

# Sobre a construção do reticulado $E_8$ via álgebras de divisão

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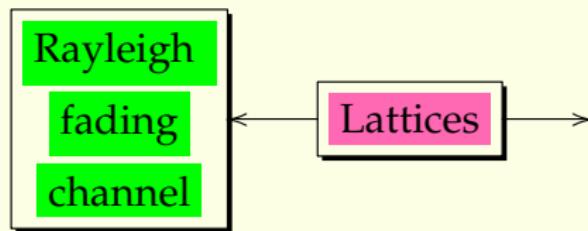
15 de junho de 2023

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- Introduction
- Lattice
- Quaternion Algebras
- Results and Next Steps

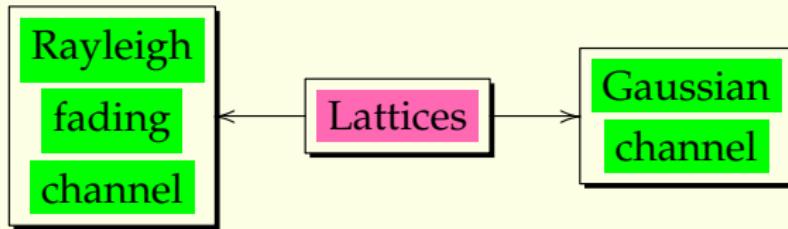
# Introduction

- Signal constellations having a lattice structure have been studied as meaningful tools for transmitting data over both Gaussian and single-antenna Rayleigh fading channels.

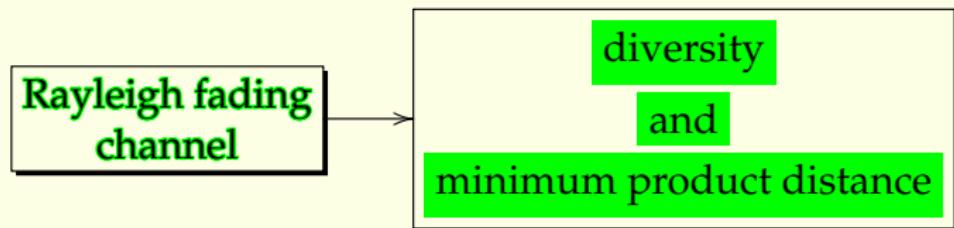


# Introduction

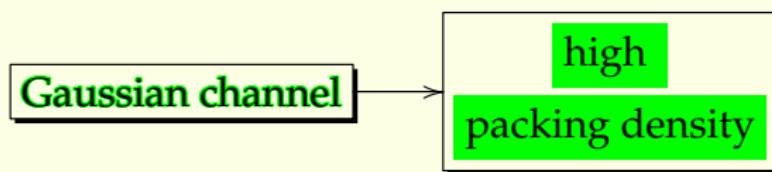
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# Introduction



# Introduction



## Some References

In order to minimize the probability of error in communication channels with a single antenna there are in the literature many constructions of lattices via number fields.



**M. Craig**

A Cyclotomic Construction for *Leech's Lattice*.

*Math*, 25, pages 236–241, 1978.



**M. Craig**

Extreme Forms and Cyclotomy

*Math*, 25, pages 44–56, 1978.



**E. Bayer Fluckiger**

Lattices and Number Fields

*Contemp. Math*, 241, pages 69–84, 1999.

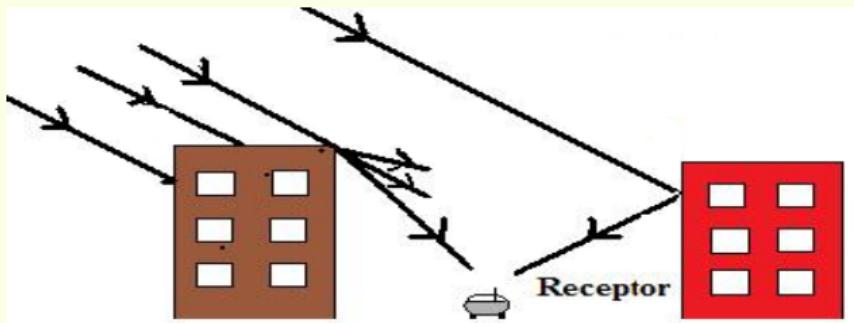


	$\mathbb{Q}(\zeta_n)$	<i>Ideals</i>
$D_4$	$\mathbb{Q}(\zeta_8)$	$(2, \zeta_8 + 1)$
$E_6$	$\mathbb{Q}(\zeta_9)$	$(3, (\zeta_9 + 1)^2)$
$E_8$	$\mathbb{Q}(\zeta_{20})$	$(5, \zeta_{20} - 2)$
$K_{12}$	$\mathbb{Q}(\zeta_{21})$	$(7, \zeta_{21} + 3)$
$\Lambda_{16}$	$\mathbb{Q}(\zeta_{40})$	$(2, \zeta_{40}^4 + \zeta_{40}^3 + \zeta_{40}^2 + \zeta_{40} + 1)$ $(5, \zeta^2 + 2)$
$\Lambda_{24}$	$\mathbb{Q}(\zeta_{39})$	$(3, \zeta_{39}^3 + \zeta_{39}^2 - 1)$ $(3, \zeta_{39}^3 + \zeta_{39}^2 + \zeta_{39} + 1)$ $(13, \zeta_{39} - 3)$

## Disadvantages

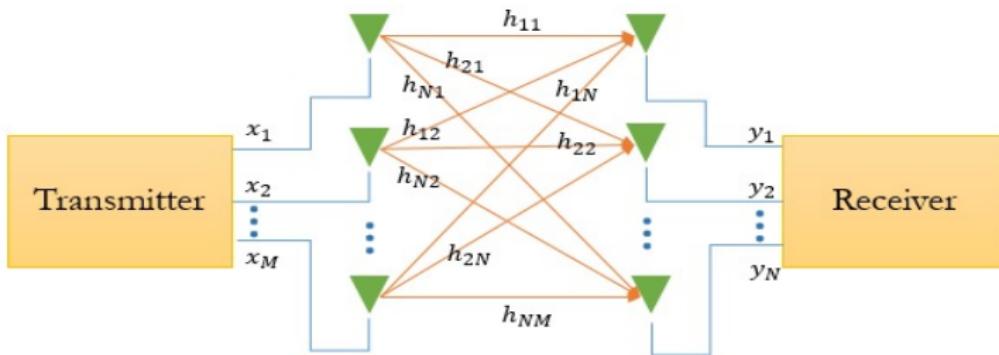
Transmit data by atmospheric means involving many problems inherent to it, such as:

- meteorological phenomenon
  - blockages caused by buildings
  - others objects in the signal propagation path



# Space-Time Codes

Multiple Input Multiple Output (MIMO) System



Codes designed for this channel are called space-time codes.

## Code design criteria (Coherent case)

- The **pairwise probability of error** is bounded by

$$P(X \rightarrow \hat{X}) \leq \frac{\text{const}}{|\det(X - \hat{X})|^{2M}},$$

where  $M$  is the number of received antennas.

- $\det(X_i - X_j) \neq 0, \forall X_i \neq X_j, X_i, X_j \in \mathcal{C}$ .
- If  $\mathcal{C}$  is taken inside an **algebra** of matrices, the problem simplifies to  $\det(X) \neq 0, 0 \neq X \in \mathcal{C}$ .
- **Division algebras** are rings which every nonzero element has a multiplicative inverse.

## Example 1: Codes built from quaternion division algebras

- **Alamouti Code:**  $\mathcal{HA} = (-1, -1)_{\mathbb{R}}, i^2 = j^2 = -1.$
- **Silver Code:**  $\mathcal{SA} = (-1, -1)_{\mathbb{Q}(\sqrt{-7})}, i^2 = -1, j^2 = -1.$
- **Golden Code:**  $\mathcal{GA} = (5, i)_{\mathbb{Q}(i)}, i^2 = 5, j^2 = i.$

# Lattice

- A **lattice**  $\Lambda$  is a discrete additive subgroup of  $\mathbb{R}^n$  generated by integer combinations of  $n$  linearly independent vectors  $v_1, \dots, v_n \in \mathbb{R}^n$ .
- A matrix  $M$  whose rows are these vectors is said to be a **generator matrix** for  $\Lambda$  and the matrix

$$G = MM^t = (\langle v_i, v_j \rangle)_{i,j=1}^m$$

is called a **Gram matrix** for the lattice  $\Lambda$ . The determinant of  $\Lambda$  is given by  $\det\Lambda = \det G$ .

# Quaternion Algebras

- A **quaternion algebra**  $\mathcal{A} = (a, b)_{\mathbb{F}}$  over a number field  $\mathbb{F}$  is a algebra of dimension 4 with basis  $\{1, i, j, k\}$  satisfying  $i^2 = a$ ,  $j^2 = b$  and  $k = ij = -ji$ , where  $a, b \in \mathbb{F} \setminus \{0\}$ .

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$$\begin{array}{c} \mathcal{O} \subset \mathcal{A} = (a, b) \\ | \quad 2 \\ \mathbb{K} = \mathbb{F}(\sqrt{a}) \\ | \quad 2 \\ \mathbb{F} = \mathbb{Q}(\sqrt{-d}) \\ | \quad 2 \\ \mathbb{Q} \end{array}$$

n=8

# Division Algebras

When a quaternion algebra is a division algebra?

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Proposition 2.

A quaternion algebra  $\mathcal{A} = (a, b)_{\mathbb{F}}$  is a division algebra if and only if  $b \notin N_{\mathbb{F}(\sqrt{a})/\mathbb{F}}(\mathbb{F}(\sqrt{a}))$ .

- $|b| = 1$ , guarantees that the same average energy is transmitted from each antenna.

# Algebraic Reduction

- Space-Time Codes based on an order of a quaternion algebra such that the volume of the Dirichlet's polyhedron of the group of units is small, are better suited for decoding using the method of algebraic reduction since the approximation error is smaller.



**L. Luzzi, G. R-B. Othman, J-C. Belfiore,**

Algebraic Reduction for the Golden Code.

*IEEE Information Theory Workshop on Information Theory (ITW 2010,  
Cairo), v.6, n.1, pp. 1–5, 2010.*

The volume of this Dirichlet's polyhedron is given by the Tamagawa formula and is called the Tamagawa volume.

Let  $\mathcal{O}^1$  be the group of units of the maximal order  $\mathcal{O}$  and  $\mathcal{P}$  a compact fundamental polyhedron.

### Theorem (Tamagawa Volume Formula)

Let  $\mathcal{A}$  be a quaternion algebra over  $K$  such that  $\mathcal{A} \otimes_{\mathbb{Q}} \mathbb{R} \cong M_2(\mathbb{C})$ . Let  $\mathcal{O}$  be a maximal order of  $\mathcal{A}$ . Then the hyperbolic volume is given by,

$$Vol(\mathcal{P}_{\mathcal{O}^1}) = \frac{1}{4\pi^2} \zeta_{\mathbb{F}}(2) |D_{\mathbb{F}}|^{3/2} \prod_{p|\delta_{\mathcal{O}}} (N_p - 1),$$

where  $I$  varies among the proper ideals of  $O_{\mathbb{F}}$  relative to the field  $\mathbb{F}$ ,  $D_{\mathbb{F}}$  is the discriminant of  $\mathbb{F}$ ,  $\delta_{\mathcal{O}}$  is the discriminant of  $\mathcal{O}$ ,  $p$  varies among the primes of  $O_{\mathbb{F}}$ , and  $N_p = [O_{\mathbb{F}} : pO_{\mathbb{F}}]$ .

## Maximal order of the Silver Algebra

Consider the quaternion algebra  $\mathcal{A} = (a, b)_{\mathbb{F}}$ . Then  $\mathcal{O} = \mathbb{O}_{\mathbb{F}} \oplus \mathbb{O}_{\mathbb{F}}i \oplus \mathbb{O}_{\mathbb{F}}j \oplus \mathbb{O}_{\mathbb{F}}k$  is an  $\mathbb{O}_{\mathbb{F}}$ -order. We refer to this order as the **natural order**.

### Example

Here, the natural order is not maximal order. By using the MAGMA software, we compute a maximal order  $\mathcal{O}$  for the Silver code algebra  $\mathcal{A} = (-1, -1)_{\mathbb{Q}(\sqrt{-7})}$  with basis  $\{1, i, j, k\}$ . This maximal order  $\mathcal{O}$  can be written as

$$\mathcal{O} = \mathbb{Z}[\theta] \oplus i\mathbb{Z}[\theta] \oplus j\mathbb{Z}[\theta] \oplus \left( \frac{1+i+j+k}{2} \right) \mathbb{Z}[\theta].$$

- An order  $\mathcal{M}$  in a quaternion algebra  $\mathcal{A}$  is **maximal** if  $\mathcal{M}$  is not properly contained in another order of  $\mathcal{A}$ .

## Motivation



Y. Hong, E. Viterbo, J-C. Belfiore,  
Golden Space-Time Trellis Code Modulation,  
*IEEE Trans. Inform. Theory*, 53, pages 1689–1705, 2007.

The  $E_8$  lattice was constructed by considering a left ideal of the maximal order of the quaternion division algebra

$$\mathcal{A} = (5, \sqrt{-1})_{\mathbb{Q}(\sqrt{-1})}.$$

# Goal

Construct the  $E_8$ -lattice via quaternion division algebra over imaginary quadratic field with small Tamagawa volume.



C. Alves, J-C. Belfiore,

Lattices from maximal orders into quaternion algebras,

*Journal of Pure and Applied Algebra*, 219, pages 687–702, 2014.

$$(-3, -1)_{\mathbb{K}}$$

$$\Bigg|_4$$

$$\mathbb{K} = \mathbb{Q}(\sqrt{-2})$$

$$\Bigg|_2$$

$$\mathbb{Q}$$

$$(-7, -1)_{\mathbb{K}}$$

$$\Bigg|_4$$

$$\mathbb{K} = \mathbb{Q}(\sqrt{-3})$$

$$\Bigg|_2$$

$$\mathbb{Q}$$

$$(-1, -1)_{\mathbb{K}}$$

$$\Bigg|_4$$

$$\mathbb{K} = \mathbb{Q}(\sqrt{-7})$$

$$\Bigg|_2$$

$$\mathbb{Q}$$

The  $E_8$ -lattice was constructed using quaternion division algebras over some imaginary quadratic fields .



### Lemma [3]

Let  $\mathbb{F}$  be an imaginary quadratic field of discriminant  $D_{\mathbb{F}}$ . Let  $\mathcal{I}$  be a left ideal of a maximal order  $\mathcal{O}$  of  $\mathcal{A}$ , with discriminant  $\delta_{\mathcal{O}}$ .  $nr_{\mathcal{Q}/\mathbb{F}}(\mathcal{I})$  denotes the reduced norm of  $\mathcal{I}$ . Then

$$\det(\Lambda_{\mathcal{I}}) = (D_{\mathbb{F}})^4 \cdot N_{\mathbb{F}/\mathbb{Q}}(\delta_{\mathcal{O}}) \cdot N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{A}/\mathbb{F}}(\mathcal{I}))^4. \quad (1)$$

- $\Lambda_{\mathcal{I}}$
- $\sqrt{c}E_8, c \in \mathbb{Z}$ .

Necessary condition:  $\det(\Lambda_{\mathcal{I}}) = \det(\sqrt{c}E_8)$ .

$$D_{\mathbb{F}}^4 \cdot N_{\mathbb{F}/\mathbb{Q}} \underbrace{(\delta_{\mathcal{O}})}_{\delta_{\mathcal{O}}=?} \cdot N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{A}/\mathbb{F}}(\mathcal{I}))^4 = c^8.$$

## Theorema. [4]

Assume that  $\mathbb{F}$  is a totally complex number field, and that  $P_1$  and  $P_2$  are the two smallest distinct prime ideals in  $O_{\mathbb{F}}$ . Then the smallest possible discriminant of all central division algebras over  $\mathbb{F}$  of index  $n$  is

$$(P_1 P_2)^{n(n-1)}$$

In our case,  $n = 2$ .

- $\delta_{\mathcal{O}} = (P_1 P_2)^2$ ,  $P_1$  and  $P_2$  distinct prime ideals of  $O_{\mathbb{F}}$ .

$$P_1 \cdot P_2 = pO_{\mathbb{F}}$$

for some prime  $p \in \mathbb{Z}$ .

$$N_{\mathbb{F}/\mathbb{Q}}(\delta_{\mathcal{O}}) = p^4$$

Replacing in the necessary condition:

$$(D_{\mathbb{F}}p)^4 \cdot \underbrace{N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{Q}/\mathbb{F}}(\mathcal{I}))^4}_{= c^8} = c^8$$

$$P_1 \cdot P_2 = pO_{\mathbb{F}}$$

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$$N_{\mathbb{F}/\mathbb{Q}}(\delta_{\mathcal{O}}) = p^4$$

Replacing in the necessary condition:

$$(D_{\mathbb{F}}p)^4 \cdot \underbrace{N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{Q}/\mathbb{F}}(\mathcal{I}))^4}_{\Downarrow} = c^8$$

$$N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{Q}/\mathbb{F}}(\mathcal{I})) = D_{\mathbb{F}}p$$

$$\mathcal{I}=?$$

- Subfields of  $\mathcal{A}$  are of the form  $\mathbb{K} = \mathbb{F} \left( \sqrt{ax_1^2 - bx_2^2 - abx_3^2} \right)$ . Consider the subfields  $\mathbb{K}_1$  and  $\mathbb{K}_2$  of  $\mathcal{A}$  and find ideals  $\mathcal{I}_1$  and  $\mathcal{I}_2$  in  $O_{\mathbb{K}_1}$  and  $O_{\mathbb{K}_2}$  with absolute norm

$$N_{\mathbb{F}/\mathbb{Q}}(N_{\mathbb{K}_1/\mathbb{F}}(\mathcal{I}_1)) = N_{\mathbb{K}_1/\mathbb{Q}} = p.$$

and

$$N_{\mathbb{F}/\mathbb{Q}}(N_{\mathbb{K}_2/\mathbb{F}}(\mathcal{I}_2)) = N_{\mathbb{K}_2/\mathbb{Q}} = D_{\mathbb{F}}.$$

- Embedding  $\mathcal{I}_1$  and  $\mathcal{I}_2$  in  $\mathcal{A}$ .
- Ideal that we are looking for:  $\mathcal{I} = \mathcal{I}_1 \mathcal{I}_2$ .

We detail in the table below, the computation of the Tamagawa volume for all addressed cases.

$\mathbb{F}$	$\zeta_{\mathbb{F}}(2)$	$ D_{\mathbb{F}} $	$(a, b)_{\mathbb{F}}$	$\prod_{p \delta_{\mathcal{O}}} (N_p - 1)$	$vol(\mathcal{P}_{\mathcal{O}^1})$
$\mathbb{Q}(\sqrt{-1})$	1.5067 ···	4	$(5, i)_{\mathbb{F}}$	16	4.885 ···
$\mathbb{Q}(\sqrt{-2})$	1.7514 ···	8	$(-3, -1)_{\mathbb{F}}$	4	4.015 ···
$\mathbb{Q}(\sqrt{-3})$	1.2851 ···	3	$(-7, -1)_{\mathbb{F}}$	36	6.089 ···
$\mathbb{Q}(\sqrt{-7})$	1.8948 ···	7	$(-1, -1)_{\mathbb{F}}$	1	0.888 ···

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$\mathbb{Q}(\sqrt{-7})$	1.8948 ···	7	$(-1, -1)_{\mathbb{F}}$	1	0.888 ···

Quite recently, Kim and Lee presented reduction algorithms for arbitrary Euclidean domains ( $d = -1, -2, -3, -7, -11$  are Euclidean)

- T. Kim and C. Lee, “Lattice reductions over Euclidean rings with applications to cryptanalysis,” in Proc. Cryptography Coding - 16th IMA Int. Conf., IMACC 2017, vol. 10655, Springer, pp. 371–391, 2017.

## Results and Next Steps

Lattices in  $4n$ -dimensional Euclidean space.

$$\mathcal{A} = (a, b)_{\mathbb{K}} \quad \leadsto \quad \text{quaternion division algebra}$$

$$\left| \begin{array}{c} 4 \\ \hline \end{array} \right.$$

$$\mathbb{F} = \mathbb{Q}(\zeta_s + \zeta_s^{-1}) \quad \leadsto \quad \text{maximal real subfield of } \mathbb{Q}(\zeta_s)$$

$$\left| \begin{array}{c} n = \frac{\phi(s)}{2} \\ \hline \end{array} \right.$$

$$\mathbb{Q}$$



C.W.O. Benedito, C. Alves, N.G. Brasil Jr., S.I.R. Costa

Algebraic construction of lattices via maximal quaternion orders,  
*Journal of Pure and Applied Algebra*, v.224 (5), 2020.

## Results and Next Steps

Lattices in  $4n -$  dimensional Euclidean space.

$$\mathcal{A} = (a, b)_{\mathbb{K}} \quad \xrightarrow{\hspace{1cm}} \quad \text{quaternion division algebra}$$

$$\begin{array}{ccc} & \left| \begin{matrix} 4 \\ \mathbb{F} = \mathbb{Q}(\zeta_s + \zeta_s^{-1}) \\ \left| \begin{matrix} n = \frac{\phi(s)}{2} \\ \mathbb{Q} \end{matrix} \right. \end{matrix} \right. & \xrightarrow{\hspace{1cm}} \quad \text{maximal real subfield of } \mathbb{Q}(\zeta_s) \end{array}$$

$$\det(\Lambda) = D_{\mathbb{K}}^4 N(\alpha)^4 N_{\mathbb{F}/\mathbb{Q}}(\delta_{\mathcal{O}}) N_{\mathbb{K}}(nr_{\mathcal{A}/\mathbb{F}}(\mathcal{I}))^4$$

## Results and Next Steps

Lattices in 8– dimensional Euclidean space.

$$\mathcal{A} = (a, b)_{\mathbb{K}} \quad \leadsto \quad \text{quaternion division algebra}$$

4

$$\mathbb{F} = \mathbb{Q}(\sqrt{-d}) \quad \leadsto \quad d=1,2,3,7$$

2

$\mathbb{Q}$

$$\det(\Lambda) = D_{\mathbb{F}}^4 \cdot N_{\mathbb{F}/\mathbb{Q}}(\delta_{\mathcal{O}}) \cdot N_{\mathbb{F}/\mathbb{Q}}(nr_{\mathcal{A}/\mathbb{F}}(\mathcal{I}))^4.$$

## Results and Next Steps

Lattices in 8– dimensional Euclidean space.

$$\begin{array}{ccc} \mathcal{A} = (a, b)_{\mathbb{K}} & \rightsquigarrow & \text{quaternion division algebra} \\ \left| \begin{array}{c} 4 \\ \hline 2 \\ \mathbb{Q} \end{array} \right. & & \\ \mathbb{F} = \mathbb{Q}(\sqrt{-d}) & \rightsquigarrow & d=1,2,3,7,\dots?? \end{array}$$

Recently, the LLL algorithm has also been generalized to lattices over imaginary quadratic fields.

- K. Arimoto and Y. Hirano, “A generalization of LLL lattice basis reduction over imaginary quadratic fields,” *Scientiae Mathematicae Japonicae*, vol. 82, no. 1, pp. 1–6, [2019](#).
- K. Arimoto, “On LLL lattice basis reduction over imaginary quadratic fields by introducing reduction parameters,” *Int. J. Math. Comput. Sci.*, vol. 15, no. 2, pp. 611–619, [2020](#).

## Results and Next Steps

Lattices in  $4\phi(n)$ – dimensional Euclidean space.

$$\begin{array}{ccc} \mathcal{A} = (a, b)_{\mathbb{K}} & \rightsquigarrow & \text{quaternion division algebra} \\ \left| \begin{array}{c} 4 \\ \mathbb{F} = \mathbb{Q}(\zeta_n) \\ \left| \begin{array}{c} \phi(n) \\ \mathbb{Q} \end{array} \right. \end{array} \right. \end{array}$$

## Results and Next Steps

Lattices in  $4\phi(n)$ – dimensional Euclidean space.

$$\begin{array}{ccc} \mathcal{A} = (a, b)_{\mathbb{K}} & \rightsquigarrow & \text{quaternion division algebra} \\ \left| \begin{array}{c} 4 \\ \mathbb{F} = \mathbb{Q}(\zeta_n) \\ \left| \begin{array}{c} \phi(n) \\ \mathbb{Q} \end{array} \right. \end{array} \right. \end{array}$$

$$\det(\Lambda) = ??$$

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# Obrigada!

