

### SUMMARY

Let  $E$  be a non-archimedean normed space over a non-archimedean valued field  $F$ . We establish a formula for the distance  $d(f, W)$  between a function  $f \in C(X; E)$ , where  $X$  is a compact Hausdorff space, and a vector subspace  $W \subset C(X; E)$  which is a module over a subalgebra  $A \subset C(X; F)$ . As a corollary we obtain several approximation results and a non-archimedean analogue of Bishop's generalization of the Stone-Weierstrass Theorem.

## §1. PRELIMINARIES

Throughout this paper  $X$  stands for a compact Hausdorff space, and  $F$  stands for a rank one valued field, i.e. a field with a real-valued valuation, denoted by  $t \mapsto |t|$ . The letters  $\mathbb{R}$  and  $\mathbb{C}$  denote, respectively, the fields of the real and the complex numbers. The symbol  $C(X;F)$  denotes the algebra over  $F$  of all continuous  $F$ -valued functions on  $X$ . On  $C(X;F)$  we shall consider the topology of uniform convergence on  $X$ , given by the sup-norm

$$f \mapsto \|f\| = \sup \{ |f(x)| ; x \in X \}.$$

A subset  $A \subset C(X;F)$  is said to be separating over  $X$ , or to separate points, if for any pair of points  $x$  and  $y$  in  $X$ , with  $x \neq y$ , there is a function  $a \in A$  such that  $a(x) \neq a(y)$ . If the valued field  $F$  is non-archimedean, then  $C(X;F)$  is separating over  $X$  if, and only if, the space  $X$  is 0-dimensional (see, for example, Théorème 1, §2, Chapitre II, Monna [10] or Theorem 2, section 4.9, Narici, Beckenstein and Bachman [13]).

We shall denote by  $X|A$  the equivalence relation defined on  $X$  as follows: if  $x, y \in X$ , then  $x \equiv y$  (modulo  $X|A$ ) if, and only if,  $a(x) = a(y)$ , for all  $a \in A$ . Let  $Y$  be the quotient topological space of  $X$  modulo  $X|A$  and let  $\pi$  be the quotient map of  $X$  onto  $Y$ ;  $\pi$  is continuous and for each  $x \in X$ ,  $y = \pi(x)$  is the equivalence class of  $x$  modulo  $X|A$ . Hence, for each  $a \in A$ , there is a unique  $b: Y \rightarrow F$  such that  $a(x) = b(\pi(x))$ , for all  $x \in X$ . We claim that  $b \in C(Y;F)$ . Indeed, for every open subset  $G \subset F$ ,  $a^{-1}(G)$  is open in  $X$ , and  $a^{-1}(G) = \pi^{-1}(b^{-1}(G))$ . By the definition of the quotient topology of  $Y$ , this means that  $b^{-1}(G)$  is an open subset of  $Y$ . Let us define

$B \subset C(Y;F)$  by setting  $B = \{b \in C(Y;F); a = b \circ \pi, a \in A\}$ . It follows that  $B$  is a subalgebra (resp. a unitary subalgebra) of  $C(Y;F)$ , whenever  $A$  is a subalgebra (resp. a unitary subalgebra) of  $C(X;F)$ . Notice the important fact that  $B$  is separating over  $Y$ . This implies that  $Y$  is a compact Hausdorff space, which is 0-dimensional, whenever the field  $F$  is non-archimedean.

The following *separating version* of the Stone-Weierstrass theorem is well-known.

1.1. THEOREM. *Let  $F$  be any valued field except  $\mathbb{C}$ . Let  $A \subset C(X;F)$  be a unitary subalgebra which is separating over  $X$ . Then  $A$  is uniformly dense in  $C(X;F)$ .*

For a proof, see Chernoff, Rasala and Waterhouse [3]. In fact they prove Theorem 1.1 in the more general case of arbitrary Krull valuations, i.e. not necessarily real-valued valuations. For a proof in the case of non-archimedean rank one valuations, see Theorem 2, section 4.10 of Narici, Beckenstein, and Bachman [13].

The first author to prove a Stone-Weierstrass Theorem for non-archimedean valued fields was Dieudonné, who proved such a result in [4] for the field of  $p$ -adic numbers. Theorem 1.1 for the case of rank-one non-archimedean valuations is due to Kaplansky [7].

From Theorem 1.1 and the quotient construction described above, it is possible to derive a *general* version of the Stone-Weierstrass theorem, i.e. a description of the closure of a unitary subalgebra of  $C(X;F)$ .

1.2 THEOREM. *Let  $F$  be any valued field except  $\mathbb{C}$ . Let  $A \subset C(X;F)$*

be a unitary subalgebra, and let  $f \in C(X;F)$ . Then  $f$  belongs to the uniform closure of  $A$  in  $C(X;F)$  if, and only if,  $f$  is constant on each equivalence class of  $X$  modulo  $X|A$ .

PROOF. Necessity is clear. Let  $Y$ ,  $\pi$  and  $B$  as before. Let now  $f \in C(X;F)$  be constant on each equivalence class of  $X$  modulo  $X|A$ . There exists  $g: Y \rightarrow F$  such that  $f = g \circ \pi$ . As in the proof that  $B$  is contained in  $C(Y;F)$  it is easy to see that  $g$  belongs to  $C(Y;F)$ . By Theorem 1.1,  $B$  is dense in  $C(Y;F)$ . Therefore  $g$  belongs to the closure of  $B$  in  $C(Y;F)$ . Since the mapping  $h \rightarrow h \circ \pi$  is an isometry of  $C(Y;F)$  into  $C(X;F)$ , it follows that  $f$  belongs to the closure of  $A$  in  $C(X;F)$ .

The hypothesis that the algebra  $A$  be unitary can be very annoying, so let us remove it.

1.3. THEOREM. Let  $F$  be any valued field except  $\mathbb{C}$ . Let  $A \subset C(X;F)$  be a subalgebra, and let  $f \in C(X;F)$ . Then  $f$  belongs to the uniform closure of  $A$  in  $C(X;F)$  if, and only if, the following conditions hold:

- (1) given  $x, y \in X$  with  $f(x) \neq f(y)$ , there exists  $g \in A$  such that  $g(x) \neq g(y)$ ;
- (2) given  $x \in X$  with  $f(x) \neq 0$ , there exists  $g \in A$  such that  $g(x) \neq 0$ .

PROOF: Necessity is clear. Let  $f \in C(X;F)$  be a function satisfying conditions (1) and (2).

CASE I. There exists a point  $x \in X$  such that  $g(x) = 0$  for

all  $g \in A$ . By condition (2), we have  $f(x) = 0$  too. Let  $B \subset C(X; F)$  be the subalgebra generated by  $A$  and the constants. The equivalence relations  $X|A$  and  $X|B$  are the same, and by condition (1),  $f$  is constant on each equivalence class of  $X$  modulo  $X|A$ . By Theorem 1.2,  $f$  belongs to the closure of  $B$  in  $C(X; F)$ . Let  $\epsilon > 0$  be given. There exists  $g \in A$  and constant  $\lambda \in F$  such that  $|f(t) - g(t) - \lambda| < \epsilon$ , for all  $t \in X$ . Making  $t = x$ , we obtain  $|\lambda| < \epsilon$ . If  $F$  is non-archimedean, this implies that for all  $t \in X$ ,  $|f(t) - g(t)| < \epsilon$ . If  $F$  is archimedean, then  $|f(t) - g(t)| < 2\epsilon$  for all  $t \in X$ . In any case, we see that  $f$  belongs to the closure of  $A$ .

CASE II. The algebra  $A$  has no common zeros. By Proposition 2, [3],  $A$  contains a function  $h$  vanishing nowhere on  $X$ . Now  $1/h$  belongs to  $C(X; F)$  and it is constant on each equivalence class modulo  $X|B$ . By Theorem 1.2,  $1/h$  belongs to the closure of  $B$  in  $C(X; F)$ . On the other hand,  $\bar{A}$  is a  $\bar{B}$ -module, so  $1 = h(1/h) \in \bar{A}$ . Therefore,  $\bar{A}$  is a unitary subalgebra. Since  $A$  and  $\bar{A}$  determine the same equivalence relations on  $X$ , by condition (1),  $f$  is constant on each equivalence class modulo  $X|\bar{A}$ . By Theorem 1.2,  $f$  belongs to  $\bar{A}$ .

## §2. STONE-WEIERSTRASS THEOREM FOR MODULES.

Throughout this section  $E$  denotes a normed space over  $F$ , and we assume that  $E \neq 0$ . It follows that whenever  $E$  is non-archimedean, so is  $F$ . The space  $C(X; E)$  of all continuous  $E$ -valued functions on  $X$  is endowed with the topology of uniform convergence on  $X$ , given by the sup-norm  $f \mapsto \|f\| = \sup \{\|f(x)\| ; x \in X\}$ .



Let  $A \subset C(X;F)$  be a subalgebra and let  $W \subset C(X;E)$  be a vector subspace which is an  $A$ -module, i.e.  $AW \subset W$ . Our aim is to describe the closure of  $W$  in  $C(X;E)$ ; or more generally, given a function  $f \in C(X;E)$  to find the distance of  $f$  from  $W$ , i.e. to find

$$d(f;W) = \inf \{ \|f - g\| ; g \in W \}.$$

To solve this problem, we need a "partition of unity" result. To this end, we shall adapt the proof of Rudin [15], section 2.13, to the non-archimedean setting.

**2.1 LEMMA:** Let  $Y$  be a 0-dimensional compact Hausdorff space, and let  $V_1, \dots, V_n$  be a finite open covering of  $Y$ . Let  $F$  be a non-archimedean valued field. There exist functions  $h_i \in C(Y;F)$ ,  $i = 1, \dots, n$ , such that

$$(a) \quad h_i(y) = 0 \quad \text{for all } y \notin V_i, \quad i = 1, \dots, n;$$

$$(b) \quad \|h_i\| \leq 1, \quad i = 1, \dots, n;$$

$$(c) \quad h_1 + \dots + h_n = 1 \quad \text{on } Y.$$

**PROOF:** Each  $y \in Y$  has a clopen (i.e., closed and open) neighborhood  $W(y) \subset V_i$  for some  $i$  (depending on  $y$ ). By compactness of  $Y$ , there are points  $y_1, \dots, y_m$  such that  $Y = W_1 \cup \dots \cup W_m$ , where we have set  $W_j = W(y_j)$  for each  $j = 1, \dots, m$ . If  $1 \leq i \leq n$ , let  $H_i$  be the union of those  $W_j$  which lie in  $V_i$ . Let  $f_i \in C(Y;F)$  be the characteristic function of  $H_i$ ,  $i = 1, \dots, n$ . Define

$$h_1 = f_1$$

$$h_2 = (1 - f_1) f_2$$

$$\dots \dots \dots$$

$$h_n = (1 - f_1)(1 - f_2) \dots (1 - f_{n-1}) f_n$$

Then  $H_i \subset V_i$  implies that  $f_i(y) = 0$  for all  $y \notin V_i$  and so  $h_i(y) = 0$  for  $y \notin V_i$  too,  $i = 1, \dots, n$ . This proves (a). Clearly  $\|h_i\| \leq 1$ ,  $i = 1, \dots, n$ , since  $h_i$  takes only the values 0 and 1, which proves (b). On the other hand  $Y = H_1 \cup \dots \cup H_n$  and

$$h_1 + \dots + h_n = 1 - (1 - f_1)(1 - f_2) \dots (1 - f_n).$$

Hence, given  $y \in Y$ , at least one  $f_i(y) = 1$  and therefore

$$h_1(y) + \dots + h_n(y) = 1.$$

This proves (c).

2.2. THEOREM. Let  $E$  be a non-archimedean normed space. Let  $A \subset C(X; F)$  be a subalgebra and let  $W \subset C(X; E)$  be a vector subspace which is an  $A$ -module. Let  $f \in C(X; E)$ . Then

$$d(f; W) = \sup \{ d(f|S; W|S); S \in P_A \},$$

where  $P_A$  denotes the set of all equivalence classes  $S \subset X$  modulo  $X|A$ .

Before proving Theorem 2.2, let us point out that it implies the following result.

2.3. THEOREM. Let  $E, A, W$  and  $f$  be as in theorem 2.2. Then  $f$  belongs to the uniform closure of  $W$  in  $C(X; E)$  if, and only if,  $f|S$  is in the uniform closure of  $W|S$  in  $C(S; E)$  for each equivalence class  $S \subset X$  modulo  $X|A$ .

The above Theorem 2.3 contains the non-archimedean analogue of Nachbin's Stone-Weierstrass Theorem for modules (Nachbin [11], §19), and 2.2 is the "strong" Stone-Weierstrass Theorem for

modules (terminology of Buck [2]).

PROOF OF THEOREM 2.2. Let us put  $d = d(f; W)$  and

$$c = \sup \{ d(f|S; W|S) ; S \in P_A \}.$$

Clearly,  $c \leq d$ . To prove the reverse inequality, let  $\varepsilon > 0$ . Without loss of generality we may assume that  $A$  is unitary. Indeed, the subalgebra  $A'$  of  $C(X; F)$  generated by  $A$  and the constants is unitary, and the equivalence relations  $X|A$  and  $X|A'$  are the same. Moreover, since  $W$  is a vector space,  $W$  is an  $A$ -module if, and only if,  $W$  is an  $A'$ -module.

Let  $Y$  be the quotient space of  $X$  modulo  $X|A$ , with quotient map  $\pi$ . For any  $S \in P_A$ , since  $d(f|S; W|S) < c + \varepsilon$ , there exists some function  $w_S$  in the  $A$ -module  $W$  such that  $\|w_S(t) - f(t)\| < c + \varepsilon$  for all  $t \in S$ . Let  $K_S = \{x \in X; \|w_S(x) - f(x)\| \geq c + \varepsilon\}$ . Then  $K_S$  is compact and disjoint from  $S$ . Hence, for each  $y \in Y$ ,  $y \notin \pi(K_S)$ , if  $S = \pi^{-1}(y)$ . This implies that

$$\bigcap \{ \pi(K_S) ; S = \pi^{-1}(y), y \in Y \}$$

is empty. By the finite intersection property, there is a finite set  $\{y_1, \dots, y_n\} \subset Y$  such that  $\pi(K_1) \cap \dots \cap \pi(K_n) = \emptyset$ , where  $K_i = K_S$ , for  $S = \pi^{-1}(y_i)$ ,  $i = 1, \dots, n$ . Let  $V_i$  be the open subset given by the complement of  $\pi(K_i)$ ,  $i = 1, \dots, n$ .  $Y$  is a 0-dimensional compact Hausdorff space. Hence, by Lemma 2.1, there exist functions  $h_i \in C(Y; F)$ ,  $i = 1, \dots, n$ , such that

$$(a) \quad h_i(y) = 0 \quad \text{for all } y \notin V_i, \quad i = 1, \dots, n;$$



$$(b) \quad \|h_i\| \leq 1, \quad i = 1, \dots, n;$$

$$(c) \quad h_1 + \dots + h_n = 1.$$

Put  $g_i = h_i \circ \pi$ , so that we have  $g_i \in C(X; F)$ ,  $i = 1, \dots, n$ , and each  $g_i$  is constant on every equivalence class of  $X$  modulo  $X|A$ . By Theorem 1.2,  $g_i$  belongs to the closure of  $A$  in the space  $C(X; F)$ , for each  $i = 1, 2, \dots, n$ . Notice that  $g_i(x) = 0$  for all  $x \in K_i$ ,  $i = 1, \dots, n$ , since  $h_i(y) = 0$  for all  $y \in \pi(K_i)$ ,  $i = 1, \dots, n$ . Moreover  $\|g_i\| \leq 1$ ,  $i = 1, \dots, n$ , and  $g_1 + \dots + g_n = 1$  on  $X$ . Let  $g = \sum_{i=1}^n g_i w_i$  where  $w_i = w_S$ , with  $S = \pi^{-1}(y_i)$ ,  $i = 1, \dots, n$ . then  $\|g(x) - f(x)\| < c + \varepsilon$ , for all  $x \in X$ . Indeed, for any  $x \in X$  we have

$$\begin{aligned} \|g(x) - f(x)\| &= \left\| \sum_{i=1}^n g_i(x) (w_i(x) - f(x)) \right\| \\ &\leq \max_{1 \leq i \leq n} |g_i(x)| \cdot \|w_i(x) - f(x)\|. \end{aligned}$$

Now, for each  $1 \leq i \leq n$ , either  $x \in K_i$  and then  $g_i(x) = 0$ ; or else  $x \notin K_i$  and then

$$|g_i(x)| \cdot \|w_i(x) - f(x)\| \leq \|w_i(x) - f(x)\| < c + \varepsilon.$$

Let  $M = \max \{\|w_i\|; i = 1, \dots, n\}$  and choose  $\delta > 0$  such that  $\delta M < c + \varepsilon$ . For each  $i = 1, \dots, n$ , there is  $a_i \in A$  such that  $\|a_i - g_i\| < \delta$ . Let us define  $w = \sum_{i=1}^n a_i w_i$ . Then  $w \in W$  and for all  $x \in X$ ,

$$\|w(x) - g(x)\| < c + \varepsilon.$$

Indeed, for any  $x \in X$  we have

$$\begin{aligned} \|w(x) - g(x)\| &= \left\| \sum_{i=1}^n (a_i(x) - g_i(x)) w_i(x) \right\| \\ &\leq \max_{1 \leq i \leq n} |a_i(x) - g_i(x)| \cdot \|w_i(x)\| \\ &\leq \delta M < c + \varepsilon. \end{aligned}$$

Finally, notice that  $\|w(x) - f(x)\| = \|w(x) - g(x) + g(x) - f(x)\| \leq \max(\|w(x) - g(x)\|, \|g(x) - f(x)\|) < c + \epsilon$ , for all  $x \in X$ . Hence  $d < c + \epsilon$ . Since  $\epsilon > 0$  was arbitrary,  $d \leq c$ .

2.4. THEOREM. Let  $E, A$  and  $W$  be as in Theorem 2.2. For each  $f \in C(X;E)$ , there exists an equivalence class  $S \subset X$  modulo  $X|A$  such that

$$d(f;W) = d(f|S; W|S).$$

PROOF. Let  $Y$  and  $\pi$  be as before. For each  $g \in W$ , the function

$$y \rightarrow \|f|_{\pi^{-1}(y)} - g|_{\pi^{-1}(y)}\|$$

is upper semicontinuous on  $Y$ , by Lemma 1, Machado and Prolla [9].

Hence

$$y \rightarrow \inf \{ \|f|_{\pi^{-1}(y)} - g|_{\pi^{-1}(y)}\| ; g \in W \}$$

is upper semicontinuous on  $Y$  too, and therefore attains its supremum on  $Y$ . By Theorem 2.2, this supremum is  $d(f;W)$ . Let then  $y \in Y$  be the point where  $d(f;W)$  is attained and let  $S = \pi^{-1}(y)$ . Then

$$\begin{aligned} d(f;W) &= \inf \{ \|f|_S - g|_S\| , g \in W \} = \\ &= d(f|S; W|S), \end{aligned}$$

as desired.

2.5 COROLLARY. Let  $E, A$  and  $W$  be as in Theorem 2.2. Assume that  $A$  is separating over  $X$ . Then  $W$  is dense in  $C(X;E)$  if and only if  $W(x) = \{g(x); g \in W\}$  is dense in  $E$ , for each  $x \in X$ . More generally, for any  $f \in C(X;E)$ ,  $f \in \overline{W}$  if, and only if,  $f(x) \in \overline{W(x)}$  in  $E$ , for each  $x \in X$ .

Using the above corollary we can prove a result on ideals in function algebras. Let  $E$  be a non-archimedean normed non-associative algebra with unit over a (necessarily) non-archimedean field  $F$ ; that is,  $E$  is a not necessarily associative linear algebra with unit  $e$  over  $F$  equipped with a non-archimedean norm satisfying

$$(1) \quad \|uv\| \leq \|u\| \cdot \|v\| \quad \text{and}$$

$$(2) \quad \|e\| = 1.$$

Condition (1) implies that multiplication is jointly continuous: If  $X$  is any compact Hausdorff space,  $C(X;E)$  with pointwise operations and sup norm becomes a non-archimedean normed algebra with unit too (over the same field  $F$ ). Now the problem arises of characterizing the closed right (resp. left) ideals  $I \subset C(X;E)$ . Suppose that for every  $x \in X$  a closed right (resp. left) ideal  $I_x \subset E$  is given, and let us define

$$I = \{f \in C(X;E); f(x) \in I_x \text{ for all } x \text{ in } X\}.$$

Manifestly,  $I$  is a closed right (resp. left) ideal in  $C(X;E)$ . We shall prove that any closed right (resp. left) ideal in  $C(X;E)$  has the above form. Namely we have the following.

**2.6. THEOREM:** *Let  $X$  be a 0-dimensional compact Hausdorff space. Let  $E$  be a non-archimedean normed algebra with unit  $e$  over a (necessarily non-archimedean) valued field  $F$ . Let  $I \subset C(X;E)$  be a closed right (resp. left) ideal. For each  $x \in X$ , let  $I_x$  be the closure of  $I(x)$  in  $E$ . Then  $I_x$  is a closed right (resp. left) ideal*

in  $E$ , and

$$I = \{f \in C(X;E); f(x) \in I_x \text{ for all } x \text{ in } X\}.$$

PROOF: For every  $x$  in  $X$ ,  $I(x)$  is clearly a right (resp. left) ideal in  $E$ . Since the multiplication in  $E$  is jointly continuous, the closure  $I_x$  of  $I(x)$  is a right (resp. left) ideal in  $E$ . We claim that  $I$  is a  $C(X;F)$ -module. Indeed, let  $f \in I$  and  $g \in C(X;F)$  be given. Define  $h \in C(X;E)$  to be  $x \rightarrow g(x)e$ , where  $e$  is the unit of  $E$ . If  $I$  is a right ideal, then for all  $x \in X$ ,

$$g(x)f(x) = g(x) [f(x)e] = f(x) [g(x)e] = f(x)h(x).$$

Since  $fh \in I$ ,  $gf$  belongs to  $I$ . (The case of a left ideal is treated similarly.) It remains to apply Corollary 2.5 to the separating algebra  $C(X;F)$  and the closed  $C(X;F)$ -module  $I$ .

2.7. COROLLARY: Under the hypothesis of Theorem 2.6 assume that the algebra  $E$  is simple. Then any two-sided closed ideal consists of all functions vanishing on a closed subset of  $X$ .

PROOF: We first recall that the unitary algebra  $E$  is said to be simple if it has no two-sided ideals other than 0 and  $E$ . Let  $N \subset X$  be a closed subset of  $X$ . Clearly, the subset

$$Z(N) = \{f \in C(X;E); f(x) = 0 \text{ for all } x \text{ in } N\}$$

is a closed two-sided ideal of  $C(X;E)$ .

Conversely, if  $I$  is a closed two-sided ideal in  $C(X;E)$ , let us define  $N = \{x \in X; f(x) = 0 \text{ for all } f \in I\}$ . Clearly,  $N$  is closed in  $X$  and  $I \subset Z(N)$ . Conversely, let  $f \in Z(N)$ , and assume by contradiction that  $f \notin I$ . By Theorem 2.6, there is some  $x \in X$  such that  $f(x) \notin I_x$ . Since  $I_x$  is a two-sided ideal, and  $E$  is simple,  $I_x = \{0\}$ . Therefore,  $f(x) \neq 0$ . Now  $f \in Z(N)$ , so  $x \notin N$ . However,  $I_x = \{0\}$  implies  $I(x) = 0$ , and so  $x \in N$ . This contradiction shows that  $f \in I$ .



### §3. SOME APPLICATIONS.

In this section  $E$  is a non-archimedean normed space over  $F$ , and we assume that  $E \neq 0$ . The vector subspace of  $C(X;E)$  consisting of all finite sums of functions of the form  $x \mapsto f(x)v$ , where  $f \in C(X;F)$  and  $v \in E$ , will be denoted by  $C(X;F) \otimes E$ . Clearly,  $C(X;F) \otimes E$  is a  $C(X;F)$ -module.

3.1. THEOREM. *Let  $X$  be a 0-dimensional compact Hausdorff space. Then  $C(X;F) \otimes E$  is uniformly dense in  $C(X;E)$ .*

PROOF: Let  $W = C(X;F) \otimes E$ . Then  $W$  is a  $C(X;F)$ -module, and  $C(X;F)$  is separating over  $X$ . For each  $x \in X$ ,  $W(x) = E$ . By corollary 2.5  $W$  is dense in  $C(X;E)$ .

If  $X$  and  $Y$  are two compact Hausdorff spaces,  $C(X;F) \otimes C(Y;F)$  denotes the vector subspace of  $C(X \times Y;F)$  consisting of all finite sums of functions of the form

$$(x,y) \mapsto f(x)g(y)$$

where  $f \in C(X;F)$  and  $g \in C(Y;F)$ . If both  $X$  and  $Y$  are 0-dimensional spaces, then  $C(X;F) \otimes C(Y;F)$  is a separating unitary subalgebra of  $C(X \times Y;F)$ .

3.2. THEOREM. *Let  $X$  and  $Y$  be two 0-dimensional compact Hausdorff spaces. Then  $(C(X;F) \otimes C(Y;F)) \otimes E$  is uniformly dense in  $C(X \times Y;E)$ .*

PROOF: Let  $W = (C(X;F) \otimes C(Y;F)) \otimes E$ .  $W$  is a  $C(X;F) \otimes C(Y;F)$ -module such that  $W(x,y) = E$  for every pair  $(x,y) \in X \times Y$ . The



result now follows from Corollary 2.5.

REMARK: When  $E = F$ , then the space  $(C(X;F) \otimes C(Y;F)) \otimes E$  is just  $C(X;F) \otimes C(Y;F)$  and one obtains Dieudonné's Theorem[4].

In [14] we studied polynomial algebras of functions with values in vector spaces over  $\mathbb{R}$  or  $\mathbb{C}$ . To study the non-archimedean analogue let us adopt the following

3.3. DEFINITION. A vector subspace  $W \subset C(X;E)$  is called a polynomial algebra if  $A = \{u(f); u \in E', f \in W\}$  is a subalgebra of  $C(X;F)$  such that  $A \otimes E \subset W$ .

Let us give an example of a polynomial algebra. Let

$$P_f(E;F) \subset C(E;F)$$

be the algebra over  $F$  generated by the topological dual  $E'$  of  $E$ . An element  $p \in P_f(E;F)$  is called a continuous polynomial of finite type from  $E$  into  $F$ , and is of the form

$$(1) \quad p = \sum_{|\kappa| \leq m} a_{\kappa} u^{\kappa}$$

where  $\kappa = (\kappa_1, \dots, \kappa_n) \in \mathbb{N}^n$ ,  $n \in \mathbb{N}^*$ ,  $|\kappa| = \kappa_1 + \dots + \kappa_n$ ,  $m \in \mathbb{N}$ ,  $a_{\kappa} \in F$ ,  $u = (u_1, \dots, u_n) \in (E')^n$ , and we define

$$(2) \quad u^{\kappa}(t) = (u_1(t))^{\kappa_1} \dots (u_n(t))^{\kappa_n}$$

for all  $t \in E$ . Let us now consider two non-archimedean normed spaces  $E_1$  and  $E_2$  over the same non-archimedean valued field  $F$ . We define  $P_f(E_1, E_2)$  as the vector subspace of  $C(E_1; E_2)$  generated by the functions of the form  $t \in E_1 \rightarrow p(t)v$

where  $p \in P_f(E_1; F)$  and  $v \in E_2$ . Let now  $A = \{u(p); u \in E'_2; p \in P_f(E_1; E_2)\}$ . Clearly,  $A \subset P_f(E_1; F)$ , and  $A \otimes E_2 \subset P_f(E_1; E_2)$ . Suppose  $(E_2)' \neq 0$ . Then  $A = P_f(E_1; F)$  and  $P_f(E_1; E_2)$  is a polynomial algebra. Also, if  $X \subset E_1$  is any compact subset, then  $W = P_f(E_1; E_2)|_X$  is a polynomial algebra contained in  $C(X; E_2)$ . More generally, if  $S \subset C(X; F)$  is any subset, let  $A \subset C(X; F)$  be the subalgebra over  $F$  generated by  $S$ . If  $E' \neq 0$ , then  $W = A \otimes E$  is a polynomial algebra. Indeed, in this case we have  $A = \{u(f); u \in E', f \in W\}$ . In particular,  $C(X; E)$  is a polynomial algebra, when  $E' \neq 0$  (e.g., when  $E = F$ ).

When the field  $F$  is *spherically complete*, the Hahn-Banach Theorem is valid for any non-archimedean normed space  $E$  over  $F$  (see Ingleton [5]), and then  $E'$  is separating over  $E$ , and a fortiori,  $E' \neq 0$ .

Let us introduce the following notation. If  $W \subset C(X; E)$  is an  $A$ -module, where  $A \subset C(X; F)$ , we denote by  $L_A(W)$  the set of all  $f \in C(X; E)$  such that the restriction  $f|_S$  is in the uniform closure of  $W|_S$  in  $C(S; E)$ , for each equivalence class  $S \subset X$  modulo  $X|_A$ . Thus, if  $\bar{W}$  denotes the uniform closure of  $W$  in  $C(X; E)$ , the Theorem 2.3 may be stated as  $f \in \bar{W} \iff f \in L_A(W)$ .

**3.4 THEOREM.** Let  $E$  be a non-archimedean normed space such that  $E'$  is separating over  $E$ , and let  $W \subset C(X; E)$  be a polynomial algebra. Let  $A = \{u(g); u \in E', g \in W\}$ . Then, for every  $f \in C(X; E)$  the following conditions are equivalent.

- (1)  $f \in \bar{W}$ ;

- (2) given  $x, y \in X$  and  $\epsilon > 0$ , there is  $g \in W$  such that  
 $\|f(x) - g(x)\| < \epsilon$  and  $\|f(y) - g(y)\| < \epsilon$ ;
- (3) (a) given  $x, y \in X$ , with  $f(x) \neq f(y)$ , there is  $g \in W$   
 such that  $g(x) \neq g(y)$ ; and  
 (b) given  $x \in X$ , with  $f(x) \neq 0$ , there is  $g \in W$  such  
 that  $g(x) \neq 0$ ;
- (4)  $f \in L_A(A \otimes E)$ .

PROOF: (1)  $\Rightarrow$  (2). Obvious.

(2)  $\Rightarrow$  (3). Let  $x, y \in X$  with  $f(x) \neq f(y)$ . Define  
 $\epsilon = \|f(x) - f(y)\| > 0$ . By (2) there is  $g \in W$  such that

$$\|f(x) - g(x)\| < \epsilon \quad \text{and} \quad \|f(y) - g(y)\| < \epsilon.$$

If  $g(x) = g(y)$ , then  $\epsilon = \|f(x) - g(x) + g(y) - f(y)\| \leq$   
 $\max(\|f(x) - g(x)\|, \|g(y) - f(y)\|) < \epsilon$ ,

a contradiction. This proves (a). The proof of (b) is similar.

(3)  $\Rightarrow$  (4). Let  $S \subset X$  be an equivalence class modulo  $X|A$ , and let  $x, y \in S$ . If  $f(x) \neq f(y)$ , by (a) there is  $g \in W$  such that  $g(x) \neq g(y)$ . Since  $E'$  is separating over  $E$ , there is  $u \in E'$  such that  $u(g(x)) \neq u(g(y))$ . This is impossible, because  $u(g) \in A$ . Hence  $f$  is constant over  $S$ . Let  $t \in E$  be its constant value. If  $t = 0$ , then  $0 \in A \otimes E$  agrees with  $f$  over  $S$ . If  $t \neq 0$ , then, by (b) there is  $g \in W$  such that  $g(x) \neq 0$ , where  $x \in S$  is chosen arbitrarily. Let now  $u \in E'$  be such that  $u(g(x)) = 1$ . Then the function

$$h = u(g) \otimes t$$

belongs to  $A \otimes E$  and agrees with  $f$  over  $S$ . Therefore

$$f \in L_A(A \otimes E).$$

(4)  $\Rightarrow$  (1). By Theorem 2.3 applied to the  $A$ -module  $A \otimes E \subset C(X; E)$ ,  $f$  belongs to the uniform closure of  $A \otimes E$  in  $C(X; E)$ . Since  $A \otimes E \subset W$ , the proof is complete.

3.5. COROLLARY: Let  $X$  be a 0-dimensional compact Hausdorff space, and let  $E$  and  $W$  be as in Theorem 3.4. The following statements are equivalent.

- (1)  $W$  is uniformly dense in  $C(X; E)$ ;
- (2)  $W(x, y) = \{(g(x), g(y)) ; g \in W\}$  is dense in  $E \times E$ , for every pair  $x, y \in X$ ;
- (3) (a)  $W$  is separating over  $X$ ; and  
(b)  $W$  is everywhere different from zero, i.e., given  $x \in X$ , there is  $g \in W$  with  $g(x) \neq 0$ .
- (4) Let  $A = \{u(g); u \in E', g \in W\}$ . Then  $A$  is separating over  $X$  and  $W(x) = \{g(x); g \in W\} = E$  for every  $x \in X$ .

PROOF: (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) are immediate from Theorem 3.4. (3)  $\Rightarrow$  (4) follows from the hypothesis that  $E'$  is separating over  $E$  and from  $A \otimes E \subset W$ .

Finally, (4)  $\Rightarrow$  (1) by Corollary 2.5 applied to the  $A$ -module  $A \otimes E$ , which is contained in  $W$ .

3.6. COROLLARY: (Weierstrass polynomial approximation) Let  $E_1$  and  $E_2$  be two non-archimedean normed spaces over  $F$  such that  $E_1'$



is separating over  $E_i$  ( $i = 1, 2$ ). For every compact subset  $K \subset E_1$  the set  $P_f(E_1; E_2)|_K$  is uniformly dense in  $C(K; E_2)$ .

PROOF: Let  $W = P_f(E_1; E_2)|_K$ . Since  $E_2'$  is separating over  $E_2$ ,  $W$  is a polynomial algebra contained in  $C(K; E_2)$ . Now  $W$  contains the constants and it is separating over  $K$ , because  $E_1'$  is separating over  $E_1$ . It remains to apply the preceding Corollary.

As another application of the general results proved above, let us give a non-archimedean analogue of Blatter's Stone-Weierstrass Theorems for finite-dimensional non-associative real algebras (see Theorems 1.22 and 1.24 of [1]).

Let  $E$  be a finite-dimensional non-associative (i.e. not necessarily associative) linear algebra over a complete non-archimedean non-trivially valued field  $F$ . Since every field provided with a topology induced by a non-trivial valuation is *strictly minimal* (see Nachbin [12]), there is a unique Hausdorff topology on  $E$  that makes it a topological vector space over  $F$ , and moreover, under this topology, every linear transformation  $T: E \rightarrow E$  is continuous. (See Nachbin [12], Theorems 7 and 9.) We shall always consider  $E$  endowed with its unique Hausdorff topology that makes it a topological vector space over  $F$ . This topology, called *admissible* in [12], can be defined as follows. If  $\{e_1, \dots, e_n\}$  is a basis of  $E$  over  $F$ , then the non-archimedean sup-norm

$$\|v\| = \max \{|v_i| ; 1 \leq i \leq n\},$$



whenever  $v = \sum_{i=1}^n v_i e_i$  is in  $E$ , defines the unique admissible topology of  $E$ .

If we define operations pointwise,  $C(X;E)$  becomes a non-associative algebra over  $F$  too, as well a bimodule over  $E$ : if  $v \in E$  and  $f \in C(X;E)$  then the mappings  $x \mapsto vf(x)$  and  $x \mapsto f(x)v$  belong to  $C(X;E)$ . A vector subspace  $W \subset C(X;E)$  is called a *submodule over  $E$*  if it is a bimodule over  $E$ , with the above operations. An algebra  $E$  is called a *zero-algebra* if  $uv = 0$  for all  $u, v \in E$ . The algebra  $E$  is called *simple* if it is not a zero-algebra and has no subspaces invariant relative to the right and left multiplications, except  $0$  and  $E$ . Let  $M(E)$  be the subalgebra of  $L(E)$  generated by the set of all right and left multiplications.  $M(E)$  is called the *multiplication algebra* of  $E$ . It follows that a non-zero-algebra is simple if, and only if,  $M(E)$  is an irreducible algebra of linear transformations. The *centroid* of  $E$  is the set of all linear transformations  $T \in L(E)$  which commute with all right and left multiplications. Clearly, all linear transformations of the form  $\lambda I$  belong to the centroid of  $E$ , where  $\lambda \in F$  and  $I$  is the identity map of  $E$ . We say that  $E$  is *central* if its centroid is just  $\{\lambda I; \lambda \in F\}$ .

**3.7. THEOREM.** Let  $F$  be a complete and non-trivially valued non-archimedean field. Let  $E$  be a finite-dimensional central and simple non-associative algebra over  $F$ . Let  $W \subset C(X;E)$  be an  $F$ -subalgebra which is a submodule over  $E$ . Then, for every  $f \in C(X;E)$ ,

conditions (1) - (4) of Theorem 3.4 are equivalent.

PROOF: The proof consists in showing that, under the above hypothesis on  $E$ , any  $F$ -subalgebra  $W \subset C(X;E)$  which is a submodule over  $E$  is a polynomial algebra.

By Theorem 4, Chapter X, Jacobson [6], we have  $M(E) = L(E)$ . Hence the submodule  $W$  is invariant under composition with any linear transformation  $T \in L(E)$ . Let  $A = \{u(f); u \in E', f \in W\}$ . By Lemma 1.1 of [13], extended to the case of  $F$ ,  $A$  is a vector subspace of  $C(X;F)$  and  $A \otimes E \subset W$ . It remains to prove that  $A$  is closed under multiplication. Since  $E$  is not a zero-algebra, choose a pair  $u_0, v_0$  in  $E$  such that  $u_0 v_0 \neq 0$ . Let  $u \in E'$  be such that  $u(u_0 v_0) = 1$ . Let  $v(f)$  and  $w(g)$  be in  $A$ . The mappings

$$x \mapsto v(f(x))u_0 \quad \text{and} \quad x \mapsto w(g(x))v_0$$

belong to  $W$ , since  $A \otimes E \subset W$ . By hypothesis,  $W$  is a subalgebra of  $C(X;E)$ . Therefore,

$$x \mapsto [v(f(x))u_0] \cdot [w(g(x))v_0] = v(f(x))w(g(x))u_0 v_0$$

belongs to  $W$ . Call it  $h$ . Then  $u(h) \in A$ , and  $u(h) = v(f)w(g)$ , since  $u(u_0 v_0) = 1$ . Thus  $W$  is polynomial algebra.

#### §4. BISHOP'S THEOREM

Let  $F$  be a non-archimedean valued field, and let  $K$  be a finite extension of  $F$ . If  $F$  is complete, then the rank one valuation  $t \mapsto |t| \in \mathbb{R}_+$  of  $F$  can be extended from  $F$  to  $K$  in a unique way as a rank one valuation. If  $F$  is not complete, then its valua

tion can be extended to a rank one valuation of  $K$  in finitely many non-equivalent ways.

4.1. DEFINITION. Let  $F$  be a non-archimedean valued field; let  $K$  be a finite algebraic extension of  $F$ , endowed with a rank one valuation extending that of  $F$ . Let  $A \subset C(X;K)$  be a subalgebra. A subset  $S \subset X$  is called  $A$ -antisymmetric (with respect to  $F$ ) if, for every  $a \in A$ ,  $a|_S$  being  $F$ -valued implies that  $a|_S$  is constant.

4.2. DEFINITION. Let  $x, y \in X$ . We write  $x \equiv y$  if there is an  $A$ -antisymmetric set  $S$  which contains both  $x$  and  $y$ .

The equivalence classes modulo the equivalence relation  $x \equiv y$  are called maximal  $A$ -antisymmetric sets (with respect to  $F$ ).

The following result is the non-archimedean analogue of Machado's version of Bishop's Theorem [8]. In it,  $F$  is a non-archimedean valued field;  $K$  is a finite algebraic extension of  $F$ , and  $K$  is valued by one extension to  $K$  of the valuation of  $F$ ;  $X$  is a compact Hausdorff space and  $E$  is a non-archimedean normed space over  $K$ .

4.3. THEOREM. Let  $A \subset C(X;K)$  be a subalgebra; let  $W \subset C(X;E)$  be a vector subspace which is an  $A$ -module. For each  $f \in C(X;E)$ , there is a maximal  $A$ -antisymmetric set (with respect to  $F$ )  $S \subset X$  such that

$$d(f; W) = d(f|_S; W|_S).$$

4.4. COROLLARY. Let  $A$  and  $W$  be as in Theorem 4.3, and let  $f \in C(X;E)$ . Then  $f$  belongs to the closure of  $W$  in  $C(X;E)$  if, and only if,  $f|_S$  belongs to the closure of  $W|_S$  in  $C(S;E)$ , for each maximal  $A$ -antisymmetric set (with respect to  $F$ )  $S \subset X$ .

PROOF OF THEOREM 4.3.

Let  $f \in C(X;E)$ . Put  $d = d(f;W)$ . We can assume  $d > 0$ , the result being clear for  $d = 0$ , since  $d(f|_S; W|_S) \leq d$  for any  $S \subset X$ . Let  $D$  be the set of all ordered pairs  $(P, S)$  such that

- (i)  $P$  is a partition of  $X$  into non-empty pairwise disjoint and closed subsets of  $X$ ;
- (ii)  $S \in P$  and  $d = d(f|_S; W|_S)$ .

The pair  $(\{X\}, X)$  belongs to  $D$ , so  $D \neq \emptyset$ . We partially order  $D$  by setting  $(P, S) \leq (Q, T)$  if, and only if, the partition  $Q$  is finer than  $P$ , and  $T \subset S$ . The arguments in Machado's proof of Bishop's Theorem (see [8]) apply here, so that each chain in  $D$  has an upper bound. By Zorn's Lemma there is a maximal element  $(Q, T) \in D$ . We claim that  $T$  is  $A$ -antisymmetric (with respect to  $F$ ). Indeed, let  $A_T$  be the set  $\{a \in A; a|_T \text{ is } F\text{-valued}\}$ . By contradiction admit that  $B = A_T|_T$  contains non-constant functions. Since  $B \subset C(T;F)$ , and  $W|_T$  is a  $B$ -module, by Theorem 2.4 we may find an equivalence class  $V \subset T$  (modulo  $T|_B$ ) such that

$$d(f|_T; W|_T) = d(f|_V; W|_V).$$

Since  $d = d(f|_T; W|_T)$ , and  $V$  is a proper subset of  $T$ , the partition



$P$  of  $X$  consisting of the elements of  $Q$  distinct from  $T$  and by the equivalence classes of  $T$  modulo  $T|B$  is strictly finer than  $Q$ , and therefore  $(Q; T) < (P, V)$ , which contradicts the maximality of  $(Q, T)$ . The maximal  $A$ -antisymmetric set  $S$ , which contains  $T$ , is then such that  $d = d(f|S; W|S)$ .



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