

CODIMENSION TWO COMPLETE NON-COMPACT SUBMANIFOLDS  
WITH NON-NEGATIVE CURVATURE

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# CODIMENSION TWO COMPLETE NON-COMPACT SUBMANIFOLDS WITH NON-NEGATIVE CURVATURE

## ABSTRACT:

We study the topology of complete non-compact manifolds with non-negative sectional curvatures isometrically immersed in Euclidean spaces with codimension two. We investigate some conditions which imply that a such manifold is a topological product of a soul by a Euclidean space and this gives a complete topological description of this manifold.

## 1. INTRODUCTION

In [9], Sacksteder studied isometric immersions of manifolds with non-negative sectional curvatures in Euclidean spaces with codimension one, under non-degeneracy conditions about the curvature, namely, that at least one sectional curvature is positive at each point on the manifold. Under the same hypotheses we want to obtain a topological characterization of complete non-compact manifolds isometrically immersed in codimension two. This uses the existence of a compact soul in  $M$ , proved by Cheeger - Croke in [6]. Balbin - Noronha in [4], show some results along the same line. Basically, it is proved that if this manifold  $M^n$  is simply connected then  $M$  is diffeomorphic to  $A^k \times \mathbb{R}^{n-k}$ , where  $A$  is a  $k$ -dimensional soul of  $M$ . We obtain a similar conclusion without the simply connected condition and this allows us to know the topology of the manifold, as we know the topology of the compact soul by [2] and [3]. Our first result states:

Theorem 1: Let  $f: M^n \rightarrow \mathbb{R}^{n+2}$  be a substantial isometric immersion of a complete non-compact manifold with non-negative sectional curvatures, such that at least one of them is positive at each point  $x$  in  $M$  and let  $A^k$  be a  $k$ -dimensional soul of  $M$ . Then if  $k \geq 2$ ,  $M$  is diffeomorphic to  $A^k \times \mathbb{R}^{n-k}$  or  $\pi_1(M)$  is finite. In the latter case  $M$  has the homotopy type of the Real Projective Space  $\mathbb{R}P^2$  or  $k=3$ .

Remark. In the former case the possibilities for  $A^k$  follow from [2] and [3]. They are that  $A^k$  is homeomorphic to a sphere, or a product of two spheres, or a product of the circle  $S^1$  by a homotopy sphere, or is diffeomorphic to the total space of a non-orientable fiber bundle over  $S^1$  whose fibers are homotopy spheres.

This theorem is proved by showing that the two-dimensional flat torus and also the two-dimensional flat Klein bottle cannot be a soul for this manifold and when  $k \geq 3$  we prove that, if  $\pi_1(M) = \mathbb{Z}$ , then the immersion is reducible along a soul  $A$  (see definition (2.7) below). This means that  $f$  reduces codimension when restricted to the soul.

Theorem 2: Let  $f: M^n \rightarrow \mathbb{R}^{n+2}$  be an isometric immersion with the same hypothesis of Theorem 1. If  $M$  is simply connected there exists an isometric immersion of the soul  $A$  in Euclidean space with codimension two.



This, together with Proposition 3.3 in [2], imply that the Complex Projective Space  $\mathbb{CP}^2$  cannot be a soul for this manifold  $M$ .

Before we state our next result, we want to recall that the curvature tensor  $R$  at  $x$  in  $M$  can be regarded as an endomorphism  $\mathfrak{R}$  of  $T_x M \wedge T_x M$  which is symmetric with respect to the inner product defined by the Riemannian metric. The hypotheses of the above theorems imply that for each point  $x$  in  $M$ , there exist vectors  $U, V$  in  $T_x M$  such that  $\mathfrak{R}(U \wedge V) \neq 0$ . A two-form  $\mathfrak{R}(U \wedge V)$  is defined to have rank  $2p$  iff  $p$  is the largest integer such that  $\mathfrak{R}(U \wedge V) \wedge \dots \wedge \mathfrak{R}(U \wedge V)$  ( $p$  times)  $\neq 0$ . Since we are studying codimension two, the two-form  $\mathfrak{R}(U \wedge V)$  has rank at most 4.

Theorem 3: Let  $f: M^n \rightarrow \mathbb{R}^{n+2}$  be a substantial isometric immersion of a complete non-compact manifold with non-negative sectional curvatures and such that for every point  $x$  in  $M$  there are vectors  $U, V$  in  $T_x M$  such that  $\mathfrak{R}(U \wedge V)$  has rank 4. Let  $A^k$  be a  $k$ -dimensional soul of  $M$ ,  $k \neq 0$ . Then  $k \geq 2$  and  $M$  is diffeomorphic to  $A^k \times \mathbb{R}^{n-k}$ .

Moreover

- i) If  $k \geq 3$ , then  $M$  is simply connected.
- ii) If  $k = 2$ ,  $A$  is either the sphere  $S^2$  or the real projective space  $\mathbb{RP}^2$ .

Finally, we will consider the index of relative nullity of  $f$  at a point  $x$  in  $M$  as

$$\nu_f(x) = \dim \{ X \in T_x M : \alpha(X, Y) = 0, \forall Y \in T_x M \}$$



where  $\alpha$  is the second fundamental form. By Hartman [7], if  $M$  is not a cylinder, there exists a point  $x$  in  $M$  such that  $\nu_f(x)=0$ . If this point belongs to a soul we conclude:

Theorem 4: Let  $f: M^n \rightarrow \mathbb{R}^{n+2}$  be a substantial isometric immersion of a complete non-compact manifold with non-negative sectional curvatures and  $k$ -dimensional soul  $A$ . If there is a point  $x \in A$  such that  $\nu_f(x)=0$  we have:

- i) If  $k \geq 3$ ,  $M^n$  is simply connected and diffeomorphic to  $A^k \times \mathbb{R}^{n-k}$ , where  $A^k$  is homeomorphic to the sphere  $S^k$ .
- ii) If  $k=2$  then  $M^n$  is diffeomorphic to  $S^2 \times \mathbb{R}^{n-2}$  or has the homotopy type of  $\mathbb{R}P^2$ .

We want to observe that the results of Cheeger - Gromoll in [6] do not allow us to know the dimension of the soul. However, under our hypotheses, if the manifold has  $\pi_1(M) = \mathbb{Z}$  and is not a topological product of a compact manifold by a Euclidean space, we can conclude that the soul is homeomorphic to the circle  $S^1$ .

## 2. SOME KNOWN RESULTS OF THE SOUL

It is a well known result of Weinstein [10], that if the codimension of a isometric immersion is two then the non-negativity of the sectional curvatures ( $K \geq 0$ ) implies the non-negativity of the curvature operator ( $\mathcal{R} \geq 0$ ).

For the case where  $M^n$  is complete non-compact manifold with  $\mathcal{R} \geq 0$ , we now collect some properties of a soul  $A$  of  $M$ . We denote by  $A^k$  a  $k$ -dimensional soul of  $M$ ,  $0 \leq k < n$ . We consider the splitting of the tangent bundle of  $M$ ,  $TM = TA \oplus TA^\perp$ , where  $TA$  is the tangent bundle of  $A$  and  $TA^\perp$  is the normal bundle of the inclusion  $A \subset M$ . We observe the following properties of a soul :

- (2.1) If the soul is a point, then  $M$  is diffeomorphic to  $\mathbb{R}^n$ . (See[6])
- (2.2) A soul  $A$  of  $M$  is a compact, totally convex submanifold of  $M$  without boundary and has  $\mathcal{R} \geq 0$ . (See[6])
- (2.3) The inclusion  $i: A \rightarrow M$  is a homotopy equivalence and  $M$  is diffeomorphic to the total space of  $TA^\perp$ . (See [6]).
- (2.4) If  $X \in TA$  and  $Y \in TA^\perp$ , then  $\mathcal{R}(X \wedge Y) = 0$ . Moreover,  $\mathcal{R}(\Lambda^2(TA)) \subset \Lambda^2(TA)$  and  $\mathcal{R}(\Lambda^2(TA^\perp)) \subset \Lambda^2(TA^\perp)$ . ( See [4], lemma 3.1) .
- (2.5) The normal curvature tensor  $R^\perp$  of the inclusion  $i: A \rightarrow M$  vanishes (See [4], lemma 3.2).

From these properties we can state the following theorem (proved in [4])

- (2.6) Theorem: If  $\pi_1(M) = \{0\}$  and  $\mathcal{R} \geq 0$  then  $M$  is a topological product of a soul by a Euclidean space.

In order to prove Theorems 1 and 3 in the case that  $\pi_1(M) \neq \{0\}$ , we need an extrinsic property of the immersion, namely, reducibility along the soul.

(2.7) Definition: Let  $f: M^n \rightarrow \mathbb{R}^{n+p}$ ,  $p \geq 1$ , be an isometric immersion of a complete, non-compact manifold  $M$  with  $K \geq 0$ , non-trivial  $k$ -dimensional soul  $A$  and second fundamental form  $\alpha$ . We say that  $f$  is reducible along  $A$  if for  $X \in TA$  and  $Y \in TA^\perp$ ,  $\alpha(X, Y) = 0$ .

(2.8) Theorem: If  $f$  is reducible along a soul  $A$  then  $M$  is diffeomorphic to  $A^k \times \mathbb{R}^{n-k}$ . (See [4], Proposition (5.4))

In the rest of this paper  $\langle \cdot, \cdot \rangle$ ,  $\nabla$  will denote the Riemannian metric and connection respectively. If  $\xi$  is a normal direction,  $A_\xi$  will denote the Weingarten operator and  $\nabla^\perp \xi$  will be the normal connection.

### 3. BASIC LEMMAS. PROOF OF THEOREM 1

Consider  $x \in A$ . We want to investigate if  $f$  satisfies the reducibility condition at  $x$ . By abuse of notation, we will say " $f$  is reducible at  $x$ ." If for every  $X \in T_x A$ ,  $\alpha(X, X) = 0$  or for every  $Y \in T_x A^\perp$ ,  $\alpha(Y, Y) = 0$ , by the Gauss equation,  $f$  is reducible at  $x$ , since  $k \geq 0$  and  $\Re(X \wedge Y) = 0$ .

To study the general case, let  $r(x)$  be the Lie algebra generated by the range of the curvature operator  $\Re$  at the point  $x$ . If  $U$  is the orthogonal



complement of the relative nullity subspace  $N(x)$ , by Theorem 1 in [5] we have the following possibilities for  $r(x)$ :

- (3.1) (a)  $r(x) = \Lambda^2(U)$   
 (b)  $r(x) = \Lambda^2(V) \oplus \Lambda^2(W)$ , where  $V \oplus W = U$   
 (c)  $r(x) = u(2)$ , the unitary algebra of some complex structure on  $U$ , if  $\dim U = 4$ .

Moreover, if (b) occurs with  $\dim V > 1$  and  $\dim W > 1$  then  $V$  and  $W$  are orthogonal to each other and  $R^\perp(x) = 0$ , where  $R^\perp$  is the normal curvature tensor of  $f$ .

(3.2) Lemma: If  $\Re|_{\Lambda^2(T_x A)} \neq 0$  and  $\Re|_{\Lambda^2(T_x A^\perp)} \neq 0$  then  $f$  is reducible at  $x$ .

Moreover, there is an orthonormal frame  $\{\xi_1, \xi_2\}$  such that  $A_{\xi_1}|_{T_x A} = 0$  and  $A_{\xi_2}|_{T_x A^\perp} = 0$ .

Proof: By (2.4), the only possibility is  $r(x) = \Lambda^2(V) \oplus \Lambda^2(W)$  with  $\dim V > 1$  and  $\dim W > 1$  whence  $R^\perp(x) = 0$ . Therefore, the lemma follows by Theorem D of [4].

(3.3) Lemma: (a) Suppose  $\Re|_{\Lambda^2(T_x A)} \neq 0$  and  $\Re|_{\Lambda^2(T_x A^\perp)} = 0$ . If  $\alpha(Y, Y) \neq 0$  for some  $Y \in T_x A^\perp$ , there is an orthonormal frame  $\{\xi_1, \xi_2\}$  in the normal space such that  $\text{rank } A_{\xi_1} = 1$  and  $A_{\xi_2}|_{T_x A^\perp} = 0$ .

(b) If  $\Re|_{\Lambda^2(T_x A)} = 0$  and  $\Re|_{\Lambda^2(T_x A^\perp)} \neq 0$  with  $\alpha(X, X) \neq 0$  for some  $X \in T_x A$ , we have a similar conclusion with  $A_{\xi_2}|_{T_x A} = 0$ .

Proof: (a) Consider an orthonormal frame  $\{X_1, \dots, X_n\}$  of  $T_x M$  such that  $X_1, \dots, X_s \in N(x)$  and  $Y \in \text{Span}\{X_1, \dots, X_s, X_{s+1}\}$ . We have

$$\Re(X_i \wedge X_j) = 0, \quad i=1, \dots, s \text{ and } j=1, \dots, n$$

Denoting by  $X'$  and  $X''$  the orthogonal projection of the vector  $X$  onto  $T_x A$  and  $T_x A^\perp$  respectively, by (2.4) we have:

$$\Re(Y \wedge X_j) = \Re(Y \wedge X'_j) + \Re(Y \wedge X''_j) = 0, \quad j=1, \dots, n$$

Then the range of  $\Re$  is contained in  $\Lambda^2(W)$ , where  $W = \text{Span}\{X_{s+2}, \dots, X_n\}$ , which implies

$$(3.4) \quad r(x) \subseteq o(n-s-1)$$

where  $o(n-s-1)$  is the orthogonal group. If  $n-s=4$ ,  $r(x)$  cannot be  $u(2)$ , since  $u(2)$  is not contained in  $o(3)$ . Then  $r(x) = \Lambda^2(V) \oplus \Lambda^2(W)$ , where  $\dim V = 1$ .

Then, following the proof of Theorem 1 in [5], there is one normal vector  $\xi_1$  such that  $\text{rank} A_{\xi_1} = 1$ . If  $\xi_2$  is a normal vector orthogonal to  $\xi_1$  we have

$$(3.5) \quad \Re = A_{\xi_2} \wedge A_{\xi_2}$$

We will prove that this basis  $\{\xi_1, \xi_2\}$  satisfies the lemma. Consider  $X, Y \in T_x M$ .

We have:

$$\Re(X \wedge Y) = (A_{\xi_2} X)' \wedge (A_{\xi_2} Y)' + (A_{\xi_2} X)' \wedge (A_{\xi_2} Y)'' + (A_{\xi_2} X)'' \wedge (A_{\xi_2} Y)' + (A_{\xi_2} X)'' \wedge (A_{\xi_2} Y)''$$

where

$$\Omega = (A_{\xi_2} X)'' \wedge (A_{\xi_2} Y)'' = 0$$

$$\omega = (A_{\xi_2} X)' \wedge (A_{\xi_2} Y)'' + (A_{\xi_2} X)'' \wedge (A_{\xi_2} Y)' = 0$$

since we are supposing  $\Re|_{\wedge^2(T_x A^1)} = 0$ . Let us suppose  $(A_{\xi_2} X)' \neq 0$ . Taking

interior product of  $\omega$  with  $(A_{\xi_2} X)'$  we get

$$0 = i((A_{\xi_2} X)') \omega = \| (A_{\xi_2} X)' \|^2 (A_{\xi_2} Y)'' - \langle (A_{\xi_2} Y)', (A_{\xi_2} X)' \rangle (A_{\xi_2} X)''$$

and therefore

$$(A_{\xi_2} Y)'' = \langle (A_{\xi_2} Y)', (A_{\xi_2} X)' \rangle \| (A_{\xi_2} X)' \|^2 (A_{\xi_2} X)''.$$

Taking interior product with  $(A_{\xi_2} Y)'$  we get

$$\begin{aligned} 0 &= i((A_{\xi_2} Y)') \omega = \langle (A_{\xi_2} X)', (A_{\xi_2} Y)' \rangle (A_{\xi_2} Y)'' - \| (A_{\xi_2} Y)' \|^2 (A_{\xi_2} X)'' \\ &= \| (A_{\xi_2} X)' \|^2 \{ \langle (A_{\xi_2} Y)', (A_{\xi_2} X)' \rangle^2 - \| (A_{\xi_2} X)' \|^2 \| (A_{\xi_2} Y)' \|^2 \} (A_{\xi_2} X)'' . \end{aligned}$$

If  $(A_{\xi_2} X)'' \neq 0$  the above relation implies  $(A_{\xi_2} Y)' = \lambda (A_{\xi_2} X)'$  and then

$$\Re(X \wedge Y) = (A_{\xi_2} X)' \wedge (A_{\xi_2} Y)' = 0.$$

Hence,

$$(3.6) \quad \text{if } \Re(X \wedge Y) \neq 0 \text{ we have } (A_{\xi_2} X)'' = (A_{\xi_2} Y)'' = 0.$$

Consider now the orthonormal basis  $\{Z_1, \dots, Z_n\}$  which diagonalizes the operator  $A_{\xi_1}$  such that  $A_{\xi_1}(Z_1) = \lambda Z_1$  and  $A_{\xi_1}(Z_i) = 0$ ,  $i \geq 2$ . Since  $\Re \neq 0$  at  $x$ , there exist  $Z_i, Z_j$  such that  $\Re(Z_i \wedge Z_j) \neq 0$ . By (3.6), for every  $Y \in T_x A^1$  we have  $\langle \alpha(Z_i, Y), \xi_2 \rangle = \langle \alpha(Z_j, Y), \xi_2 \rangle = 0$ . This implies  $\alpha(Z_i, Y) = 0$ , as we



can suppose that  $A_{\xi_1}(Z_i)=0$ . In the Gauss equation this implies  $\langle \alpha(Z_i, Z_i), \alpha(Y, Y) \rangle = 0$ , since  $\Re(Y \wedge Z_i) = \Re(Y \wedge Z_i') + \Re(Y \wedge Z_i'') = 0$ . Because  $\alpha(Z_i, Z_i)$  is orthogonal to  $\xi_1$ , we have that  $\alpha(Y, Y)$  is orthogonal to  $\xi_2$ . Now, writing the Gauss equation for the sectional curvature of a plane spanned by  $X \in T_x A$  and  $Y \in T_x A^\perp$ , we get

$$0 = \langle A_{\xi_2} X, X \rangle \langle A_{\xi_2} Y, Y \rangle - \langle A_{\xi_2} Y, X \rangle^2 = -\langle A_{\xi_2} Y, X \rangle^2.$$

This and (3.6) together imply  $A_{\xi_2}|_{T_x A^\perp} = 0$ , concluding the proof of (a).

(b) This is proved in an analogous manner.

We observe that, under the hypotheses of lemma (3.3), in (a) there is only one vector  $Y \in T_x A^\perp$  such that  $\alpha(Y, Y) \neq 0$  and in (b) only one vector  $X \in T_x A$  such that  $\alpha(X, X) \neq 0$ .

(3.7) Proposition: If  $\dim A = k \geq 3$  and  $\pi_1(M) = \mathbb{Z}$ , then  $f$  is reducible along  $A$ .

PROOF: Let  $\bar{f} = f|_A : A \rightarrow \mathbb{R}^{n+2}$ , the isometric immersion of restricted to the soul. Since  $A$  is a totally geodesic submanifold of  $M$  the first normal space of  $\bar{f}$  is at most-dimensional. We can easily generalize to  $\bar{f}$ , using the same arguments, Theorems (2.2) and (2.3) of [2], obtaining the same results, since they need only the fact of the first normal space be at most two-dimensional. We will denote by  $v_{\bar{f}}(x)$  the index of relative nullity of the immersion  $\bar{f}$ .

Since  $A$  is compact, consider  $x \in A$  such that  $v_{\bar{f}}(x) = 0$ . We claim that  $\alpha(Y, Y) = 0$ , for every  $Y \in T_x A^\perp$ . Otherwise, under the conditions of Lemma (3.2), all the sectional curvatures along planes tangent to  $A$  at  $x$  would be positive. Also, under the conditions of Lemma (3.3), the index of relative nullity would be  $n-k-1$ . Then in (3.4) we would have  $r(x) = 0(k)$ . This implies

that all the eigenvalues of  $A_{\xi_2}|_{T_x A}$  are non-null and then all the sectional curvatures along planes tangent to  $A$  at  $x$  would be positive. The slight generalization of Theorem (2.2) of [2] to this immersion  $\bar{f}$  would imply that  $A$  and consequently  $M$ , is simply connected.

Now, we will prove the reducibility for  $x \in A$  such that  $\nu_{\bar{f}}(x) > 0$ . Let  $N_{\chi}(A)$  denote the set of points in  $A$  at which the index of relative nullity is  $\chi$ . Since we know that  $f$  is reducible on the closure of  $N_0(A)$ , we will use the inductive argument used by Moore to prove Theorem 2 in [8]. Let  $\chi \geq 1$  and  $V$  be the open set

$$N_{\chi}(A) = \text{Cl} [ \cup \{ N_{\beta}(A) / \beta < \chi \} ]$$

where  $\text{Cl}$  denotes closure, a set on which the index of relative nullity is equal to the constant  $\chi$ .

We recall that if  $\pi_1(A) = \mathbb{Z}$  by generalization of Theorem (2.3) of [2],  $x$  has a neighborhood isometric to an open subset of the product of the circle  $S^1$  by a  $(k-1)$ -dimensional homotopy sphere, which implies that there are two integrable and parallel distributions  $T_1$  and  $T_2$  such that  $\dim T_1 = 1$  and  $\dim T_2 = k-1$ . If some sectional curvature of  $A$  is positive at  $x$  and  $Z$  is tangent to  $T_1$ ,  $Z$  must be relative nullity vector. Otherwise  $r(x) = o(m-1) \oplus o(1)$  where  $m = k - \chi$ . But in the proof of the Lemma (3.3) we see that  $m+1 = n-s$ , which contradicts (3.4).

Now, consider  $\sigma: (a,b) \rightarrow V$  a unit speed geodesic passing through  $x$  whose tangent vector  $\sigma'(t)$  is the relative nullity vector  $Z \in T_1$ , for each  $t \in (a,b)$ . Assume that  $\sigma$  cannot be extended beyond the interval  $(a,b)$  without leaving  $V$ . Since  $A$  is compact, either  $a > -\infty$  or  $b < +\infty$ . Suppose  $b < +\infty$ . By Theorem



(6.2) in [1],  $\sigma(b)$  lies in the closure of  $\cup \{ N_\beta(A) / \beta < \gamma \}$ , a set on which  $f$  is reducible by the inductive hypothesis.

We will prove that if  $f$  is not reducible at  $x$ ,  $f$  cannot be reducible at  $\sigma(b)$ , which will be a contradiction. If  $f$  is not reducible at  $x$  we can take the frame  $\{\xi_1, \xi_2\}$  of the Lemma (3.3) such that  $A_{\xi_2}|_{T_x A}^\perp = 0$ . Let us denote by  $X$  and  $Y$  the unitary orthogonal projection of  $Z_1$  (see the proof of Lemma (3.3)) onto  $T_x A$  and  $T_x A^\perp$  respectively. Denoting by  $Z$  a vector in  $T_x A$  orthogonal to  $X$  and by  $\nabla^\perp$  the normal connection, we can apply the Codazzi equation to  $X, Y, Z$  and  $\xi_2$  to get

$$\begin{aligned} & \langle \nabla_X^\perp \alpha(Z, Y), \xi_2 \rangle - \langle \alpha(\nabla_X Z, Y), \xi_2 \rangle - \langle \alpha(Z, \nabla_X Y), \xi_2 \rangle = \\ & = \langle \nabla_Z^\perp \alpha(X, Y), \xi_2 \rangle - \langle \alpha(\nabla_Z X, Y), \xi_2 \rangle - \langle \alpha(X, \nabla_Z Y), \xi_2 \rangle \end{aligned}$$

Since  $\alpha(Z, Y) = 0$ ,  $A_{\xi_2}|_{T_x A}^\perp = 0$  and  $\nabla_X Y \in T_x A^\perp$ , the left hand side is equal to zero. The same reasons will imply that the right hand side is equal to

$$\langle \nabla_Z^\perp \alpha(X, Y), \xi_2 \rangle = - \langle \alpha(X, Y), \nabla_Z^\perp \xi_2 \rangle = 0$$

As we are supposing that  $\alpha(X, Y) \neq 0$ , we have

$$(3.8) \quad \nabla_Z^\perp \xi_2 = \nabla_Z^\perp \xi_1 = 0$$

Now, with the same notation we will consider the vector fields  $X, Y$  and  $Z$  such that on  $\sigma(t)$ ,  $Z(t) = \sigma'(t)$ . Applying the Codazzi equation to  $X, Y, Z$  and  $\xi_1$  we get

$$\begin{aligned} & \langle \nabla_X^\perp \alpha(Z, Y), \xi_1 \rangle - \langle \alpha(\nabla_X Z, Y), \xi_1 \rangle - \langle \alpha(Z, \nabla_X Y), \xi_1 \rangle = \\ & = \langle \nabla_Z^\perp \alpha(X, Y), \xi_1 \rangle - \langle \alpha(\nabla_Z X, Y), \xi_1 \rangle - \langle \alpha(X, \nabla_Z Y), \xi_1 \rangle. \end{aligned}$$



which implies

$$(3.9) \quad \langle Z, \nabla_X X \rangle \langle \alpha(X, Y), \xi_1 \rangle = Z(\langle \alpha(X, Y), \xi_1 \rangle) - \langle \alpha(X, Y), \nabla_Z^\perp \xi_1 \rangle$$

Since  $X \in T_2$ ,  $Z \in T_1$ , and  $T_2$  is parallel we have  $\langle Z, \nabla_X X \rangle = 0$  and by (3.8) we have in (3.9),  $Z(\langle \alpha(X, Y), \xi_1 \rangle) = 0$ . This implies that  $\langle \alpha(X, Y), \xi_1 \rangle$  is constant on  $\sigma(t)$  and then  $\langle \alpha(X, Y), \xi_1 \rangle \neq 0$  at  $\sigma(b)$ , which is the required contradiction.

Now, to complete this proof, we have to consider the case when all the sectional curvatures are null in a neighborhood of  $x$ . By our hypothesis there is a plane  $\sigma$  on  $T_x M$  such that  $k(\sigma) > 0$ . From Lemma (3.3) we have  $\xi_2$  such that  $A_{\xi_2} = 0$  and then  $\langle R_{\tilde{f}}^\perp(X, Z)\xi_1, \xi_2 \rangle = \langle R^\perp(X, Z)\xi_1, \xi_2 \rangle = 0$  for every  $X, Z \in T_x A$ . This implies reducibility at  $x$ , concluding the proof of the proposition.

In order to prove Theorem 1, first we observe that if the soul  $A$  is homeomorphic to the two-dimensional flat torus or flat Klein bottle, as  $\mathfrak{R}(X \wedge Z) = 0$  for every  $X, Z \in T_x A$  and every  $x \in A$ , we would have in Lemma (3.3)  $A_{\xi_2}|_{T_x A} = 0$  and this would imply  $\nu_{\tilde{f}}(x) > 0$ , for each  $x \in A$ . This is impossible, since  $A$  is compact.

Now, Theorem 1 follows from (2.6), (2.8), (3.7) and the generalization of Theorem (2.2) of [2].

#### 4. PROOF OF THEOREMS 2,3 and 4

##### (4.1) Proof of Theorem 2.

Let us consider the normal bundle of  $M$  along  $A$ , denoted by  $uM|_A$ , and define a normal connection

$$\tilde{\nabla}^1: \mathcal{X}(M) \times \Gamma(uM|_A) \rightarrow \Gamma(uM|_A)$$

by  $\tilde{\nabla}_X^1 \xi =$  orthogonal projection of  $\nabla_X^1 \xi$  onto  $uM|_A$ . Now, as the inclusion of  $A$  in  $M$  is totally geodesic, we define a second fundamental form  $\alpha: TA \oplus TA \rightarrow uM|_A$  by  $\tilde{\alpha}(X,Y) = \alpha(X,Y)$  where  $\alpha$  is the second fundamental form of  $f$ . It is clear that  $uM|_A, \tilde{\nabla}^1$  and  $\tilde{\alpha}$  verify the Gauss and Codazzi equations. Thus, we need to prove that the condition  $\mathfrak{R}(x) \neq 0$  for all  $x \in A$ , implies the Ricci equation. Denoting by  $\bar{\nabla}^1$  the normal connection for  $\bar{f} = f|_A: A \rightarrow \mathbb{R}^{n+2}$  we have:

$$\bar{\nabla}_X^1 \xi = \nabla_X^1 \xi - \sum_{i=n-k+1}^n \langle \alpha(X, Z_i), \xi \rangle Z_i$$

where  $\{Z_{n-k+1}, \dots, Z_n\}$  is an orthonormal frame of  $T_x A^\perp$ . Thus, the Ricci equation for  $\bar{f}$  is

$$\langle \bar{R}^1(X,Y)\xi, \eta \rangle = \langle R^1(X,Y)\xi, \eta \rangle - \sum_{i=n-k+1}^n \alpha(X, Z_i), \xi \rangle \langle \alpha(Y, Z_i), \eta \rangle = \langle [\tilde{A}_\xi, \tilde{A}_\eta] X, Y \rangle$$

where  $\tilde{A}_\xi: T_x A \rightarrow T_x A$  is given by  $\tilde{A}_\xi(X) =$  orthogonal projection of  $A_\xi X$  onto  $T_x A$ . Since by our definition of  $\tilde{\nabla}_X^1 \xi$ ,  $\langle \tilde{R}^1(X,Y)\xi, \eta \rangle = \langle R^1(X,Y)\xi, \eta \rangle$ , all

we need is to prove is that

$$\sum_{i=n-k+1}^n \langle \alpha(X, Z_i), \xi \rangle \langle \alpha(Y, Z_i), \eta \rangle = 0.$$

But this follows directly from Lemmas (3.2) and (3.3).

This proves that the soul can be locally isometrically immersed in  $\mathbb{R}^{k+2}$ . Since  $A$  is simply connected, Theorem 2 follows.

#### (4.2) Proof of Theorem 3.

Consider  $x \in A$ . If  $f$  is not reducible at  $x$ , we will have by Lemma (3.3) a normal vector  $\xi_1$  such that  $\text{rank } A_{\xi_1} = 1$ . Then by the Gauss equation,  $\mathcal{R}(U \wedge V)$  cannot have rank 4. So  $f$  is reducible at  $x$ .

Now we consider  $x$  such that  $\nu_f(x) = 0$ . If  $\alpha(Y, Y) = 0$  for every  $Y \in T_x A^\perp$ , consider  $U, V \in T_x A$  such that  $\mathcal{R}(U \wedge V)$  has rank 4. Denoting by  $U'$  and  $U''$  the orthogonal projection onto  $T_x A$  and  $T_x A^\perp$  respectively, we have  $\mathcal{R}(U \wedge V) = \mathcal{R}(U' \wedge V')$ . This implies  $k \geq 4$ . We claim that  $M$  is simply connected. For other wise, by the generalizations of Theorems (2.2) and (2.3) of [2], we would have  $r(x) = 0(k-1) \oplus 0(1)$  and then there would be a normal vector  $\xi$  such that  $\text{rank } A_\xi = 1$ , which contradicts  $\mathcal{R}(U \wedge V) \wedge \mathcal{R}(U \wedge V) \neq 0$ .

If there is  $Y \in T_x A^\perp$  such that  $\alpha(Y, Y) \neq 0$ , we have the conditions of Lemma (3.2) and we can take that normal frame  $(\xi_1, \xi_2)$ . Then  $k \geq 2$  ( otherwise  $\text{rank } A_{\xi_1}$  would be one ), and all the sectional curvatures along planes tangent to  $A$  are positive. If  $k \geq 3$ ,  $M$  is simply connected. If  $k = 2$ ,  $A$  cannot



be homeomorphic to flat torus or to the flat Klein Bottle.

Now Theorem (2.8) finishes the proof.

#### (4.3) Proof of Theorem 4.

Consider  $x \in M$  such that  $\nu_f(x) = 0$ . By (2.4) and (3.1) we have  $r(x) = \Lambda^2(\dot{V}) \oplus \Lambda^2(W)$  where  $V = T_x A$  and  $W = T_x A^\perp$ . If  $\dim W > 1$  we are under the conditions of Lemma (3.2). If  $\dim W = 1$  we are under conditions of Lemma (3.3). In both cases, we have that all the sectional curvatures along planes tangent to  $A$  are positive at this point  $x$ . Again, if  $k \geq 3$ ,  $M$  is simply connected and  $A^k$  homeomorphic to  $S^k$ . If  $k = 2$  then  $A$  is homeomorphic to  $S^2$  or  $\mathbb{R}P^2$ . Now, applying Theorem (2.6) to the simply connected case we finish the theorem.

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