alpha^{q^2} - \alpha = 0$ for any  $\alpha \in A$ , whereby  $q^2 \ge p^t$ , A = GF(q) or  $A = GF(q^2)$ . If A = GF(q) then there exists an injective graded homomorphism from A into  $\Omega$ . If  $A = GF(q^2)$  then the unique possible grading is  $A_0 \cong GF(q)$  and  $A_1 \cong GF(q)$  and, by Lemma 5 of [13], there exists  $u \in A_1$  such that  $A_1 = A_0 u$  and  $u^2 = a \neq 0$  belongs to GF(q). Hence  $A = GF(q)\mathbf{1} + GF(q)u$ , where  $\mathbf{1}$  is the multiplicative unit of A. Thus the map  $\phi: A \to \Omega$  defined as

$$\phi(\alpha \mathbf{1} + \beta u) = \left(\begin{array}{cc} \alpha & \beta a \\ \beta & \alpha \end{array}\right)$$

is an injective graded homomorphism.

Now suppose  $A = B \oplus N$  as a direct sum of vector spaces where B is a semisimple ungraded subalgebra of A and N is the Jacobson radical of A. The Jacobson radical is graded [5] and, since N is nilpotent,  $N^2 = 0$ . If  $x \in A_0 \cap N$  then  $f_1$  implies that  $x = x^q = 0$  thus  $N \subseteq A_1$ . Hence  $A_1 = A_1 \cap B \oplus N$ . If  $x \in A_1 \cap B$  and  $u \in N$ , then  $ux, xu \in A_0 \cap N$ , i.e., ux = xu = 0. Therefore x = 0, for the ideal of A generated by x has zero intersection with N. Hence  $A_1 = N$ . As  $A/N \cong B$  and  $A/N \cong A_0$ , we have  $A_0 \cong B$ . Thus  $A_0$  is a semisimple ungraded subalgebra of A.

Let  $A_0 = B_1 \oplus \ldots \oplus B_s$  be the (ungraded) decomposition of  $A_0$  in simple algebras. The identity  $f_1$  implies that  $B_i = GF(q)$  for every *i*. Let  $e_i$  be the unit of the subalgebra  $B_i$ . Since *A* is subdirectly irreducible then  $AN \neq 0$  or  $NA \neq 0$ . Suppose that  $AN \neq 0$ . Since the ideals  $e_iN$  intersect in zero, only one of them is nonzero, say  $e_1N$ . Since *N* decomposes into a direct sum of ideals  $N = e_1N \oplus (1 - e_1)N$ , we have  $(1 - e_1)N = 0$  and  $N = e_1N$ . Similarly the ideals  $Ne_i$  have intersection zero, therefore at most one of them can be different from 0. There are three possible cases.

**Case 1:** NA = 0. Then  $A_0 = B_1 = GF(q)$  and N is one-dimensional vector space over GF(q). The map  $\phi: A \to M_2(GF(q))$  defined as

$$\phi(\alpha + \beta u) = \left(\begin{array}{cc} \alpha & \beta \\ 0 & 0 \end{array}\right)$$

where  $\alpha, \beta \in GF(q)$  and  $0 \neq u \in N$  is fixed, is an injective graded homomorphism.

**Case 2:**  $Ne_1 \neq 0$ ,  $N = e_1Ne_1$ . Again  $A_0 = B_1 = GF(q)$  and we consider N as (GF(q), GF(q))-bimodule. Since A is subdirectly irreducible, N cannot have

nonzero subbimodules with intersection zero. Therefore there exists an automorphism  $\sigma$  of GF(q) such that  $x\alpha = \sigma(\alpha)x$  for all  $x \in N$  and all  $\alpha \in GF(q)$  (see [11], p. 315). Thus each subspace of N is a subbimodule and therefore N is onedimensional vector space over GF(q). The map  $\phi: A \to M_2(GF(q))$  defined as

$$\phi(\alpha + \beta u) = \begin{pmatrix} \alpha & \beta \\ 0 & \sigma(\alpha) \end{pmatrix},$$

where  $\alpha, \beta \in GF(q)$  and  $0 \neq u \in N$ , is an injective graded homomorphism.

**Case 3:**  $Ne_2 \neq 0$ ,  $N = e_1Ne_2$ . In this case  $A_0 = B_1 \oplus B_2 = GF(q) \oplus GF(q)$ ,  $NB_1 = B_2N = 0$  and N is a (GF(q), GF(q))-bimodule. Repeating the argument of case 2, we know that there exists an automorphism  $\sigma$  of GF(q) such that  $x\alpha = \sigma(\alpha)x$ , for all  $x \in N$  and all  $\alpha \in GF(q)$ , and N is one-dimensional vector space over GF(q). The map  $\phi: A \to M_2(GF(q))$  defined as

$$\phi(\alpha + \beta + \gamma u) = \begin{pmatrix} \alpha & \gamma \\ 0 & \sigma(\beta) \end{pmatrix},$$

where  $\alpha, \gamma \in B_1, \beta \in B_2$  and  $0 \neq u \in N$  is some fixed element, is an injective graded homomorphism.

**Remark 14** We list some other identities for  $\Omega$ :

$$\begin{aligned} f_3(y_1, y_2) &= y_1 y_2 - y_2 y_1, \\ f_4(z_1, z_2, z_3) &= z_1 z_2 z_3 - z_3 z_2 z_1, \\ f_5(y_1, z_1) &= (y_1 \cdot z_1)^q - z_1^{q-1}(y_1 \cdot z_1), \\ f_6(z_1, z_2) &= (z_1^{2(q-1)} - 1) z_1 z_2 (1 - [z_1, z_2]^{q-1}), \\ f_7(z_1, z_2) &= (z_1^{2(q-1)} - 1) z_2 z_1 (1 - [z_1, z_2]^{q-1}), \\ f_8(y_1, y_2, z_1, z_2) &= (X_1 - X_1^{q^2}) (1 - [X_1, X_2]^{q-1}) (X_2 - X_2^q), \\ f_9(y_1, y_2, z_1, z_2) &= (X_1 - X_1^q) \cdot (X_2 - X_2^q) - ((X_1 - X_1^q) \cdot (X_2 - X_2^q))^q \end{aligned}$$

where  $X_i = y_i + z_i$ , i = 1, 2. The identity  $f_3$  follows from the identity  $f_1$  (see for example [4], p. 73). The identities  $f_8$  and  $f_9$  are known by [10], and we can change the identity  $f_2$  in Theorem 7 by any one of these.

### **3** The graded identities of $\Omega^{\alpha}$ for $\alpha$ not a square

The next theorem supplies a basis for the graded identities of  $\Omega^{\alpha}$ .

**Theorem 15** The graded identities for  $\Omega^{\alpha}$  follow from the identities

$$g_1(y_1) = y_1^{q^2} - y_1,$$
  

$$g_2(z_1) = z_1^{2q-1} - z_1,$$
  

$$g_3(y_1, y_2, z_1, z_2) = (X_1 - X_1^q)(X_2 - X_2^{q^2})(1 - [X_1, X_2]^{q-1})$$

where  $X_1 = y_1 + z_1$ ,  $X_2 = y_2 + z_2$ .

Let  $\mathfrak{V}$  be the variety of graded algebras defined by the identities  $g_1 = g_2 = g_3 = 0$ . Lemma 16  $Var\Omega^{\alpha} \subseteq \mathfrak{V}$ .

*Proof:* Let  $y_1 = \begin{pmatrix} a & d \\ \alpha d & a \end{pmatrix}$  be a matrix in  $\Omega_0^{\alpha}$ . Its characteristic polynomial is  $f(x) = x^2 - (a^2 + \alpha d^2)$  with eigenvalues  $\pm \lambda$  where  $\lambda = \sqrt{a^2 + \alpha d^2}$ . First we observe that

$$\lambda^{q^2} = (\sqrt{a^2 + \alpha d^2})^{q^2} = (a^2 + \alpha d^2)^{(q+1)(q-1)/2} \lambda = (a^2 + \alpha d^2)^{(q-1)} \lambda = \lambda.$$

Similarly we obtain  $(-\lambda)^{q^2} = -\lambda$ . So, since there exists an invertible matrix  $P \in M_2(GF(q^2))$  such that

$$P^{-1}y_1P = \left(\begin{array}{cc} \lambda & 0\\ 0 & -\lambda \end{array}\right),$$

we have  $y_1^{q^2} = y_1$ . Now let

$$z_1 = \begin{pmatrix} b & c \\ -\alpha c & -b \end{pmatrix} \in \Omega_1^{\alpha}.$$

Since

$$z_1^2 = \left(\begin{array}{cc} b^2 - \alpha c^2 & 0\\ 0 & b^2 - \alpha c^2 \end{array}\right)$$

and  $b^2 - \alpha c^2 \neq 0$  for  $\alpha$  is not a square in K, we have  $(z_1^2)^{q-1} = 1$ . Then  $z_1^{2q-1} = z_1$ . Finally, by [10], we know that  $g_3$  is a graded identity of  $\Omega^{\alpha}$ .

### Lemma 17 $\mathfrak{V} \subseteq Var\Omega^{\alpha}$ .

*Proof:* Let  $N = N_0 \oplus N_1$  be a nilpotent algebra in  $\mathfrak{V}$ . Hence  $N_0$  is also a nilpotent algebra in  $\mathfrak{V}$ . The nilpotency index s of  $N_0$  is 2; for if s > 2, we can take elements  $a_1, \ldots, a_{s-1} \in N_0$  such that  $a_1 \ldots a_{s-1} \neq 0$  and, by  $g_1$ , we get

$$0 = (a_1 \dots a_{s-1})^{q^2} - a_1 \dots a_{s-1} = a_1 \dots a_{s-1}$$

which is a contradiction. Thus if  $a \in N_0$  then  $a = a^{q^2} = 0$  and therefore  $N_0 = 0$ . Moreover, as  $N_1N_1 \subseteq N_0 = 0$ , we have  $N_1^2 = 0$ . If  $a \in N_1$  then  $a = a^{2q-1} = 0$ ,  $N_1 = 0$  and N = 0.

The variety  $\mathfrak{V}$  has finite index and exponent. By Theorem 11,  $\mathfrak{V}$  is generated by a class of subdirectly irreducible finite graded algebras. To prove the lemma it suffices to show that each of these algebras belongs to  $Var\Omega^{\alpha}$ . We shall prove even more: each of them is isomorphically embedded in  $\Omega^{\alpha}$ . Till the end of the proof we consider A as a finite subdirectly irreducible algebra in  $\mathfrak{V}$ .

Suppose  $A = B \oplus N$  as a direct sum of vector spaces where B is a semisimple (ungraded) subalgebra of A and N is the Jacobson radical of A. Since N is graded ideal and it is nilpotent, N = 0. Thus considering  $A = B_1 \oplus \ldots \oplus B_s$ , the decomposition of A in simple ungraded algebras, we see, due to the subdirect irreducibility of A, that s = 1 i.e., A is a simple algebra.

Now suppose A is simple ungraded algebra i.e.,  $A = M_k(GF(p^t))$  and  $p^t \ge q$ . Observe that  $k \le 2$ , for if  $k \ge 3$  then  $g_3(a_0, b_0, a_1, b_1) = e_{13} \ne 0$  where  $a_0, b_0 \in A_0$ and  $a_1, b_1 \in A_1$  are such that  $e_{12} = a_0 + a_1$  and  $e_{23} = b_0 + b_1$ .

Let us consider k = 2. If  $a_0, b_0 \in A_0$  and  $a_1, b_1 \in A_1$  are such that  $\alpha e_{11} = a_0 + a_1$ and  $e_{12} = b_0 + b_1$  then

$$g_3(a_0, b_0, a_1, b_1) = (\alpha^q - \alpha)e_{12} = 0;$$

hence  $\alpha - \alpha^q = 0$ ,  $q \ge p^t$ ,  $A = M_2(GF(q))$ . By Lemma 1 we have two possibilities:  $u_A^q = u_A$  or  $u_A^q = -u_A$ . If  $u_A^q = u_A$  then A is isomorphic to  $\Omega$ , and it is a contradiction because  $\Omega$  does not satisfy  $g_2$ . Hence we have  $u_A^q = -u_A$ . By Lemma 5 there exists a graded isomorphism between  $\Omega^{\alpha}$  and A.

Now let us consider k = 1 i.e.,  $A = GF(p^t)$ . If  $0 \neq a \in A_1$  then

$$a^{q^2} = (a^2)^{(q+1)(q-1)/2}a = (a^{2q}a^2)^{(q-1)/2}a = (a^2)^{q-1}a = a^{2q-1} = a.$$

If  $a_0 \in A_0$  and  $a_1 \in A_1$ , then

$$(a_0 + a_1)^{q^2} = a_0^{q^2} + a_1^{q^2} = a_0 + a_1.$$

Therefore  $\alpha^{q^2} - \alpha = 0$  for any  $\alpha \in A$ , whereby  $q^2 \ge p^t$ , A = GF(q) or  $A = GF(q^2)$ . If A = GF(q) then there exists an injective graded homomorphism from A into  $\Omega^{\alpha}$ . If  $A = GF(q^2)$  then the unique possible grading is  $A_0 \cong K$  and  $A_1 \cong K$  and, by Lemma 5 of [13], there exists an element  $u \in A_1$  such that  $A_1 = A_0 u$  and  $0 \neq u^2 = a \in K$ . Hence A = Ka + Ku, and the map  $\phi: A \to \Omega^{\alpha}$  defined as

$$\phi(\beta a + \gamma u) = \left(\begin{array}{cc} \beta a + \gamma u & 0\\ 0 & \beta a - \gamma u \end{array}\right)$$

is an injective graded homomorphism.

**Remark 18** We list some other identities for  $\Omega^{\alpha}$ :

$$\begin{array}{ll} g_4(y_1, y_2) &= y_1 y_2 - y_2 y_1, \\ g_5(z_1, z_2, z_3) &= z_1 z_2 z_3 - z_3 z_2 z_1, \\ g_6(y_1, z_1) &= z_1^{2q-2} y_1 - y_1, \\ g_7(y_1, z_1) &= (y_1 \cdot z_1)^q - z_1^{q-1} (y_1 \cdot z_1), \\ g_8(y_1, y_2, z_1, z_2) &= (X_1 - X_1^{q^2}) (1 - [X_1, X_2]^{q-1}) (X_2 - X_2^q), \\ g_9(y_1, y_2, z_1, z_2) &= (X_1 - (X_1)^q) \cdot (X_2 - X_2^q) - ((X_1 - X_1^q) \cdot (X_2 - X_2^q))^q \end{array}$$

where  $X_i$  stands for  $y_i + z_i$ , i = 1, 2. The identity  $g_4$  follows from  $g_1$ . The identities  $g_8$  and  $g_9$  are known by [10], and we can change the identity  $g_3$  in Theorem 15 by any of these.

**Corollary 19** The nonisomorphic gradings of the matrix algebra of order two over a finite field are distinguished by their polynomial identities.

In fact it is sufficient to consider the graded identity  $y_1^q - y_1$ . It is satisfied if and only if the grading is isomorphic to  $\Omega$ .

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