

# Scattering of solutions and stability of solitary waves for the generalized BBM-ZK equation

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## KdV equation

$$u_t + u_{xxx} + f(u)_x = 0$$

## BBM equation

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Assumptions:  $f \in C^2(\mathbb{R})$  such that  $f(0) = 0$  and  $f(s) = O(|s|^{p+1})$  as  $|s| \rightarrow +\infty$ .

## Theorem

$\forall u_0 \in H^s(\mathbb{R}^2)$ ,  $s > 1$ ,  $\exists T = T(\|u_0\|_s) > 0$  &  $\exists! u \in C([0, T]; H^s(\mathbb{R}^2))$  of the BBM-ZK Eq. with  $u(0) = u_0$ .

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$$I(u(t)) = \int_{\mathbb{R}^2} u \, dx dy = I(u_0),$$

$$E(u(t)) = -\frac{1}{2} \int_{\mathbb{R}^2} \varepsilon u^2 + \beta u_y^2 + 2F(u) \, dx dy = E(u_0),$$

$$Q(u(t)) = \frac{1}{2} \int_{\mathbb{R}^2} (u^2 + u_x^2) \, dx dy = Q(u_0),$$

*where  $F' = f$ . Furthermore,  $u \in C([0, T]; H^{s_1, s_2}(\mathbb{R}^2))$ , where  $s_1 \geq 0$  and  $s_2 = s - s_1$ .*



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## Theorem

$\forall u_0 \in H^{s_1, s_2}(\mathbb{R}^2)$ ,  $s_1, s_2 > 1/2$ ,  $\exists T = T(\|u_0\|_{(s_1, s_2)}) > 0$  &  
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 *$u(t)$  satisfies  $E(u(t)) = E(u_0)$ ,  $Q(u(t)) = Q(u_0)$  and  $I(u(t)) = I(u_0)$ , for all*  
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 $t \in [0, T)$ . **Furthermore,  $u \in C([0, T); H^{\min\{s_1, s_2\}}(\mathbb{R}^2))$ .**

## Theorem

- 1 If  $u_0 \in H^1(\mathbb{R}^2)$ ,  $\exists u \in L^\infty([0, +\infty); H^1(\mathbb{R}^2))$  of the BBM-ZK Eq. with  $u(0) = u_0$ . Moreover, there exists  $T > 0$  and a uniquely weak solution  $u \in C([0, T); H^1(\mathbb{R}^2))$  of the BBM-ZK Eq. with  $u(0) = u_0$ . In addition,  $u(t)$  satisfies  $E(u(t)) = E(u_0)$ ,  $Q(u(t)) = Q(u_0)$  and  $I(u(t)) = I(u_0)$ , for all  $t \in [0, T)$ .
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- 1 There is  $\delta > 0$ , such that if  $\|u_0\|_1 < \delta$ , the BBM-ZK Eq. has a unique solution  $u \in C(\mathbb{R}; H^1(\mathbb{R}^2))$  with  $u(0) = u_0$ . Moreover,  $\|u(t)\|_1 \leq C\|u_0\|_1$ , for all time  $t \in \mathbb{R}$ , where  $C = C(\|u_0\|_1) > 0$  and the functionals  $E$ ,  $Q$  and  $I$  are independent of  $t$ .
- 2 There is  $\delta > 0$ , such that if  $\|u_0\|_{(1,0)} < \delta$ , the BBM-ZK Eq. has a unique solution  $u \in C(\mathbb{R}; H^{1,0}(\mathbb{R}^2))$  with  $u(0) = u_0$ . Moreover,  $\|u(t)\|_{(1,0)} \leq C\|u_0\|_{(1,0)}$ , for all time  $t \in \mathbb{R}$ , where  $C = C(\|u_0\|_{(1,0)}) > 0$  and the functionals  $E$ ,  $Q$  and  $I$  are independent of  $t$ .

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- 2 There is  $\delta > 0$ , such that if  $\|u_0\|_{(1,0)} < \delta$ , the BBM-ZK Eq. has a unique solution  $u \in C(\mathbb{R}; H^{1,0}(\mathbb{R}^2))$  with  $u(0) = u_0$ . Moreover,  $\|u(t)\|_{(1,0)} \leq C\|u_0\|_{(1,0)}$ , for all time  $t \in \mathbb{R}$ , where  $C = C(\|u_0\|_{(1,0)}) > 0$  and the functionals  $E$ ,  $Q$  and  $I$  are independent of  $t$ .

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## Lemma

Let  $N \gg 1$ ,  $t \neq 0$  and  $J = [-N, N]$ . Then there exists a constant  $C > 0$ , independent of  $t$  and  $N$ , such that

$$\left| \int_J \int_{\mathbb{R}} e^{-i\left(t\xi\left(\frac{\varepsilon+\beta\eta^2}{1+\xi^2}\right)-x\xi-y\eta\right)} d\eta d\xi \right| \leq Ct^{-1/2}N^{3/2}.$$

Let  $S(t)$  be the  $C_0$ -group of unitary operators for

$$u_t + \varepsilon u_x - (u_{xt} + \beta u_{yy})_x = 0.$$

## Lemma

- 1 Let  $s_1, s_2 > 1/2$ ,  $\theta = (2(s_1 + 1))^{-1} \in [0, 1/3)$  and  $u_0 \in H^{s_1, s_2}(\mathbb{R}^2) \cap L^1(\mathbb{R}^2)$ . Then there exists a constant  $C > 0$ , depending only on  $s_1$ , such that for all  $t \in \mathbb{R}$ , we have

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Suppose that  $s > 1$ ,  $\mu = (1 - 3\theta)/2$ ,  $\theta = (2(s + 1))^{-1} \in [0, 1/3)$  and

$$p > \frac{3(1 - \theta)}{1 - 3\theta}.$$

Then there exists  $\delta > 0$  and  $C > 0$  such that for any  $u_0 \in H^{2s}(\mathbb{R}^2) \cap L^1(\mathbb{R}^2)$  satisfying  $\|u_0\|_1 + \|u_0\|_{2s} < \delta$ , there is a unique solution  $u \in C(\mathbb{R}; H^{2s}(\mathbb{R}^2))$  of the BBM-ZK Eq. with  $u(0) = u_0$  such that

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## Theorem

Let  $u(t)$  be the solution of the BBM-ZK Eq., then there exists a unique solution  $u^\pm(t) \in H^{2s}(\mathbb{R}^2)$ ,  $s \geq 1/2$ , of the linearized equation of the BBM-ZK Eq. such that

$$\|u(t) - u^\pm(t)\|_{2s} \rightarrow 0,$$

as  $t \rightarrow \pm\infty$ .

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Solitary wave solution of the BBM-ZK Eq. of the form  $u(x, y, t) = \varphi_c(x - ct, y)$ , vanishing at infinity, where  $c > 0$  is the wave velocity:

$$-c\partial_x^2\varphi_c + (c - \varepsilon)\varphi_c + \beta\partial_y^2\varphi_c - F(\varphi_c) = 0. \quad (*)$$

## Theorem

Let  $c > 0$  and  $\beta < 0$ , then equation (\*) possesses a cylindrically symmetric positive solution  $\varphi_c \in H^1(\mathbb{R}^2)$ , which is called a ground state of (\*).

Moreover,  $\varphi_c \in H^\infty(\mathbb{R}^2)$ ,  $\partial_r \varphi_c(r) < 0$ , for all  $r \neq 0$  where  $r = |(\sqrt{c}x, \sqrt{-\beta}y)|$ , and there is a  $\sigma > 0$  such that for all  $\alpha \in \mathbb{N}^2$  with  $|\alpha| \leq 2$ ,  
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Define the the functional  $S_c$  and the linearized operator  $L_c$  around  $\varphi_c$  by

$$S_c(\varphi_c) = E(\varphi_c) + cQ(\varphi_c)$$

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## Hypothesis I

The ground state solution of equation (\*) is unique and the curve  $c \mapsto \varphi_c$  defined for  $c > \varepsilon$  is  $C^1$  with values in  $H^2(\mathbb{R}^2)$ . Moreover there are positive constants  $C$  and  $\varrho$ , such that

$$\left| \frac{d\varphi_c}{dc}(x, y) \right| \leq Ce^{-\varrho|(x,y)|},$$

for all  $(x, y) \in \mathbb{R}^2$  and  $c > \varepsilon$ .

## Hypothesis II

The operator  $L_c$ , for  $c > \varepsilon$ , has a unique simple negative eigenvalue  $\lambda_c$  with a corresponding cylindrically symmetric positive eigenfunction  $\chi_c$  such that for all  $(x, y) \in \mathbb{R}^2$ ,

$$|\chi_c(x, y)| \leq C_c e^{-\rho|(x, y)|},$$

for some positive constants  $C_c$  and  $\rho$ . In addition, the mapping  $c \mapsto \chi_c$  is continuous with values in  $H^2(\mathbb{R}^2)$ . Furthermore the essential spectrum of  $L_c$  is positive and bounded away from zero and the null space of  $L_c$  is spanned by  $\partial_x \varphi_c$  and  $\partial_y \varphi_c$ .

### Hypothesis III

$\mathcal{L}\left(\frac{d\varphi_c}{dc}\right) \in L^1(\mathbb{R}^2)$ , where  $\mathcal{L} = I - \partial_x^2$ .

## Theorem

Suppose that the Hypotheses I-III hold. Then  $\varphi_c$  is **stable** if and only if  $d''(c) > 0$ , where

$$d(c) = E(\varphi_c) + cQ(\varphi_c).$$

$$A(t) = \int_{\mathbb{R}^2} \partial_x^{-1} u(x, y, t) \mathcal{L}\vartheta(x - \gamma(t), y) \, dx \, dy,$$

where  $\vartheta = \frac{d\varphi_c}{dc} + s'(c)\chi_c$ .

## Theorem

Let  $u_0 \in H^{s_1, s_2}(\mathbb{R}^2) \cap L^\infty(\mathbb{R}_y; L^1(\mathbb{R}_x)) \cap L^1(\mathbb{R}^2)$ ,  $s_1, s_2 > 1/2$ ,

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$$|\partial_x^{-1} u(z, y, t)|_\infty \leq C(1 + |t|)^{\frac{3\theta+1}{2}},$$

where  $\theta = (2(s_1 + 1))^{-1} \in (0, 1/3)$  and  $C$  is a constant depending only on

$$\sup_{t \geq 0} \|u(t)\|_1 + \|u_0\|_{L_y^\infty L_x^1} + \|u_0\|_1.$$

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①  $p = 4, \varepsilon > 1$  and  $c > \max \left\{ \varepsilon, \frac{\varepsilon}{2(\varepsilon-1)} \right\},$

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$$a_1(p) = \frac{p\varepsilon - 4 - \sqrt{p^2\varepsilon(\varepsilon - 1) - 8p\varepsilon + 16(\varepsilon + 1)}}{p^2 - 16} p$$

and

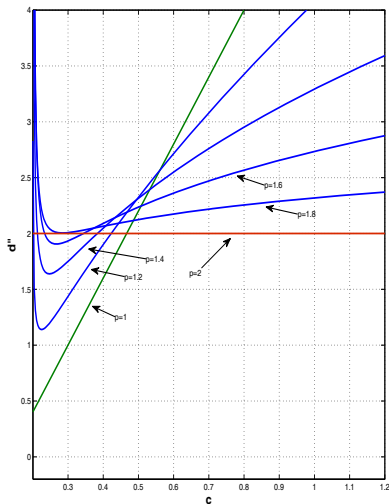
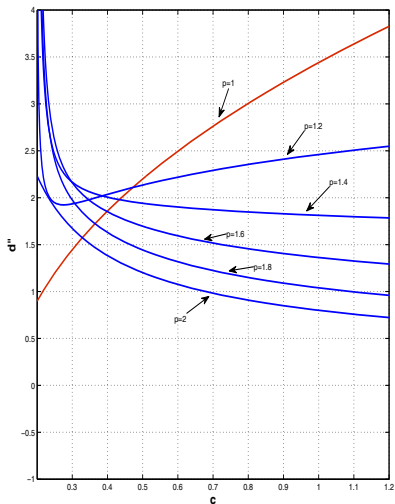
$$a_2(p) = \frac{p\varepsilon - 4 + \sqrt{p^2\varepsilon(\varepsilon - 1) - 8p\varepsilon + 16(\varepsilon + 1)}}{p^2 - 16} p.$$

When  $\varepsilon = 1$ , we observe that  $\varphi_c$ , with  $c > 1$ , is **stable** for  $p \leq 2$  or  $p \in [3, 4)$  and

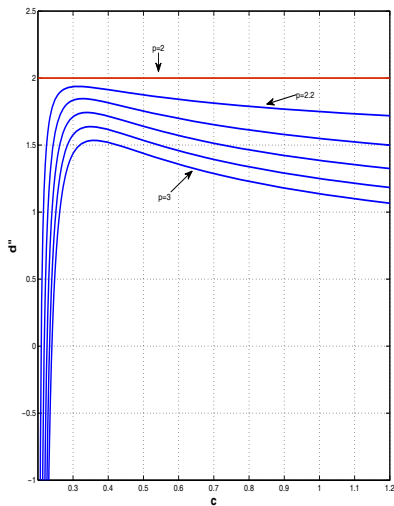
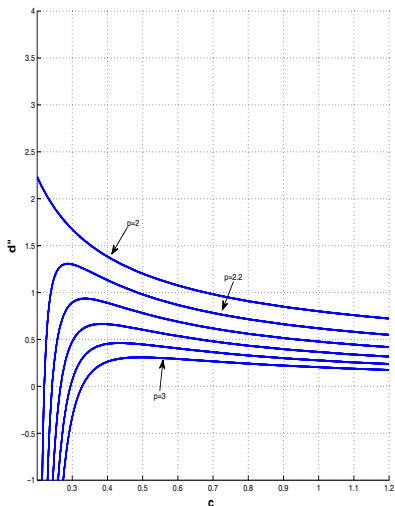
$$c > \left( \frac{4 - p + \sqrt{8 - 2p}}{16 - p^2} \right) p,$$

and unstable otherwise.

# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 1 - 2$ and $\varepsilon = 0.2$

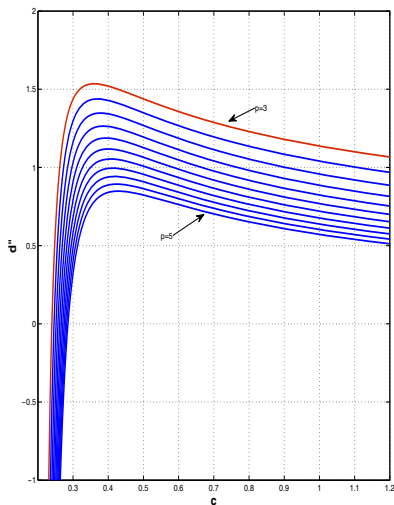
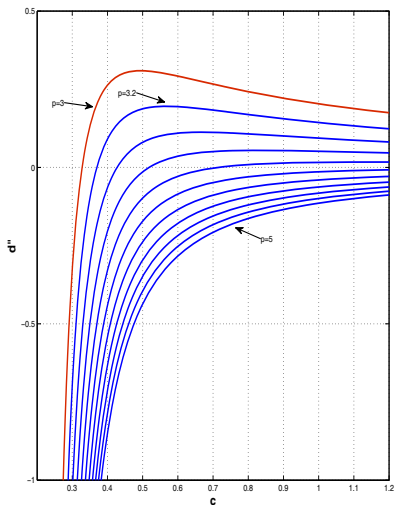


# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 2 - 3$ and $\varepsilon = 0.2$

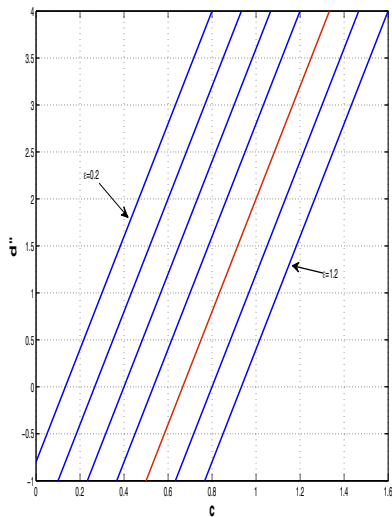
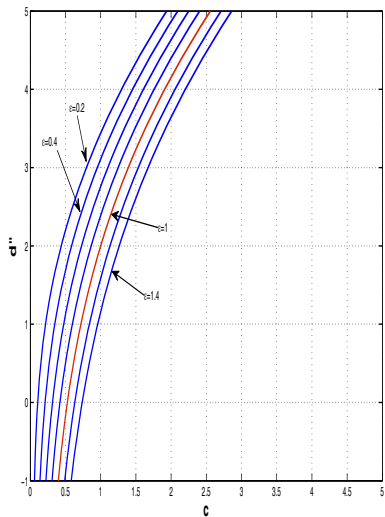




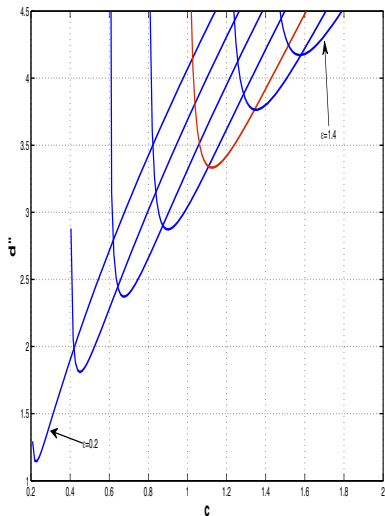
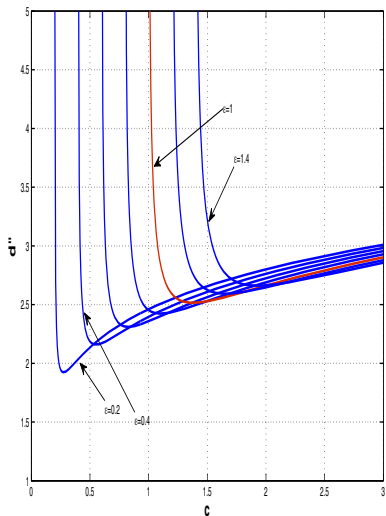
# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 3 - 5$ and $\varepsilon = 0.2$



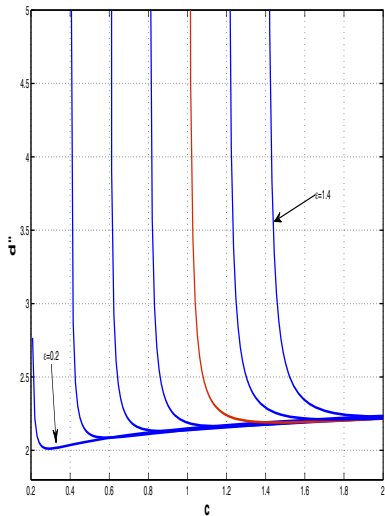
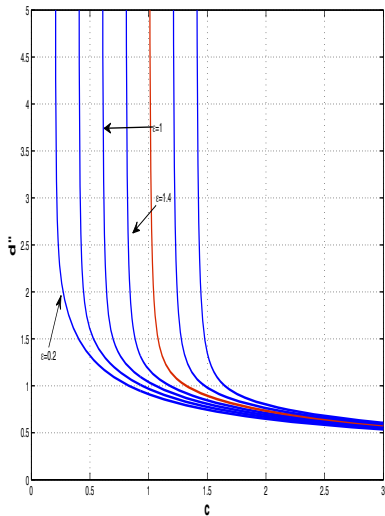
# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 1$



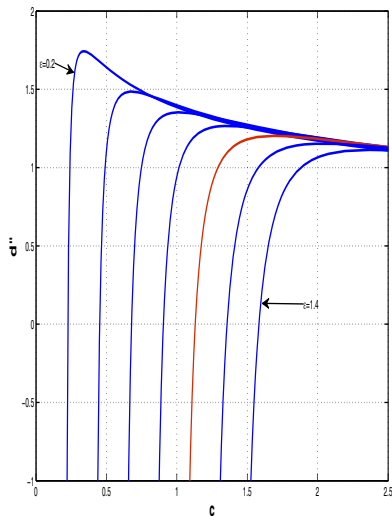
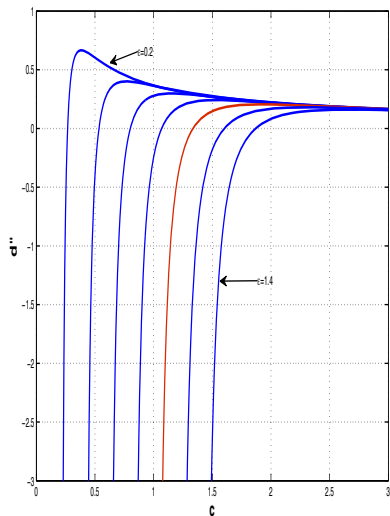
# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 1.2$



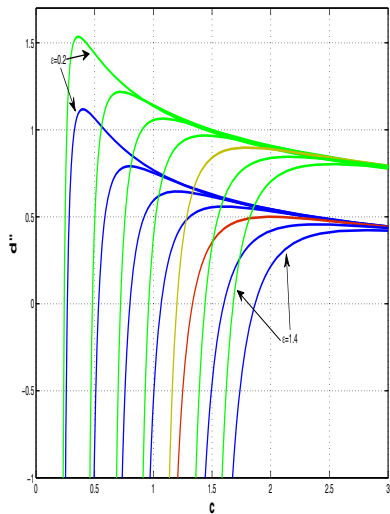
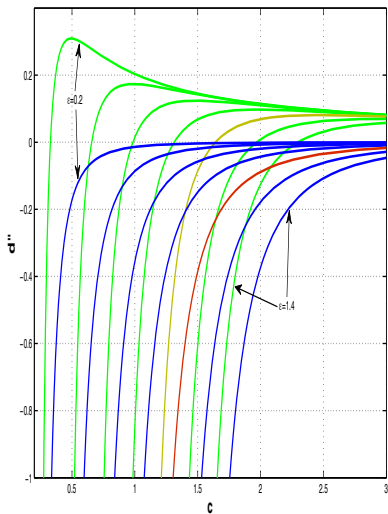
# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 1.9$



# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 2.6$









# The gBBM-ZK (left) and 2D-gBBM (right) equations with $p = 3, 4$








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- 4 Power-law nonlinearity  $f(u) = u^{p+1} / p + 1$
- 5 References**

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*Thank you*