

# Theoretical and Computational Aspects of Quaternionic Multivalued Hopfield Neural Networks

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## Hopfield Neural Network (HNN)

- Hopfield, 1982.

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## Complex-Valued Multistate Hopfield Neural Network

- Jankowski, Lozowski, and Zurada, 1996.

## Hopfield Neural Network (HNN)

- Hopfield, 1982.



## Complex-Valued Multistate Hopfield Neural Network

- Jankowski, Lozowski, and Zurada, 1996.



## Quaternionic Multistate Hopfield Neural Network or Multivalued Quaternionic HNN (MV-QHNN)

- Isokawa, Nishimura, Saitoh, Kamiura, and Matsui, 2008.
- Minemoto, Isokawa, Nishimura, and Matsui, 2015.

# Outline of the Talk

- 1 Basic Concepts and Notation
- 2 MV-QHNN of Isokawa et al.
- 3 MV-QHNN of Minemoto et al.
- 4 Concluding Remarks

# Quaternions

A quaternion is an hypercomplex number

$$q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k},$$

where  $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1$  are the hyper-imaginary units.

Phase-angle representation:

$$q = |q|e^{i\phi}e^{k\psi}e^{j\theta},$$

where  $\phi \in [-\pi, \pi)$ ,  $\theta \in [-\frac{\pi}{2}, \frac{\pi}{2})$ , and  $\psi \in [-\frac{\pi}{4}, \frac{\pi}{4}]$ .

Unique phase-angle representation:

$$\mathcal{A} = \left\{ q = |q|e^{i\phi}e^{k\psi}e^{j\theta} : q \neq 0 \text{ and } |\psi| \neq \frac{\pi}{4} \right\}.$$

# MV-QHNN models

Like the complex-valued model of Jankowski et al., in a MV-QHNN the phase-angle intervals are divided in sectors.

## Resolution factors and phase quanta

Given positive integers  $K_1$ ,  $K_2$ , and  $K_3$ , called **resolution factors**, the **phase quanta** are defined by

$$\Delta\phi = \frac{2\pi}{K_1}, \quad \Delta\theta = \frac{\pi}{K_2}, \quad \text{and} \quad \Delta\psi = \frac{\pi}{2K_3}.$$

## Network dynamic

Given a quaternionic synaptic weight matrix  $W$ , neurons are updated asynchronously if

$$v_i(t) = \sum_{j=1}^n w_{ij} x_j(t),$$

has an unique phase-angle representation, i.e.,  $v_i(t) \in \mathcal{A}$ .

Let the state and activation of the  $i$ th neuron at time  $t$  be

$$x_i(t) = e^{i\phi_i(t)} e^{k\psi_i(t)} e^{j\theta_i(t)} \quad \text{and} \quad v_i(t) = |v_i(t)| e^{i\phi} e^{k\psi} e^{j\theta}.$$

The neuron is updated asynchronously according to

$$x_i(t+1) = \begin{cases} e^{\phi_i(t)i} e^{\psi_i k} e^{\theta_i j}, \\ \text{or} \\ e^{\phi_i i} e^{\psi_i k} e^{\theta_i(t) j} \end{cases}$$

where

$$\phi_i = -\pi + \Delta\phi \left\lfloor \frac{\pi + \phi}{\Delta\phi} \right\rfloor, \psi_i = -\frac{\pi}{4} + \Delta\psi \left\lfloor \frac{\pi/4 + \psi}{\Delta\psi} \right\rfloor, \theta_i = -\frac{\pi}{2} + \Delta\theta \left\lfloor \frac{\pi/2 + \theta}{\Delta\theta} \right\rfloor.$$

Note that the phase-angles of a quaternionic neuron are not updated simultaneously!

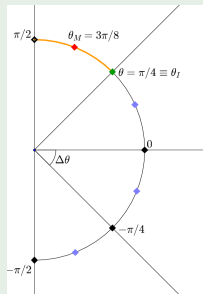
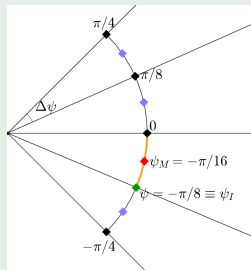
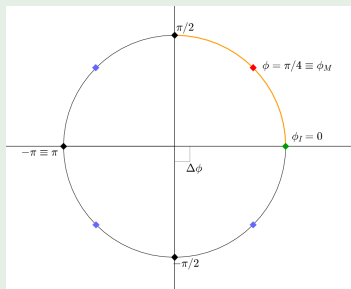


# Numerical Instability

- The angles  $\phi_I$ ,  $\psi_I$  and  $\theta_I$  are the clockwise edges of the arcs that contain  $\phi$ ,  $\psi$  and  $\theta$ .
- A small perturbation can yield a significant change in  $\phi_I$ ,  $\psi_I$  or  $\theta_I$ .

## Example

The resolution factors  $K_1 = K_2 = K_3 = 4$  yield the following circular sectors whose the boundaries are marked by black diamonds:



The conference paper contains examples in which:

- Rounding errors yield an absolute error far greater than the machine precision.
- The MV-QHNN converges to an unexpected stationary state.

Isokawa et al. claimed that the energy

$$E(\mathbf{x}) = -\frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n \mathbf{x}^H W \mathbf{x},$$

never increases if

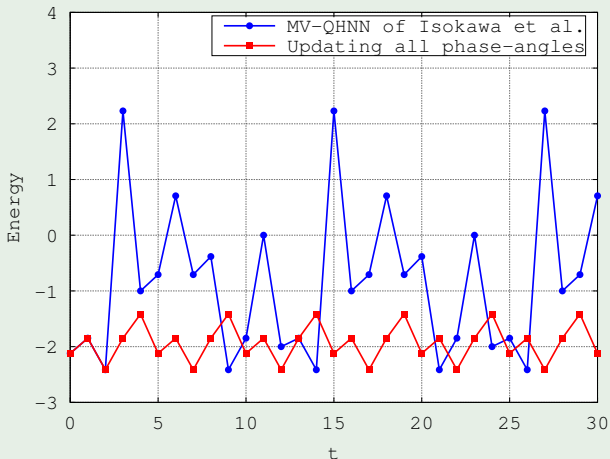
$$w_{ij} = \bar{w}_{ji} \quad \text{and} \quad w_{ii} \geq 0, \quad \forall i, j \in \{1, \dots, n\}.$$

In the following example, the energy oscillates!

## Example (Stability problem:)

Let  $K_1 = K_2 = K_3 = 4$ ,

$$W = \begin{bmatrix} 0 & 1 - 2\mathbf{j} - \mathbf{k} \\ 1 + 2\mathbf{j} + \mathbf{k} & 0 \end{bmatrix} \quad \text{and} \quad \mathbf{x}(0) = \begin{bmatrix} e^{-\frac{\pi}{4}\mathbf{j}} \\ 1 \end{bmatrix}.$$



## Example (Stability problem:)

The energy at  $\mathbf{x}(0)$  is

$$E(\mathbf{x}(0)) = -\frac{1}{2}\mathbf{x}^H(0)W\mathbf{x}(0) = -\frac{3\sqrt{2}}{2} = -2.1213.$$

The energy at  $\mathbf{x}(1)$  is

$$E(\mathbf{x}(1)) = -\frac{1}{2}\mathbf{x}^H(1)W\mathbf{x}(1) = -\cos\left(\frac{\pi}{8}\right) = -0.92388.$$

The difference between the energies is

$$\Delta E = E(\mathbf{x}(1)) - E(\mathbf{x}(0)) = 1.1974.$$

Therefore,  $\Delta E$  is positive even if we could work in exact arithmetic!

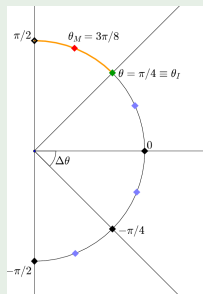
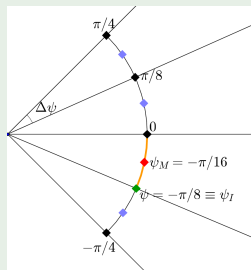
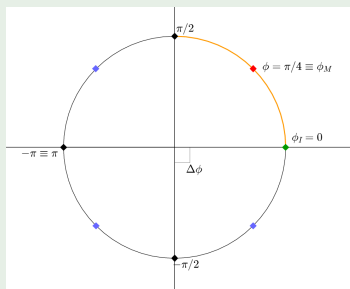
We address this issue in “*Comments on the Stability Analysis of Quaternionic Multistate Hopfield Neural Networks*”.

# MV-QHNN of Minemoto et al.

- Minemoto et al. presented an MV-QHNN which have been effectively used for the storage and recall of color images.
- The novel model is obtained by shifting the phase-angles  $\phi_I$ ,  $\psi_I$ , and  $\theta_I$  by half of the phase quanta.

## Example

The resolution factors  $K_1 = K_2 = K_3 = 4$  yield the following circular sectors whose midpoints are marked by blue diamonds:



# MV-QHNN of Minemoto et al.

Let the state and activation of the  $i$ th neuron at time  $t$  be

$$x_i(t) = e^{i\phi_i(t)} e^{k\psi_i(t)} e^{j\theta_i(t)} \quad \text{and} \quad v_i(t) = |v_i(t)| e^{i\phi} e^{k\psi} e^{j\theta}.$$

The neuron is updated asynchronously according to

$$x_i(t+1) = \begin{cases} e^{\phi_i(t)i} e^{\psi_i(t)k} e^{\theta_M j}, \\ \text{or} \\ e^{\phi_i(t)i} e^{\psi_M k} e^{\theta_i(t)j}, \\ \text{or} \\ e^{\phi_M i} e^{\psi_i(t)k} e^{\theta_i(t)j} \end{cases}$$

where

$$\phi_M = \frac{1}{2} \left\{ -2\pi + \Delta\phi \left( 2 \left\lfloor \frac{\pi + \phi}{\Delta\phi} \right\rfloor + 1 \right) \right\}, \quad \psi_M = \frac{1}{2} \left\{ -\frac{\pi}{2} + \Delta\psi \left( 2 \left\lfloor \frac{\frac{\pi}{4} + \psi}{\Delta\psi} \right\rfloor + 1 \right) \right\}$$

and

$$\theta_M = \frac{1}{2} \left\{ -\pi + \Delta\theta \left( 2 \left\lfloor \frac{\frac{\pi}{2} + \theta}{\Delta\theta} \right\rfloor + 1 \right) \right\}.$$

## Numerical Stability:

- The phase-angles  $\phi_M$ ,  $\psi_M$ , and  $\theta_M$  are insensitive to small perturbations in  $\phi$ ,  $\psi$ , and  $\theta$ .
- Thus, the MV-QHNN of Minemoto et al. is numerically stable.

## Stability Analysis:

Minemoto et al. claimed that the energy

$$E(\mathbf{x}) = -\frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n \mathbf{x}^H W \mathbf{x},$$

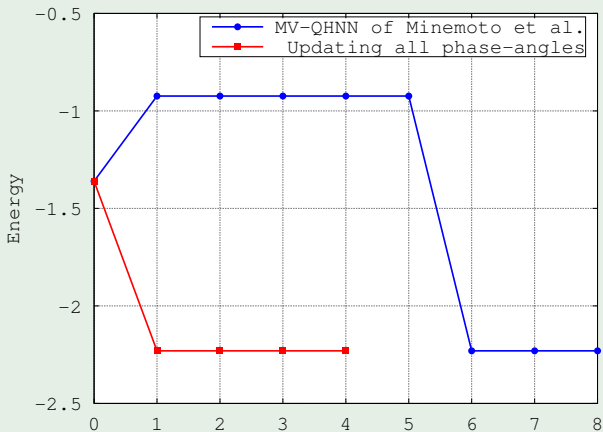
never increases if

$$w_{ij} = \bar{w}_{ji} \quad \text{and} \quad w_{ij} \geq 0, \quad \forall i, j \in \{1, \dots, n\}.$$

## Example (Stability problem:)

Let  $K_1 = K_2 = K_3 = 4$ ,

$$W = \begin{bmatrix} 0 & 1 - 2\mathbf{j} - \mathbf{k} \\ 1 + 2\mathbf{j} + \mathbf{k} & 0 \end{bmatrix} \quad \text{and} \quad \mathbf{x}(0) = \begin{bmatrix} e^{\frac{\pi}{4}\mathbf{i}} e^{\frac{\pi}{16}\mathbf{k}} e^{-\frac{\pi}{8}\mathbf{j}} \\ e^{\frac{\pi}{4}\mathbf{i}} e^{\frac{\pi}{16}\mathbf{k}} e^{\frac{\pi}{8}\mathbf{j}} \end{bmatrix}.$$





## Example (Stability problem:)

The energy of the network at  $\mathbf{x}(0)$  and  $\mathbf{x}(1)$  are:

$$E(\mathbf{x}(0)) = -\frac{1}{2}\mathbf{x}^H(0)W\mathbf{x}(0) = -1.3604,$$

$$E(\mathbf{x}(1)) = -\frac{1}{2}\mathbf{x}^H(1)W\mathbf{x}(1) = -0.92388.$$

The difference between the energies at  $t = 1$  and  $t = 0$  is

$$\Delta E = E(\mathbf{x}(1)) - E(\mathbf{x}(0)) = 0.43651.$$

Despite  $\Delta E$  positive, the MV-QHNN of Minemoto et al. reaches a stationary state after 6 steps.

In contrast, the energy of the Modified MV-QHNN does not increase!

# Modified MV-QHNN of Minemoto et al.

Let the activation of the  $i$ th neuron at time  $t$  be

$$v_i(t) = |v_i(t)| e^{i\phi} e^{k\psi} e^{j\theta}.$$

The neuron is updated asynchronously according to

$$x_i(t+1) = e^{\phi_M i} e^{\psi_M k} e^{\theta_M j},$$

where

$$\phi_M = \frac{1}{2} \left\{ -2\pi + \Delta\phi \left( 2 \left\lfloor \frac{\pi + \phi}{\Delta\phi} \right\rfloor + 1 \right) \right\}, \psi_M = \frac{1}{2} \left\{ -\frac{\pi}{2} + \Delta\psi \left( 2 \left\lfloor \frac{\frac{\pi}{4} + \psi}{\Delta\psi} \right\rfloor + 1 \right) \right\}$$

and

$$\theta_M = \frac{1}{2} \left\{ -\pi + \Delta\theta \left( 2 \left\lfloor \frac{\frac{\pi}{2} + \theta}{\Delta\theta} \right\rfloor + 1 \right) \right\}.$$

Note that all phase-angles are updated simultaneously!

## Conjecture

We conjecture that, if

$$w_{ij} = \bar{w}_{ji} \quad \text{and} \quad w_{ii} \geq 0, \quad \forall i, j \in \{1, \dots, n\},$$

then the energy

$$E(\mathbf{x}) = -\frac{1}{2} \sum_{i=1}^n \sum_{j=1}^n \mathbf{x}^H W \mathbf{x},$$

decreases if a neuron changes its state.

Thus, the modified MV-QHNN always reaches a stationary state.

# Concluding Remarks

In this paper, we first revised the MV-QHNN of Isokawa et al.:

- It is numerically unstable.
- We cannot assure its convergence to a stationary state.

We also investigated the MV-QHNN of Minemoto et al.:

- It is numerically stable.
- Analogously, we cannot assure its convergence.

We proposed an MV-QHNN in which all phase-angles are updated.

- We conjecture the novel model always reaches a stationary state.

Keep in mind...

we should only consider the improved model from now on!



Thank you!